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KÄHLER FAMILIES OF GREEN'S FUNCTIONS

BY VINCENT GUEDJ & TAT DAT TÔ

ABSTRACT. — In a remarkable series of works, Guo, Phong, Song, and Sturm have obtained key uniform estimates for the Green's functions associated with certain Kähler metrics. In this note, we broaden the scope of their techniques by removing one of their assumptions and allowing the complex structure to vary. We apply our results to various families of canonical Kähler metrics.

RÉSUMÉ (Familles de fonctions de Green kählériennes). — Dans une série remarquable de travaux, Guo, Phong, Song et Sturm ont obtenu d'importantes estimations uniformes pour les fonctions de Green associées à certaines métriques de Kähler. Dans cette note, nous élargissons le champ d'application de leurs techniques en supprimant l'une de leurs hypothèses et en permettant à la structure complexe de varier. Nous appliquons nos résultats à diverses familles de métriques kählériennes canoniques.

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INTRODUCTION

Let (X, ω) be a compact Kähler manifold of complex dimension n . We set $V_\omega = \int_X \omega^n$ and let G_x^ω denote the Green's function of ω at the point x . This is the unique quasi-subharmonic function such that $\int_X G_x^\omega \omega^n = 0$ and

$$\frac{1}{V_\omega}(\omega + dd^c G_x^\omega) \wedge \omega^{n-1} = \delta_x.$$

Here, δ_x denotes the Dirac mass at point x . Equivalently $\Delta_\omega G_x^\omega = n(V_\omega \delta_x - \omega^n)$.

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KEYWORDS. — Green's function, Monge-Ampère equation, a priori estimates.

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In a remarkable series of articles [GPS24, GPSS24a, GPSS23], Guo, Phong, Song and Sturm have obtained several key estimates for G_x^ω that are uniform when ω varies in a large family \mathcal{F} of Kähler forms (our normalization for G_x^ω slightly differs from theirs, see Definition 1.2 below). Although G_x^ω is a solution of the Laplace equation, its dependence on ω is highly non-linear. Nonetheless, these authors succeeded in obtaining spectacular estimates by comparing the problem at hand with an auxiliary complex Monge-Ampère equation (an idea originating from [CC21]), for which fine uniform estimates are available (see [Yau78, Kol98, EGZ09, EGZ08, DP10, BEGZ10, GL21, GPT23]).

Fix β a reference Kähler form on X , $A, B > 0$ positive constants, and $\gamma \geq 0$ a continuous function such that $(\gamma = 0)$ has small Hausdorff dimension. The family \mathcal{F} consists in those Kähler forms ω which satisfy the following three assumptions:

- (1) an upper-bound on the cohomology class $\int_X \omega \wedge \beta^{n-1} \leq A$;
- (2) a uniform pointwise lower bound $f_\omega \geq \gamma$, where $f_\omega := V_\omega^{-1} \omega^n / \beta^n$;
- (3) a uniform upper bound $\int_X f_\omega (\log f_\omega)^p \beta^n \leq B$, where $p > n$.

The first assumption can be restated as the cohomology class of ω remaining within a bounded subset of $H^{1,1}(X, \mathbb{R})$. It implies a uniform upper bound on the volume $V_\omega \leq C(A)$, but it is a strictly stronger assumption (see Example 1.7).

The third assumption ensures that ω admits uniformly bounded potentials (as shown in [Kol98, EGZ08, DP10]), that moreover have good continuity properties (see [Kol08, DDG⁺14, GPTW21, GGZ23]). It can be slightly generalized to

$$\int_X f_\omega (\log f)^n (\log \log[3 + f_\omega])^p \beta^n \leq B \quad \text{with } p > 2n,$$

as shown in [GGZ23]. In this article we rather stick to the more classical assumption $\int_X f_\omega^p \beta^n \leq B$ with $p > 1$, to simplify the exposition.

Our goal in this note is twofold. First, we eliminate the second assumption by establishing a key new estimate (Proposition 2.2). Second, we demonstrate that this new estimate, as well as all the estimates obtained in [GPS24, GPSS24a, GPSS23], remain uniform as the complex structure varies. This significantly broadens the scope of geometric applications for these results.

The precise setting is as follows. Let \mathcal{X} be an irreducible and reduced complex space. We let $\pi : \mathcal{X} \rightarrow 2\mathbb{D}$ denote a proper, surjective holomorphic map with connected fibers such that each fiber $X_t = \pi^{-1}(t)$ is a n -dimensional compact Kähler manifold, for $t \in 2\mathbb{D}^*$. We allow the central fiber X_0 to be a singular, irreducible and reduced complex space, as this is important for geometric applications. Here \mathbb{D} is the unit disk in \mathbb{C} ; we assume that the family is defined over a slightly larger disk $2\mathbb{D}$, and will establish estimates that are uniform with respect to the parameter $t \in \mathbb{D}^*$.

We fix β a relative Kähler form on \mathcal{X} , i.e., β is a smooth form on \mathcal{X} whose restrictions $\beta_t = \beta|_{X_t}$ are Kähler forms. We assume that the volumes $V_{\beta_t} := \int_{X_t} \beta_t^n$ are uniformly bounded away from 0 and $+\infty$, and let $dV_{X_t} = \beta_t^n / V_{\beta_t}$ denote the corresponding probability volume form on X_t .

Fix $p > 1$ and $A, B > 0$. We let $\mathcal{K}(\mathcal{X}, p, A, B)$ denote the set of all relative Kähler forms ω on $\mathcal{X} \setminus X_0$ such that for all $t \in \mathbb{D}^*$, $\{\omega_t\} \leq A\{\beta_t\}$ in $H^{1,1}(X_t, \mathbb{R})$, and

$$\int_{X_t} f_t^p dV_{X_t} \leq B, \quad \text{where} \quad \frac{1}{V_{\omega_t}} \omega_t^n = f_t dV_{X_t}.$$

Our main result is the following uniform control on Green's functions $G_x^{\omega_t}$.

THEOREM A. — Fix $0 < r < n/(n - 1)$ and $0 < s < 2n/(2n - 1)$. For all $t \in \mathbb{D}^*$, $x \in X_t$ and $\omega \in \mathcal{K}(\mathcal{X}, p, A, B)$ we have

- (1) $\sup_{X_t} G_x^{\omega_t} \leq C_0 = C_0(n, p, A, B)$;
- (2) $(1/V_{\omega_t}) \int_{X_t} |G_x^{\omega_t}|^r \omega_t^n \leq C_1 = C_1(n, p, r, A, B)$;
- (3) $(1/V_{\omega_t}) \int_{X_t} |\nabla G_x^{\omega_t}|_{\omega_t}^s \omega_t^n \leq C_2 = C_2(n, p, s, A, B)$.

These estimates extend [GPS24, Th. 1 & Th. 2] and [GPSS24a, Th. 1.1]. The uniformity with respect to the complex structure crucially depends on the uniform estimates obtained in [DNGG23].

As in [GPSS24a] they easily imply a uniform control on diameters, as well as a uniform non-collapsing estimate. Together with the uniform upper bound on volumes, this yields in particular that the metric spaces (X_t, ω_t) are relatively compact in the Gromov-Hausdorff topology.

THEOREM B. — Fix δ such that $0 < \delta < 1$ and $\omega \in \mathcal{K}(\mathcal{X}, p, A, B)$. There exists a constant $C = C(n, p, \delta, A, B) > 0$ such that for all $t \in \mathbb{D}^*$, $x \in X_t$ and $r > 0$,

$$C \min(1, r^{2n+\delta}) \leq \frac{1}{V_{\omega_t}} \text{Vol}_{\omega_t}(B_{\omega_t}(x, r)) \quad \text{and} \quad \text{diam}(X_t, \omega_t) \leq C.$$

Thus the family of compact metric spaces $\{(X_t, d_{\omega_t}), \omega \in \mathcal{K}(\mathcal{X}, p, A, B), t \in \mathbb{D}^*\}$ is pre-compact in the Gromov-Hausdorff topology.

Following [GPSS23], we further show in Theorem 2.6 that the Sobolev constants of the metrics ω_t are also uniformly bounded.

Theorems A and B have many geometric applications. We explain in Section 3.2 how they provide uniform estimates for families of constant scalar curvature Kähler metrics (see Corollary 3.2), following [CC21] and [PTT23].

As in [GPSS24a], we then apply our estimates to the study of the Kähler-Ricci flow. Assume that X is a smooth minimal model (K_X nef), and consider

$$\frac{\partial \omega_t}{\partial t} = -\text{Ric}(\omega_t) - \omega_t$$

the normalized Kähler-Ricci flow starting from an initial Kähler metric ω_0 . It follows from [TZ06] that this flow exists for all times $t > 0$. We obtain here the following striking result which -in particular- solves [Tos18, Conj. 6.2]:

THEOREM C. — Fix δ such that $0 < \delta < 1$. There exist $C = C(\omega_0) > 0$ and $c = c(\omega_0, \delta) > 0$ such that for all $t > 0$ and $x \in X$,

$$\text{diam}(X, \omega_t) \leq C \quad \text{and} \quad \text{Vol}_{\omega_t}(B_{\omega_t}(x, r)) \geq cr^{2n+\delta} V_{\omega_t},$$

whenever $0 < r < \text{diam}(X, \omega_t)$.

This result has been established by Guo-Phong-Song-Sturm under the extra assumption that the Kodaira dimension $\text{kod}(X)$ of X is non-negative, see [GPSS24a, Th. 2.3]. It is an old conjecture of Mumford that K_X nef implies $\text{kod}(X) \geq 0$. The latter is a weak form of the abundance conjecture, one of the most important open problem in birational geometry. We refer the reader to [Tos18, Tos24] for recent overviews of the theory of the Kähler-Ricci flow.

In Section 3.4 we show how our estimates provide an alternative proof of an important diameter bound of Y. Li [Li23, Th. 1.4]. We anticipate many more applications of Theorems A and B (see [CGN⁺23, GP24] for recent developments). In [GPSS23], the authors have partially extended their techniques to the case of mildly singular Kähler varieties, showing in particular that Kähler-Einstein currents have bounded diameter. Our key Proposition 2.2 can be extended to this context as well, as it relies solely on uniform estimates for solutions to complex Monge-Ampère equations, which are applicable here according to [DNGG23, Th. 1.9]. Therefore, we expect that most of the results presented in this note can be extended to families of Kähler varieties (see [GP24, §3] for some first steps). Very recently Vu [Vu24b] announced a proof of Theorem B for a fixed variety and an independent proof of Theorem C by using analysis on Sobolev spaces associated to currents; shortly afterward Guo-Phong-Song-Sturm [GPSS24b] have provided an alternative proof of Proposition 2.2.

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1. UNIFORM ESTIMATES FOR MONGE-AMPÈRE POTENTIALS

1.1. QUASI-(PLURI)SUBHARMONIC FUNCTIONS. — Let (X, ω) be a compact Kähler manifold of complex dimension n .

1.1.1. ω -subharmonic functions

DEFINITION 1.1. — A function $v : X \rightarrow \mathbb{R} \cup \{-\infty\}$ is ω -subharmonic (ω -sh for short) if it is locally the sum of a smooth and a subharmonic function, and $(\omega + dd^c u) \wedge \omega^{n-1} \geq 0$ in the sense of distributions. Alternatively

$$\Delta_\omega v = n \frac{dd^c v \wedge \omega^{n-1}}{\omega^n} \geq -n.$$

The maximum principle ensures that two ω -sh functions u, v satisfy $\Delta_\omega u = \Delta_\omega v$ if and only if they differ by a constant. We can thus ensure that $u = v$ by normalizing them by $\int_X u \omega^n = \int_X v \omega^n = 0$.

DEFINITION 1.2. — We let G_x denote the Green function of ω at the point x . This is the unique ω -sh function such that $\int_X G_x \omega^n = 0$ and

$$\frac{1}{V_\omega} (\omega + dd^c G_x) \wedge \omega^{n-1} = \delta_x.$$

Here δ_x denotes the Dirac mass at point x .

Let us stress that our definition differs from that of [GPSS24a] in two ways: we use the opposite sign convention, as well as a different volume normalization. If \tilde{G}_x denotes the Green function from [GPSS24a], then $G_x = -(V_\omega/n)\tilde{G}_x$.

For any ω -sh function u such that $\int_X u\omega^n = 0$, Stokes theorem yields

$$u(x) = \frac{1}{V_\omega} \int_X u(\omega + dd^c G_x) \wedge \omega^{n-1} = \frac{1}{V_\omega} \int_X G_x(\omega + dd^c u) \wedge \omega^{n-1}.$$

In particular for $u = G_y$ we obtain the symmetry relation $G_x(y) = G_y(x)$. Green's functions are classical objects of study in Riemannian geometry. In particular it is known that $G_x \in \mathcal{C}^\infty(X \setminus \{x\})$ and $G_x(y) \rightarrow -\infty$ as $y \rightarrow x$ (either at a logarithmic speed if $n = 1$, or at a polynomial speed if $n \geq 2$).

While the Laplace operator Δ_ω is linear, it depends on ω in a non linear way. Following [GPS24, GPSS24a, GPSS23] we are going to establish uniform estimates on normalized ω -sh functions, by comparing them with ω -plurisubharmonic solutions to certain complex Monge-Ampère equations.

1.1.2. ω -plurisubharmonic functions. — A function is quasi-plurisubharmonic (qpsH or quasi-psh) if it is locally given as the sum of a smooth and a psh function. Quasi-psh functions $\varphi : X \rightarrow \mathbb{R} \cup \{-\infty\}$ satisfying $\omega_\varphi := \omega + dd^c \varphi \geq 0$ in the weak sense of currents are called ω -plurisubharmonic (ω -psh for short).

DEFINITION 1.3. — We let $\text{PSH}(X, \omega)$ denote the set of all ω -plurisubharmonic functions which are not identically $-\infty$.

Note that constant functions are ω -psh functions. A \mathcal{C}^2 -smooth function u has bounded Hessian, hence εu is ω -psh if $0 < \varepsilon$ is small enough and ω is positive.

The set $\text{PSH}(X, \omega)$ is a closed subset of $L^1(X)$, for the L^1 -topology. Subsets of ω -psh functions enjoy strong compactness and integrability properties, we mention notably the following: for any fixed $r \geq 1$,

- $\text{PSH}(X, \omega) \subset L^r(X)$; the induced L^r -topologies are equivalent;
- $\text{PSH}_A(X, \omega) := \{u \in \text{PSH}(X, \omega), -A \leq \sup_X u \leq 0\}$ is compact in L^r .

We refer the reader to [Dem12, GZ17] for further basic properties of ω -psh functions.

1.1.3. Laplace vs Monge-Ampère solutions. — We shall regularly compare solutions to the Laplace equation and ω -psh solutions to an auxiliary Monge-Ampère equation. The following principle will play an important role.

PROPOSITION 1.4. — Fix $t > 0$, $p > 1$ and $0 \leq f \in L^{np}(\omega^n)$. Let v (resp. φ) be the unique bounded ω -sh (resp. ω -psh) function such that

$$(\omega + dd^c v) \wedge \omega^{n-1} = e^{tv} f \omega^n \quad \text{and} \quad (\omega + dd^c \varphi)^n = e^{nt\varphi} f^n \omega^n.$$

Then $\varphi \leq v$.

The existence of v is classical. For the existence and uniqueness of φ , see [GZ17].

Proof. — It follows from the maximum principle that v is the envelope of bounded ω -sh subsolutions to this twisted Laplace equation, i.e., for all $x \in X$,

$$v(x) = \sup\{u(x); u \in \text{SH}(X, \omega) \cap L^\infty(X) \text{ and } (\omega + dd^c u) \wedge \omega^{n-1} \geq e^{tu} f \omega^n\}.$$

Since the function $\varphi_2 = 0$ is the trivial solution to the twisted Monge-Ampère equation $(\omega + dd^c \varphi_2)^n = e^{nt\varphi_2} 1^n \omega^n$, the AM-GM inequality (see [DK14, Th. 2.12]) ensures that

$$(\omega + dd^c \varphi) \wedge \omega^{n-1} \geq e^{t\varphi} f \omega^n.$$

The conclusion follows as $\text{PSH}(X, \omega) \subset \text{SH}(X, \omega)$ and φ is a bounded subsolution of the twisted Laplace equation. \square

Uniform a priori estimates for solutions to complex Monge-Ampère equations have been intensively studied in the past decades. The previous proposition will allow one to use them and gain uniform L^∞ a priori estimates for solutions of the Laplace equation, as the reference form ω varies (see Section 2).

1.2. KÄHLER FAMILIES

1.2.1. *Assumptions.* — We shall consider the following set of assumptions.

SETTING 1.5. — Let \mathcal{X} be an irreducible and reduced complex space. We let $\pi : \mathcal{X} \rightarrow 2\mathbb{D}$ denote a proper, surjective holomorphic map with connected fibers such that each fiber $X_t = \pi^{-1}(t)$ is a n -dimensional compact Kähler manifold, for $t \in 2\mathbb{D}^*$ and X_0 is an irreducible and reduced complex space. We fix β a relative Kähler form on \mathcal{X} , set $\beta_t = \beta|_{X_t}$ and assume that the volumes $V_{\beta_t} := \int_{X_t} \beta_t^n$ are uniformly bounded away from 0 and $+\infty$. Let $dV_{X_t} = \beta_t^n / V_{\beta_t}$ denote the corresponding probability volume form on X_t .

Here \mathbb{D} is the unit disk in \mathbb{C} ; we assume that the family is defined over a slightly larger disk (e.g. $2\mathbb{D}$), and will establish estimates that are uniform with respect to the parameter $t \in \mathbb{D}^*$.

DEFINITION 1.6. — Fix $p > 1$ and $A, B > 0$. We let $\mathcal{K}(\mathcal{X}, p, A, B)$ denote the set of all relative Kähler forms ω on $\mathcal{X} \setminus X_0$ such that for all $t \in \mathbb{D}^*$, $\{\omega_t\} \leq A\{\beta_t\}$ in $H^{1,1}(X_t, \mathbb{R})$, and

$$\int_{X_t} f_t^p dV_{X_t} \leq B, \quad \text{where} \quad \frac{1}{V_{\omega_t}} \omega_t^n = f_t dV_{X_t}.$$

The cohomological assumption ensures that the volumes $V_t = \int_{X_t} \omega_t^n \leq C(A)$ are uniformly bounded from above, but it is a strictly stronger assumption as we observe in the following example.

EXAMPLE 1.7. — Assume $X = \mathbb{P}^1 \times \mathbb{P}^1$ is the product of two Riemann spheres, endowed with the Kähler form $\omega_\lambda(x, y) = \lambda \omega_{\mathbb{P}^1}(x) + \lambda^{-1} \omega_{\mathbb{P}^1}(y)$, where $\lambda > 0$. Observe that the volume $V_{\omega_\lambda} = \int_X \omega_\lambda^2 = \int_X 2\omega_{\mathbb{P}^1}(x) \wedge \omega_{\mathbb{P}^1}(y) = 2$ is constant, while the diameter $\text{diam}(X, \omega_\lambda) \sim \lambda \rightarrow \infty$ as $\lambda \rightarrow \infty$.

1.2.2. *Uniform a priori estimates.* — We shall need the following uniform integrability result in families, that generalizes previous results of Skoda and Zeriahi:

THEOREM 1.8. — *Fix $p > 1$, $A, B > 0$. There exists $\alpha = \alpha(n, p, A, B) > 0$ such that for all $\omega \in \mathcal{K}(X, p, A, B)$, $t \in \mathbb{D}^*$ and $\varphi_t \in \text{PSH}(X_t, \omega_t)$ with $\sup_{X_t} \varphi_t = 0$,*

$$\int_{X_t} \exp(-\alpha\varphi_t) dV_{X_t} \leq C_\alpha,$$

where $C = C(\alpha, n, p, A, B) > 0$ is independent of ω, t, φ_t .

Proof. — The cohomological assumption means that there exists a smooth closed $(1, 1)$ -form θ_t on X_t , cohomologous to ω_t , such that $\theta_t \leq A\beta_t$. The $\partial\bar{\partial}$ -lemma ensures that $\omega_t = \theta_t + dd^c u_t$, where $\int_X u_t dV_{X_t} = 0$ and $u_t \in \text{PSH}(X_t, \theta_t)$. Since we also have $u_t \in \text{PSH}(X_t, A\beta_t)$, it follows from [DNGG23, Conj. 3.1] and [Ou20, Cor. 4.8] that $0 \leq \sup_{X_t} u_t \leq M_0$ for some uniform constant M_0 .

Set $\tilde{\varphi}_t = \varphi_t - \int_{X_t} \varphi_t dV_{X_t}$ so that $\int_{X_t} \tilde{\varphi}_t dV_{X_t} = 0$. Observe similarly that $\psi_t = \tilde{\varphi}_t + u_t \in \text{PSH}(X_t, \theta_t) \subset \text{PSH}(X_t, A\beta_t)$ is normalized by $\int_X \psi_t dV_{X_t} = 0$, hence $0 \leq \sup_{X_t} \psi_t \leq M_0$. It follows therefore from [DNGG23, Th. 2.9] that

$$\int_{X_t} e^{2\alpha|\psi|} dV_{X_t} + \int_{X_t} e^{2\alpha|u|} dV_{X_t} \leq C_\alpha,$$

for some uniform constants $\alpha, C_\alpha > 0$. Cauchy-Schwarz inequality now yields

$$\int_{X_t} e^{\alpha|\tilde{\varphi}_t|} dV_{X_t} \leq \int_{X_t} e^{\alpha|\psi_t|} e^{\alpha|u_t|} dV_{X_t} \leq C_\alpha.$$

Now [DNGG23, Th. 1.9] ensures that $\|u_t - V_{\theta_t}\|_\infty \leq M_1$, where

$$V_{\theta_t} = \sup\{v, v \in \text{PSH}(X, \theta_t) \text{ with } v \leq 0\}.$$

Thus $\psi_t \leq V_{\theta_t} + \sup_{X_t} \psi_t \leq u_t + M_0 + M_1$ and $\sup_{X_t} \tilde{\varphi}_t \leq M_0 + M_1$. The conclusion follows as $-\varphi_t \leq |\tilde{\varphi}_t| + M_0 + M_1$. □

The following uniform estimate is the key tool for all results to follow.

THEOREM 1.9. — *Fix $p > 1$, $A, B > 0$ and $\omega \in \mathcal{K}(X, p, A, B)$. Assume that there exists $\varphi_t \in \text{PSH}(X, \omega_t) \cap L^\infty(X_t)$, $p' > 1$ and $B' > 0$ independent of t such that*

$$\frac{1}{V_{\omega_t}} (\omega_t + dd^c \varphi_t)^n = g_t dV_{X_t},$$

with $\int_{X_t} g_t^{p'} dV_{X_t} \leq B'$. Then $\text{Osc}_X(\varphi_t) \leq C = C(p, p', A, B, B')$.

These uniform estimates have a long history. For a fixed cohomology class they have been established by Kołodziej [Kol98], generalizing a celebrated a priori estimate of Yau [Yau78]. These have been further generalized in [EGZ09, EGZ08, DP10, BEGZ10] in order to deal with less positive or collapsing families of cohomology classes on Kähler manifolds. Alternative proofs have been provided in [GL21, GPT23], while the family version needed here is obtained in [DNGG23].

Proof. — The result follows from [DNGG23, Th. A] and Theorem 1.8. □

These uniform estimates remain valid under less restrictive integrability assumptions on the densities. To gain clarity in the proofs to follow, we have chosen to restrict to this setting which is the most useful one in geometric applications. We refer the reader to [GGZ23, §5] for a discussion of the optimality of the integrability assumptions that ensure finiteness of diameters of Kähler metrics.

2. GREEN'S FUNCTIONS

In this section we prove Theorem A. In the setting 1.5 we fix $p > 1$, $A, B > 0$ and $\omega \in \mathcal{K}(X, p, A, B)$. For $t \in \mathbb{D}^*$ we consider the Green function $G_x^{\omega_t}$ of the Kähler form ω_t at the point $x \in X_t$ and establish integrability estimates for the latter that are uniform in $t \in \mathbb{D}^*$ and $x \in X_t$. To lighten the notation, we get rid of the t -subscript and write X instead of X_t and G_x instead of $G_x^{\omega_t}$.

2.1. BOUNDING ω -SUBHARMONIC FUNCTIONS FROM ABOVE

LEMMA 2.1. — *Fix $a > 0$ and let v be a quasi-subharmonic function on X such that $\Delta_\omega v \geq -a$. Then*

$$\sup_X v \leq C \left[a + \frac{1}{V_\omega} \int_X |v| \omega^n \right],$$

where $C = C(n, p, A, B) > 0$ only depends on n, p, A, B .

This result is a family version of [GPSS24a, Lem. 5.1].

Proof. — Both the statement and the assumptions are homogeneous of degree 1. Changing v in $(n/a)v$ we thus reduce to the case $a = n$. Observe that $\Delta_\omega v \geq -n$ is equivalent to $(\omega + dd^c v) \wedge \omega^{n-1} \geq 0$.

Regularizing v we can assume that it is smooth. We set $v_+ = \widetilde{\max}(v, 0)$, where $\widetilde{\max}$ denotes a convex regularized maximum such that $0 \leq \widetilde{\max} \leq 1 + \max$: the function $\widetilde{\max}(x, 0)$ is identically 0 for $x \leq -1$, equals x for $x \geq 0$ and coincides with a smooth convex function in $[-1, 0]$, that smoothly connects these two pieces. Since $\sup_X v \leq \sup_X v_+$, it suffices to bound v_+ from above. Let $\varphi \in \text{PSH}(X, \omega)$ be the unique smooth function such that

$$(\omega + dd^c \varphi)^n = \frac{1 + v_+}{1 + M} \omega^n$$

and $\sup_X \varphi = -1$, where $M = \int_X v_+ (\omega^n / V_\omega) \leq 1 + \int_X |v| (\omega^n / V_\omega)$.

Set $H = 1 + v_+ - \varepsilon(-\varphi)^\alpha$, where $\alpha = n/(n+1)$ and $\varepsilon > 0$ is chosen so that $\varepsilon^{n+1} \alpha^n / (1 + \alpha\varepsilon)^n = 1 + M$. We are going to show that $H \leq 0$. Observe that

$$-dd^c(-\varphi)^\alpha = \alpha(1 - \alpha)(-\varphi)^{\alpha-2} d\varphi \wedge d^c \varphi + \alpha(-\varphi)^{\alpha-1} dd^c \varphi.$$

The AM-GM inequality yields

$$(\omega + dd^c \varphi) \wedge \omega^{n-1} \geq \left(\frac{1 + v_+}{1 + M} \right)^{1/n} \omega^n,$$

hence

$$\Delta_\omega(-\varepsilon(-\varphi)^\alpha) \geq \alpha\varepsilon(-\varphi)^{\alpha-1} \Delta_\omega \varphi \geq n\alpha\varepsilon(-\varphi)^{\alpha-1} \left[\left(\frac{1 + v_+}{1 + M} \right)^{1/n} - 1 \right].$$

We infer

$$\Delta_\omega H \geq -n + n\alpha\varepsilon(-\varphi)^{\alpha-1} \left[\left(\frac{1+v_+}{1+M} \right)^{1/n} - 1 \right].$$

At the point x_0 where H reaches its maximum, we have $0 \geq \Delta_\omega H$ hence

$$(1 + \alpha\varepsilon)(-\varphi)^{1-\alpha} \geq (-\varphi)^{1-\alpha} + \alpha\varepsilon \geq \alpha\varepsilon \left(\frac{1+v_+}{1+M} \right)^{1/n},$$

using that $(-\varphi)^{1-\alpha} \geq 1$. Thus

$$\varepsilon(-\varphi)^\alpha = \varepsilon(-\varphi)^{n(1-\alpha)} \geq \frac{\alpha^n \varepsilon^{n+1}}{(1 + \alpha\varepsilon)^n} \frac{1+v_+}{1+M} = 1 + v_+,$$

by our choice of ε and α . This shows that $H \leq 0$ hence $1 + v_+ \leq \varepsilon(-\varphi)^\alpha$.

Note that $\varepsilon \leq c_n(1+M)$ since $\varepsilon^{n+1}\alpha^n/(1 + \alpha\varepsilon)^n = 1+M$. Thus $(\omega + dd^c\varphi)^n/V_\omega = FdV_X$ with

$$F = \frac{1+v_+}{1+M} f \leq \frac{\varepsilon(-\varphi)^\alpha}{1+M} f \leq c_n(-\varphi)^\alpha f.$$

Since $\int_X f^p dV_X \leq A$, we can fix $1 < r < p$ and use Hölder inequality to obtain

$$\int_X F^r dV_X \leq \varepsilon^r \int_X (-\varphi)^{r\alpha} f^r dV_X \leq \left(\int_X f^p dV_X \right)^{r/p} \left(\int_X (-\varphi)^{r p \alpha / (p-r)} dV_X \right)^{(p-r)/p}.$$

The first integral is controlled by A by assumption, the second one is uniformly bounded by Theorem 1.8. Thus φ is uniformly bounded by Theorem 1.9, hence

$$\sup_X v \leq \sup_X v_+ \leq \varepsilon \sup_X (-\varphi)^\alpha \leq c_n[1 + M]C_0,$$

and the conclusion follows as $M \leq (1/V_\omega) \int_X |v|\omega^n + 1$. □

The following result is a key improvement on the results obtained in [GPSS24a].

PROPOSITION 2.2. — *Let u be a continuous function such that $\int_X u\omega^n = 0$ and $|\Delta_\omega u| \leq 1$. Then*

$$\|u\|_{L^\infty(X)} \leq C,$$

where $C = C(n, p, A, B) > 0$ only depends on n, p, A, B .

Proof. — We let $C_1 > 0$ denote the uniform constant from Lemma 2.1 and we set

$$\delta = \frac{1}{4(1 + 4n^2C_1^2)^2}.$$

Observe that the statement to be proved is homogeneous of degree 1, so it suffices to show that if u is a continuous function such that $\int_X u\omega^n = 0$ and $|\Delta_\omega u| \leq \delta$, then $M = (1/V_\omega) \int_X |u|\omega^n \leq C$ is uniformly bounded from above independently of u . We assume that $M \geq 1$ (otherwise we are done), and we set

$$v := \frac{u}{M} = \varepsilon u, \quad \text{where } 0 < \varepsilon := \frac{1}{M} \leq 1.$$

Since $\|\Delta_\omega v\|_{L^\infty(X)} \leq 1$, $(1/V_\omega) \int_X |v|\omega^n = 1$ and $\int_X v\omega^n = 0$, Lemma 2.1 yields

$$(2.1) \quad \|v\|_{L^\infty(X)} \leq 2C_1.$$

Set

$$H := \frac{1}{n} \Delta_\omega u = \frac{dd^c u \wedge \omega^{n-1}}{\omega^n}, \quad \text{so that } \|H\|_{L^\infty(X)} \leq \delta.$$

Set $t = \sqrt{\delta} \in (0, 1)$ and observe that the function v is $\varepsilon\omega$ -sh and satisfies

$$(\varepsilon\omega + dd^c v) \wedge (\varepsilon\omega)^{n-1} = \varepsilon^n (1 + H)\omega^n = e^{tv} e^{-tv} (1 + H)(\varepsilon\omega)^n.$$

We let $\varphi \in \text{PSH}(X, \varepsilon\omega) \cap L^\infty(X)$ be the unique bounded $\varepsilon\omega$ -psh solution of the complex Monge-Ampère equation

$$(\varepsilon\omega + dd^c \varphi)^n = e^{nt\varphi} e^{-ntv} (1 + H)^n (\varepsilon\omega)^n.$$

It follows from Proposition 1.4 (applied to $\varepsilon\omega$ and $f = e^{-tv}(1 + H)$) that

$$(2.2) \quad \varphi \leq v.$$

Setting $\psi = \varphi/\varepsilon \in \text{PSH}(X, \omega)$, the equation can be rewritten as

$$(2.3) \quad (\omega + dd^c \psi)^n = e^{nt\varepsilon\psi - tv} (1 + H)^n \omega^n \leq 2^n \omega^n,$$

since $\varepsilon\psi - v = \varphi - v \leq 0$ and $\|H\|_{L^\infty} \leq \delta \leq 1$. It follows from Theorem 1.9 that

$$\text{Osc}_X(\psi) = \|\tilde{\psi}\|_{L^\infty(X)} \leq C_0 = C_0(n, p, A, B),$$

where $\tilde{\psi} := \psi - \sup_X \psi \leq 0$. Integrating (2.3) we obtain

$$1 = e^{nt\varepsilon \sup_X \psi} \int_X e^{nt\varepsilon \tilde{\psi}} e^{-ntv} (1 + H)^n \frac{\omega^n}{V_\omega} \leq e^{nt\varepsilon \sup_X \psi} (1 + \delta)^n \int_X e^{-ntv} \frac{\omega^n}{V_\omega}.$$

We let the reader check that $e^x \leq 1 + x + x^2$ for $|x| \leq 1$. Since $t < n^{-1}(2C_1)^{-1}$ and $\|v\|_{L^\infty(X)} \leq 2C_1$ we infer

$$\begin{aligned} \int_X e^{-ntv} \frac{\omega^n}{V_\omega} &\leq 1 - nt \int_X v \frac{\omega^n}{V_\omega} + n^2 t^2 \int_X v^2 \frac{\omega^n}{V_\omega} \\ &= 1 + n^2 t^2 \int_X v^2 \frac{\omega^n}{V_\omega} \quad (\text{since } \int_X v \omega^n = 0) \\ &\leq 1 + 4n^2 C_1^2 t^2. \end{aligned}$$

Using that $\log(1 + x) \leq x$ we therefore obtain

$$\begin{aligned} nt\varepsilon \sup_X \psi &\geq -n \log(1 + \delta) - \log(1 + 4n^2 C_1^2 t^2) \\ &\geq -n\delta - 4n^2 C_1^2 t^2. \end{aligned}$$

Using that $t = \sqrt{\delta} = 1/2[1 + 4n^2 C_1^2]^{-1/2}$ we conclude that

$$\varepsilon \sup_X \psi \geq -\frac{1}{2}.$$

It follows that $\varphi = (\varphi - \sup_X \varphi) + \sup_X \varphi = \varepsilon\tilde{\psi} + \varepsilon \sup_X \psi \geq -C_0\varepsilon - 1/2$. Together with (2.2), this shows that

$$v \geq -C_0\varepsilon - \frac{1}{2}.$$

Repeating the same argument for $-v$, we get $-v \geq -C_0\varepsilon - 1/2$ hence

$$\|v\|_{L^\infty(X)} \leq C_0\varepsilon + \frac{1}{2}.$$

Therefore

$$1 = \frac{1}{V_\omega} \int_X |v| \omega^n \leq \frac{1}{V_\omega} \int_X \|v\|_{L^\infty(X)} \omega^n \leq C_0 \varepsilon + \frac{1}{2},$$

which yields

$$\frac{1}{V_\omega} \int_X |u| \omega^n \leq 2C_0.$$

Unraveling the normalizations we have made, we see that the constant C from the statement can be chosen as $C = 8C_0[1 + 4n^2C_1^2]^2$, where C_0 is the uniform constant provided by Theorem 1.9 (taking $g_t = 2^n \omega_t^n / dV_{X_t}$) and C_1 is the uniform constant from Lemma 2.1. \square

2.2. GREEN'S FUNCTIONS

THEOREM 2.3. — Fix r and s such that $0 < r < n/(n - 1)$ and $0 < s < 2n/(2n - 1)$. For all $t \in \mathbb{D}^*$, $x \in X_t$ and $\omega \in \mathcal{K}(X, p, A, B)$ we have

- (1) $\sup_{X_t} G_x^{\omega_t} \leq C_0 = C_0(n, p, A, B)$;
- (2) $(1/V_{\omega_t}) \int_{X_t} |G_x^{\omega_t}|^r \omega_t^n \leq C_1 = C_1(n, p, r, A, B)$;
- (3) $(1/V_{\omega_t}) \int_{X_t} |\nabla G_x^{\omega_t}|^s \omega_t^n \leq C_2 = C_2(n, p, s, A, B)$.

Given Proposition 2.2 above, the proof is a combination of the main results of [GPS24, GPSS24a] with the uniform estimates provided by Theorem 1.9.

Proof. Step 1. — Consider $h = -\mathbf{1}_{\{G_x \leq 0\}} + \int_{\{G_x \leq 0\}} \omega^n / V_\omega$. Observe that $-1 \leq h \leq 1$ and $\int_X h \omega^n = 0$. We let v denote the unique solution $\Delta_\omega v = h$ with $\int_X v \omega^n = 0$. It follows from Proposition 2.2 that $\|v\|_{L^\infty(X)} \leq C$. Thus

$$\begin{aligned} C \geq v(x) &= \frac{1}{V_\omega} \int_X v(\omega + dd^c G_x) \wedge \omega^{n-1} \\ &= \frac{1}{V_\omega} \int_X G_x dd^c v \wedge \omega^{n-1} = n \int_{\{G_x \leq 0\}} (-G_x) \frac{\omega^n}{V_\omega}. \end{aligned}$$

Since $\int_X G_x \omega^n = 0$, we infer

$$\int_X |G_x| \frac{\omega^n}{V_\omega} = 2 \int_{\{G_x \leq 0\}} (-G_x) \frac{\omega^n}{V_\omega} \leq \frac{2C}{n}.$$

It therefore follows from Lemma 2.1 that $\sup_X G_x \leq C_0$, proving (1).

Observe, more generally, that if v an ω -psh function with $\int_X v \omega^n = 0$, then

$$(2.4) \quad v(x) = \frac{1}{V_\omega} \int_X G_x(\omega + dd^c v) \wedge \omega^{n-1} \leq \sup_X G_x \leq C_0.$$

Step 2. — We have shown (2) for $r = 1$ in the previous step. We now show (2) for $r < 1 + 1/n$. Set $\mathcal{G}_x = G_x - C_0 - 1 \leq -1$ and consider u the ω -sh solution of

$$\frac{1}{V_\omega} (\omega + dd^c u) \wedge \omega^{n-1} = \frac{(-\mathcal{G}_x)^\beta \omega^n}{\int_X (-\mathcal{G}_x)^\beta \omega^n},$$

with $\int_X u\omega^n = 0$, where $0 < \beta < 1/n$. We are going to show that $u \geq -C$ is uniformly bounded below. It will follow that

$$\begin{aligned} -C \leq u(x) &= \int_X u \frac{(\omega + dd^c \mathcal{G}_x) \wedge \omega^{n-1}}{V_\omega} \\ &= \int_X \mathcal{G}_x \frac{(\omega + dd^c u) \wedge \omega^{n-1}}{V_\omega} = - \frac{\int_X (-\mathcal{G}_x)^{1+\beta} \omega^n / V_\omega}{\int_X (-\mathcal{G}_x)^\beta \omega^n / V_\omega}. \end{aligned}$$

Since $1 \leq -\mathcal{G}_x$ we obtain $\int_X (-\mathcal{G}_x)^\beta \omega^n / V_\omega \leq \int_X (-\mathcal{G}_x) \omega^n / V_\omega = 1 + C_0$, hence

$$\int_X (-\mathcal{G}_x)^{1+\beta} \frac{\omega^n}{V_\omega} \leq C[1 + C_0],$$

proving (2) for $r = 1 + \beta$, as \mathcal{G}_x differs from G_x by a uniform additive constant.

To prove that u is uniformly bounded below, we consider the normalized solution $\varphi \in \text{PSH}(X, \omega) \cap L^\infty(X)$, $\int_X \varphi \omega^n = 0$, of the Monge-Ampère equation

$$\frac{1}{V_\omega} (\omega + dd^c \varphi)^n = \frac{(-\mathcal{G}_x)^{n\beta} \omega^n}{\int_X (-\mathcal{G}_x)^{n\beta} \omega^n}.$$

Since $-\mathcal{G}_x \geq 1$, the density of the right-hand side is bounded from above by $(-\mathcal{G}_x)^{n\beta} f_\omega$. It follows from Hölder inequality that the latter belongs to $L^{p'}(dV_X)$, $p' < p$, since

$$\begin{aligned} \int_X (-\mathcal{G}_x)^{n\beta p'} f_\omega^{p'} dV_X &= \int_X (-\mathcal{G}_x)^{n\beta p'} f_\omega^{p'-1} \frac{\omega^n}{V_\omega} \\ &\leq \left(\int_X f_\omega^p dV_X \right)^{(p'-1)/(p-1)} \left(\int_X (-\mathcal{G}_x)^{n\beta p' s'} \frac{\omega^n}{V_\omega} \right)^{1/s'} \leq C', \end{aligned}$$

where $s' = (p-1)/(p-p')$ is the conjugate exponent of $s = (p-1)/(p'-1)$: we choose $p' > 1$ very close to 1 (thus $s' > 1$ is very close to 1 as well) so that $n\beta p' s' < 1$, and the last integral is under control by the first step.

It follows from Theorem 1.9 that the oscillation of φ is uniformly bounded, hence $\tilde{\varphi}$ is uniformly bounded, where $\tilde{\varphi} = \varphi - \sup_X \varphi$. Now $\int_X \tilde{\varphi} \omega^n / V_\omega = -\sup_X \varphi$, thus $\sup_X \varphi$ is uniformly bounded as well, and we obtain

$$-M_0 \leq \varphi \leq +M_0$$

for some uniform M_0 . Since $\int_X (-\mathcal{G}_x)^{n\beta} \omega^n / V_\omega \leq \int_X (-\mathcal{G}_x) \omega^n / V_\omega \leq 1 + C_0 =: C_1^n$, it follows from the AM-GM inequality that $(\omega + dd^c \varphi) \wedge \omega^{n-1} \geq ((-\mathcal{G}_x)^\beta / C_1) \omega^n$, while $(-\mathcal{G}_x)^\beta \omega^n \geq (\omega + dd^c u) \wedge \omega^{n-1}$ since $-\mathcal{G}_x \geq 1$. We infer that $\varphi - u/C_1$ is an ω -sh function normalized by $\int_X (\varphi - u/C_1) \omega^n = 0$. It follows from (2.4) that it is uniformly bounded from above, hence

$$-(M_0 + C_0)C_1 \leq u \leq C_0,$$

showing that u is uniformly bounded, as claimed.

Step 3. — We now establish (2) for the optimal values of r by a recursive argument. Indeed the reasoning from Step 2 shows that if $\int_X (-\mathcal{G}_x)^{\beta'} (\omega^n/V_\omega) \leq C$ then for any $\beta > 0$ with $n\beta < \beta'$, one has $\int_X (-\mathcal{G}_x)^{1+\beta} (\omega^n/V_\omega) \leq C'$. By induction this yields, for all $k \in \mathbb{N}$,

$$\int_X (-\mathcal{G}_x)^r \frac{\omega^n}{V_\omega} \leq C_r \quad \text{for } r < 1 + \frac{1}{n} + \frac{1}{n^2} + \cdots + \frac{1}{n^k}.$$

Thus a uniform control can be obtained for all $r < n/(n-1)$.

Step 4. — It follows from Step 3, Lemma 2.5 below and Hölder inequality that (3) holds for $s < 2n/(2n-1)$. Indeed set $r = (s/(2-s))(1+\beta)$, and observe that by choosing $0 < \beta$ very small and r arbitrarily close to $n/(n-1)$, we obtain s arbitrarily close to $2n/(2n-1)$. Setting $2\alpha = s(1+\beta)$, we thus get

$$\begin{aligned} \int_X |\nabla G_x|^s \omega^n &= \int_X |\nabla \mathcal{G}_x|^s \omega^n = \int_X \frac{|\nabla \mathcal{G}_x|^s}{|\mathcal{G}_x|^\alpha} |\mathcal{G}_x|^\alpha \omega^n \\ &\leq \left(\int_X \frac{|\nabla \mathcal{G}_x|^2}{|\mathcal{G}_x|^{2\alpha/s}} \omega^n \right)^{s/2} \left(\int_X |\mathcal{G}_x|^{2\alpha/(2-s)} \omega^n \right)^{(2-s)/2} \\ &= \left(\int_X \frac{|\nabla \mathcal{G}_x|^2}{|\mathcal{G}_x|^{1+\beta}} \omega^n \right)^{s/2} \left(\int_X |\mathcal{G}_x|^r \omega^n \right)^{(2-s)/2} \leq C(s). \quad \square \end{aligned}$$

REMARK 2.4. — All these estimates are valid, more generally, for any ω -psh function v which is normalized by $\int_X v \omega^n = 0$. Indeed using Stokes theorem we obtain

$$v(x) = \frac{1}{V_\omega} \int_X G_x(\omega + dd^c v) \wedge \omega^{n-1} \leq \sup_X G_x \leq C_0.$$

Hölder inequality, Fubini theorem and the symmetry $G_x(y) = G_y(x)$ yield

$$\begin{aligned} \int_x |v(x)|^r \frac{\omega^n(x)}{V_\omega} &\leq \int_x \left[\int_y |G_x(y)| \frac{(\omega + dd^c v) \wedge \omega^{n-1}(y)}{V_\omega} \right]^r \frac{\omega^n(x)}{V_\omega} \\ &\leq \int_x \left[\int_y |G_x(y)|^r \frac{(\omega + dd^c v) \wedge \omega^{n-1}(y)}{V_\omega} \right] \frac{\omega^n(x)}{V_\omega} \\ &= \int_y \left[\int_x |G_y(x)|^r \frac{\omega^n(x)}{V_\omega} \right] \frac{(\omega + dd^c v) \wedge \omega^{n-1}(y)}{V_\omega} \leq C_1. \end{aligned}$$

Similarly

$$\begin{aligned} \int_x |\nabla_x v(x)|^s \frac{\omega^n(x)}{V_\omega} &\leq \int_x \left[\int_y |\nabla_x G_x(y)| \frac{(\omega + dd^c v) \wedge \omega^{n-1}(y)}{V_\omega} \right]^s \frac{\omega^n(x)}{V_\omega} \\ &\leq \int_x \left[\int_y |\nabla_x G_x(y)|^s \frac{(\omega + dd^c v) \wedge \omega^{n-1}(y)}{V_\omega} \right] \frac{\omega^n(x)}{V_\omega} \\ &= \int_y \left[\int_x |\nabla_x G_y(x)|^s \frac{\omega^n(x)}{V_\omega} \right] \frac{(\omega + dd^c v) \wedge \omega^{n-1}(y)}{V_\omega} \leq C_2. \end{aligned}$$

We have used the following observation [GPSS24a, Lem. 5.6].

LEMMA 2.5. — Fix $\beta > 0$. Then

$$\frac{1}{V_\omega} \int_X \frac{dG_x \wedge d^c G_x \wedge \omega^{n-1}}{(-G_x + C_0 + 1)^{1+\beta}} \leq \frac{1}{\beta}.$$

Here C_0 denotes the uniform constant from Theorem 2.3.1.

Proof. — The function $u(y) = (-G_x(y) + C_0 + 1)^{-\beta}$ takes values in $[0, 1]$ with $u(x) = 0$. Since $du = \beta dG_x / (-G_x + C_0 + 1)^{1+\beta}$, we infer

$$0 = \frac{1}{V_\omega} \int_X u(\omega + dd^c G_x) \wedge \omega^{n-1} = \frac{1}{V_\omega} \int_X u \omega^n - \frac{\beta}{V_\omega} \int_X \frac{dG_x \wedge d^c G_x \wedge \omega^{n-1}}{(-G_x + C_0 + 1)^{\beta+1}}.$$

The result follows. \square

2.3. SOBOLEV ESTIMATES. — The following is our improved and family version of [GPSS23, Th. 2.1 & Lem. 6.2].

THEOREM 2.6. — Fix r such that $1 < r < 2n/(n-1)$, $t \in \mathbb{D}^*$ and $\omega \in \mathcal{K}(\mathcal{X}, p, A, B)$.

(1) For all $u \in W^{1,2}(X_t)$, we have

$$\left(\frac{1}{V_{\omega_t}} \int_{X_t} |u - \bar{u}|^{2r} \omega_t^n \right)^{1/r} \leq C_1 \frac{1}{V_{\omega_t}} \int_{X_t} |\nabla u|_{\omega_t}^2 \omega_t^n,$$

where $\bar{u} = (1/V_{\omega_t}) \int_{X_t} u \omega_t^n$ and $C_1 = C_1(n, p, r, A, B) > 0$.

(2) If $\Omega \subset X_t$ is a domain and $u \in W^{1,2}(\Omega)$ has compact support in Ω , then

$$\left(\frac{1}{V_{\omega_t}} \int_{\Omega} |u|^{2r} \omega_t^n \right)^{1/r} \leq C_2 \left[1 + \frac{V_{\omega_t}(\Omega)}{V_{\omega_t}(X_t \setminus \Omega)} \right] \frac{1}{V_{\omega_t}} \int_{\Omega} |\nabla u|_{\omega_t}^2 \omega_t^n,$$

where $C_2 = C_2(n, p, r, A, B) > 0$.

Proof. — The proof is very similar to that of [GPSS23, Th. 2.1 & Lem. 6.2], so we only sketch it. We fix $\beta \in (0, 1)$ such that $(1 + \beta)r < n/(n-1)$. Green's formula and Hölder inequality yield

$$\begin{aligned} |u(x) - \bar{u}| &= \left| \frac{1}{V_\omega} \int_X du \wedge d^c \mathcal{G}_x \wedge \omega^{n-1} \right| \\ &\leq \left(\frac{1}{V_\omega} \int_X \frac{d\mathcal{G}_x \wedge d^c \mathcal{G}_x \wedge \omega^{n-1}}{(-\mathcal{G}_x)^{1+\beta}} \right)^{1/2} \left(\frac{1}{V_\omega} \int_X (-\mathcal{G}_x)^{1+\beta} |\nabla u|_{\omega}^2 \omega^n \right)^{1/2} \\ &\leq \frac{1}{\beta^{1/2}} \left(\frac{1}{V_\omega} \int_X (-\mathcal{G}_x)^{1+\beta} |\nabla u|_{\omega}^2 \omega^n \right)^{1/2}, \end{aligned}$$

hence

$$(2.5) \quad \left(\int_X |u - \bar{u}|^{2r} \omega^n \right)^{1/r} \leq \frac{1}{\beta} \left\| \frac{1}{V_\omega} \int_X (-\mathcal{G}_x)^{1+\beta} |\nabla u|_{\omega}^2 \omega^n \right\|_{L^r(X, \omega)}.$$

It follows from Minkowski's inequality for integrals that

$$\begin{aligned} \left\| \frac{1}{V_\omega} \int_X (-\mathcal{G}_x)^{1+\beta} |\nabla u|_\omega^2 \omega^n \right\|_{L^r(X, \omega)} &\leq \frac{1}{V_\omega} \int_X \left(\int_X (-\mathcal{G}_x)^{r(1+\beta)} \omega^n(x) \right)^{1/r} |\nabla u|_\omega^2(y) \omega^n(y) \\ &\leq C_1 \frac{V_\omega^{1/r}}{V_\omega} \int_X |\nabla u|_\omega^2 \omega^n, \end{aligned}$$

using Theorem 2.3.2. Together with (2.5) we obtain

$$\left(\frac{1}{V_\omega} \int_X |u - \bar{u}|^{2r} \omega^n \right)^{1/r} \leq C_1 \frac{1}{V_\omega} \int_X |\nabla u|_\omega^2 \omega^n.$$

For the second inequality, as in [GPSS23, Lem. 6.2], using Green formula, one has for any $x \in \Omega$ and $\beta > 0$,

$$|u(x)|^2 \leq \frac{C}{V_\omega(\Omega^c)} \int_X |\nabla u|_\omega^2 \omega^2 + \frac{1}{\beta V_\omega} \int_X (-\mathcal{G}(x, y))^{1+\beta} |\nabla u|_\omega^2 \omega^n.$$

Raising to the power r on both sides and arguing similarly to what we have done above, we obtain the required inequality. \square

3. GEOMETRIC APPLICATIONS

3.1. DIAMETER BOUNDS AND NON-COLLAPSING. — Among the various applications of Theorem A, we stress the following diameter and non-collapsing estimates.

THEOREM 3.1. — *Fix δ such that $0 < \delta < 1$ and $\omega \in \mathcal{K}(X, p, A, B)$. There exists $C = C(n, p, \delta, A, B) > 0$ such that for all $t \in \mathbb{D}^*$, $x \in X_t$, and $r > 0$,*

$$C \min(1, r^{2n+\delta}) \leq \frac{1}{V_{\omega_t}} \text{Vol}_{\omega_t}(B_{\omega_t}(x, r)) \quad \text{and} \quad \text{diam}(X_t, \omega_t) \leq C.$$

Thus the family of compact metric spaces $\{(X_t, d_{\omega_t}), \omega \in \mathcal{K}(X, p, A, B), t \in \mathbb{D}^\}$ is pre-compact in the Gromov-Hausdorff topology.*

Due to its prominent role in geometric analysis, there has been an intensive search for such uniform diameter estimates in the past decade. We simply list the most recent contributions which require as an extra assumption

- a Ricci lower bound [LNTZ17, FGS20, GS22];
- X be of general type [Bam18, Wan18, JS22];
- strong continuity of Monge-Ampère potentials [Li21, GGZ23, Vu24a];
- a uniform lower bound on ω^n/dV_X [GPS24, GPSS24a, GPSS23].

The proof of Theorem 3.1 is similar to that of [GPSS24a, Th. 1.1].

Proof. — We fix $(x_0, y_0) \in X^2$ such that $d_\omega(x_0, y_0) = \text{diam}(X, \omega)$. The function $\rho : x \in X \mapsto d_\omega(x_0, x) \in \mathbb{R}^+$ is 1-Lipschitz with $\rho(x_0) = 0$. Green's formula applied to the function ρ at the point x_0 yields

$$\int_X \rho \omega^n = \int_X d\rho \wedge d^c G_{x_0} \wedge \omega^{n-1} \leq \int_X |\nabla G_{x_0}|_\omega \omega^n.$$

Using again Green’s formula at y_0 and the previous inequality, we obtain

$$\begin{aligned} \text{diam}(X, \omega) &= \frac{1}{V_\omega} \int_X \rho \omega^n - \frac{1}{V_\omega} \int_X d\rho \wedge d^c G_{y_0} \wedge \omega^{n-1} \\ &\leq \frac{1}{V_\omega} \int_X |\nabla G_{x_0}|_\omega \omega^n + \frac{1}{V_\omega} \int_X |\nabla G_{y_0}|_\omega \omega^n \leq C, \end{aligned}$$

where the last inequality follows from Theorem 2.3.3.

Next we prove the non-collapsing estimate. We fix $x \in X$ and consider the uniformly bounded function $\rho : y \in X \mapsto d_\omega(x, y) \in \mathbb{R}^+$. Fix $r \in (0, 1]$ and let χ be a non-negative smooth cut-off function with support in $B_\omega(x, r)$ such that $\chi \equiv 1$ on $\overline{B}_\omega(x, r/2)$ and $\sup_X |\nabla \chi|_\omega \leq C/r$. Thus $\rho\chi$ is a C -Lipschitz function.

We pick $s \in (1, 2n/(2n - 1))$ and denote by $s^* = s/(s - 1) \in (2n, \infty)$ the conjugate exponent of s . Applying Green’s formula to $\rho\chi$ at $y \in \overline{B}_\omega(x, r)^c$, we obtain

$$\begin{aligned} \int_X \rho\chi\omega^n &= \frac{1}{V_\omega} \int_X d(\chi\rho) \wedge d^c G_y \wedge \omega^{n-1} \\ &\leq C \left(\int_X |\nabla G_y|_\omega^s \omega^n \right)^{1/s} (\text{Vol}_\omega(B_\omega(x, r)))^{1/s^*} \\ &\leq CV_\omega^{1/s} (\text{Vol}_\omega(B_\omega(x, r)))^{1/s^*}, \end{aligned}$$

by Theorem 2.3.3. Applying Green’s formula again with $z \in \partial B_\omega(x, r/2)$, we infer

$$\begin{aligned} \frac{r}{2} &= \frac{1}{V_\omega} \int_X \rho\chi\omega^n + \frac{1}{V_\omega} \int_X d(\chi\rho) \wedge d^c G_z \wedge \omega^{n-1} \\ &\leq 2CV_\omega^{-1+1/s} (\text{Vol}_\omega(B(x, r)))^{1/s^*} = 2C \left(\frac{\text{Vol}_\omega(B(x, r))}{V_\omega} \right)^{1/s^*}. \end{aligned}$$

Since $s^* = s/(s - 1) \in (2n, \infty)$, this implies the non-collapsing estimate.

Set $\mathcal{F} := \{(X_t, d_{\omega_t}), \omega \in \mathcal{K}(X, p, A, B), t \in \mathbb{D}^*\}$. Gromov’s theorem [BBI01, Th. 7.4.15] ensures that this family is pre-compact in the Gromov-Hausdorff topology iff there is a uniform bound on the diameters and for each $\varepsilon > 0$ one can find in each $X \in \mathcal{F}$ an ε -net consisting of no more than $N = N(\varepsilon)$ -points. This follows easily from the uniform non-collapsing estimate, together with the uniform upper bound on the global volumes $\text{Vol}_{\omega_t}(X_t) \leq V_0$. □

3.2. DIAMETERS OF SMOOTHABLE CSCK METRICS. — In this section we consider (X, β) a compact n -dimensional Kähler variety with Kawamata log terminal (klt) singularities which admits a \mathbb{Q} -Gorenstein smoothing $\pi : \mathcal{X} \rightarrow \mathbb{D}$, i.e.,

- \mathcal{X} is a \mathbb{Q} -Gorenstein complex space of complex dimension $n + 1$,
- π is a proper surjective holomorphic map such that $\mathcal{X}_{|\pi^{-1}(0)} \sim X_0$,
- $X_t = \mathcal{X}_{|\pi^{-1}(t)}$ is smooth for all $t \in \mathbb{D}^*$,
- there is a smooth form β_χ such that $\beta_t = \beta_\chi|_{X_t}$ is Kähler with $\beta_0 = \beta$.

When the Mabuchi functional M_β is coercive, it has been shown in [PTT23, Th. C] that so are the Mabuchi functionals M_{β_t} , hence there exists a unique constant scalar curvature Kähler metric ω_t cohomologous to β_t .

COROLLARY 3.2. — *In the setting above, there exists $D > 0$ such that for all $t \in \mathbb{D}^*$,*

$$\text{diam}(X_t, \omega_t) \leq D.$$

Proof. — For $t \in \mathbb{D}^*$, the unique constant scalar curvature Kähler metric $\omega_t \in [\beta_t]$ satisfies the following coupled equations:

$$\begin{cases} (\beta_t + dd^c \varphi_t)^n = e^{F_t} \beta_t^n, \\ \Delta_{\omega_t} F_t = -\bar{s}_t + \text{Tr}_{\omega_t} \text{Ric}(\beta_t). \end{cases}$$

The volumes $\int_X \beta_t^n$ are uniformly bounded away from zero and infinity, while it follows from [PTT23, Th. 5.3] that the smooth densities $f_t = e^{F_t}$ satisfy $\|f_t\|_{L^p(X_t, \beta_t^n)} \leq B$, for some $p > 1$ and for all $t \in \mathbb{D}^*$. Thus $\omega \in \mathcal{K}(X, p, 1, B)$, and the uniform bound for $\text{diam}(X_t, \omega_t)$ follows from Theorem 3.1. \square

3.3. ESTIMATES ALONG THE KÄHLER-RICCI FLOW. — We assume here that X is a compact Kähler manifold with K_X nef (a smooth minimal model). We consider

$$\frac{\partial \omega_t}{\partial t} = -\text{Ric}(\omega_t) - \omega_t,$$

the normalized Kähler-Ricci flow starting from an initial Kähler metric ω_0 . It follows from [TZ06] that this flow exists for all times $t > 0$. We refer the reader to [Tos18, Tos24] for recent overviews of the theory of the Kähler-Ricci flow.

It has been a challenging open problem up to now to obtain uniform geometric bounds along the flow as $t \rightarrow +\infty$. We obtain here the following striking result which—in particular—solves [Tos18, Conj. 6.2]:

THEOREM 3.3. — *Fix δ such that $0 < \delta < 1$. There exist $C = C(\omega_0) > 0$ and $c = c(\omega_0, \delta) > 0$ such that for all $t > 0$ and $x \in X$,*

$$\text{diam}(X, \omega_t) \leq C \quad \text{and} \quad \text{Vol}_{\omega_t}(B_{\omega_t}(x, r)) \geq cr^{2n+\delta} V_{\omega_t},$$

whenever $0 < r < \text{diam}(X, \omega_t)$.

This result has been established by Guo-Phong-Song-Sturm under the extra assumption that the Kodaira dimension $\text{kod}(X)$ of X is non-negative (see [GPSS24a, Th. 2.3]). Recall that

$$\text{kod}(X) = \limsup_{m \rightarrow +\infty} \left[\frac{\log \dim H^0(X, mK_X)}{\log m} \right]$$

measures the asymptotic growth of the number of holomorphic pluricanonical forms, while the numerical dimension $\nu = \nu(X) = \sup\{k \geq 0, c_1(K_X)^k \neq 0\}$ measures the asymptotic growth of volumes under the NKRF,

$$(3.1) \quad \text{Vol}_{\omega_t}(X) = \binom{n}{\nu} c_1(K_X)^\nu \{\omega_0\}^{n-\nu} e^{-(n-\nu)t} [1 + o(1)].$$

It is known that $\nu(X) \geq \text{kod}(X)$ and the equality turns out to be equivalent to the abundance conjecture (see [Tos18, Conj. 6.3]). The extra assumption made in

[GPSS24a, Th. 2.3] requires that $\text{kod}(X) \neq -\infty$; it is equivalent to

$$\nu(X) \geq 0 \stackrel{?}{\implies} \text{kod}(X) \geq 0,$$

which has been an open problem for the last fifty years.

Proof. — Fix χ a smooth closed $(1, 1)$ -form representing $c_1(K_X)$. It follows from the $\partial\bar{\partial}$ -lemma that $\omega_t = e^{-t}\omega_0 + (1 - e^{-t})\chi + dd^c\varphi_t$ for some $\varphi_t \in \mathcal{C}^\infty(X)$. One can normalize the latter so that the NKRF is equivalent to the parabolic equation

$$(e^{-t}\omega_0 + (1 - e^{-t})\chi + dd^c\varphi_t)^n = e^{\partial_t\varphi_t + \varphi_t - (n-\nu)t}\omega_0^n$$

on $X \times \mathbb{R}^+$, with $\varphi_0 = 0$. Here ν denotes the numerical dimension of K_X .

We claim that there exists $C_0, C_1 > 0$ such that for all $t > 0$ and $x \in X$,

$$\varphi_t(x) \leq C_0 \quad \text{and} \quad \partial_t\varphi_t(x) \leq C_1.$$

It will then follow from (3.1) that $V_{\omega_t}^{-1}\omega_t^n = f_t\omega_0^n$ with $\|f_t\|_\infty \leq C_2$. The uniform diameter and non-collapsing estimates are thus consequences of Theorem A.

Upper bound on φ_t . — We set $V_t = \int_X \omega_t^n$ and $I(t) = \int_X \varphi_t \omega_0^n / V_0$. Since the forms $e^{-t}\omega_0 + (1 - e^{-t})\chi \leq C_0\omega_0$ are uniformly bounded from above, it follows from [GZ17, Prop. 8.5] that $\sup_X \varphi_t \leq I(t) + C$ for some uniform constant $C > 0$, hence it suffices to bound $I(t)$ from above. The concavity of the logarithm ensures

$$\int_X \log\left(\frac{\omega_t^n}{\omega_0^n}\right) \frac{\omega_0^n}{V_0} = \log V_t - \log V_0 + \int_X \log\left(\frac{\omega_t^n/V_t}{\omega_0^n/V_0}\right) \frac{\omega_0^n}{V_0} \leq \log V_t - \log V_0.$$

Therefore

$$I'(t) = \int_X \partial_t\varphi_t \frac{\omega_0^n}{V_0} \leq -I(t) + [\log V_t + (n - \nu)t - \log V_0] \leq -I(t) - C'.$$

Using that $I(0) = 0$ we conclude that $I(t) \leq C'$, as desired.

Upper bound on $\partial\varphi_t$. — Consider $H(t, x) = (e^t - 1)\partial_t\varphi_t(x) - \varphi_t(x) - h(t)$, where $h(t) = \nu t + (n - \nu)e^t$. A direct computation shows that

$$\left(\frac{\partial}{\partial t} - \Delta_{\omega_t}\right)(H) = -\text{Tr}_{\omega_t}(\omega_0) + (n - \nu)[e^t - 1] + n - h'(t) \leq 0.$$

The maximum principle thus ensures that $H(t, x) \leq \max_{x \in X} H(0, x) \leq 0$, hence

$$(e^t - 1)\partial_t\varphi_t(x) \leq \varphi_t(x) + \nu t + (n - \nu)e^t \leq C_0 + ne^t.$$

Thus $\partial_t\varphi_t(x) \leq C_1$ for all $t \geq 1$, while such an upper bound is clear on $X \times [0, 1]$ by compactness. The proof is complete. \square

3.4. FIBERWISE CALABI-YAU METRICS. — Our estimates can be applied in the study of adiabatic limits of Ricci-flat Kähler metrics on a Calabi-Yau manifold under the degeneration of the Kähler class, as initiated by Tosatti in [Tos10].

Let (X, β_X) be an N -dimensional Kähler manifold with nowhere vanishing holomorphic volume form Ω normalized so that $\int_X i^{N^2} \Omega \wedge \bar{\Omega} = 1$. Let $\pi : X \rightarrow Y$ be a holomorphic fibration onto a Riemann surface (Y, β_Y) , with connected fibres by X_y , $y \in Y$. We assume without loss of generality that $\int_{X_y} \beta_X^{N-1} = 1$ and $\int_Y \beta_Y = 1$, and that the singular fibres have at worst canonical singularities. We let ω_t denote the Calabi-Yau metrics on X in the class of $\beta_t = t\beta_X + \pi^*\beta_Y$, $t > 0$.

Understanding the behavior of (X, ω_t) as $t \rightarrow 0$ has been the subject of intensive studies in the past decade, notably through collaborations of Gross, Hein, Li, Tosatti, Weinkove, Yang and Zhang (see [Tos20] and the references therein). Theorem A allows one to provide an alternative proof of the main result of [Li23].

THEOREM 3.4 ([Li23, Th. 1.4]). — *There exists $C > 0$ such that*

$$\text{diam}\left(X_y, \frac{\omega_t}{t}\right) \leq C$$

for all $0 < t \leq 1$ and for all $y \in Y \setminus S$.

Here $S \subset Y$ denotes the discriminant locus; π is a submersion over $Y \setminus S$ so that every fiber X_y , $y \in Y \setminus S$ is smooth.

Proof. — Set $n = N - 1$ and $\omega_y = \omega_t/t|_{X_y}$. Observe that $V_{\omega_y} = \int_{X_y} \omega_y^n = \int_{X_y} \beta_X^{N-1} = 1$. It follows from [Li23, Prop. 2.3] and [DNGG23, Lem. 4.4] that there exists $p > 1$ such that

$$\omega_y^n = f(\beta_X|_{X_y})^n \quad \text{with} \quad \int_{X_y} f^p(\beta_X|_{X_y})^n \leq B,$$

for some constant $B > 0$ independent of t, y . The conclusion follows therefore from Theorem 3.1 applied to the family (X_y, ω_y) with $A = 1$. \square

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