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Marcello BERNARDARA, Enrico FATIGHENTI, & Laurent MANIVEL

**Nested varieties of K3 type**

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## NESTED VARIETIES OF K3 TYPE

BY MARCELLO BERNARDARA, ENRICO FATIGHENTI  
& LAURENT MANIVEL

**ABSTRACT.** — In this paper, we study and relate Calabi-Yau subHodge structures of Fano subvarieties of different Grassmannians. In particular, we construct isomorphisms between Calabi-Yau subHodge structures of hyperplane sections of  $\text{Gr}(3, n)$  and those of other varieties arising from symplectic Grassmannians and congruences of lines or planes. We describe in details the case of the hyperplane sections of  $\text{Gr}(3, 10)$ , which are Fano varieties of K3 type whose K3 Hodge structures are isomorphic with those of other Fano varieties such as the Peskine variety. These isomorphisms are obtained via the study of geometrical correspondences between different Grassmannians, such as projections and jumps via two-step flags. We also show how these correspondences allow to construct crepant categorical resolutions of the Coble cubics. Finally, we prove a generalization of Orlov's formula on semiorthogonal decompositions for blow-ups, which provides conjectural categorical counterparts of our Hodge-theoretical results.

**RÉSUMÉ** (Variétés de type K3 et leurs relations). — Dans cet article nous étudions et construisons des relations entre les sous-structures de Hodge de type Calabi-Yau sur des variétés de Fano qui sont des sous-variétés de grassmanniennes. En particulier, nous construisons un isomorphisme entre les sous-structures de Hodge de type Calabi-Yau des sections hyperplanes de  $\text{Gr}(3, n)$  et celles d'autres variétés provenant de grassmanniennes symplectiques et de congruences de droites ou de plans. Nous détaillons le cas des sections hyperplanes de  $\text{Gr}(3, 10)$ , qui sont des variétés de Fano de type K3 dont la structure K3 est isomorphe à celle d'autres variétés de Fano comme la variété de Peskine. Ces isomorphismes sont obtenus via des correspondances géométriques entre différentes grassmanniennes, notamment des projections et des sauts via des variétés de drapeaux. Nous montrons aussi que ces correspondances permettent de construire une résolution catégorielle crépante de toute cubique de Coble. De plus, on montre une généralisation de la formule d'Orlov sur les décompositions semi-orthogonales des éclatements, qui permet de donner des versions (conjecturales) des résultats ci-dessus.

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## 1. INTRODUCTION

Fano varieties of K3 type have recently been investigated because of their potential relations with hyperKähler manifolds [10, 13, 19]. More generally, Fano varieties of Calabi-Yau type are endowed with special Hodge structures which can sometimes be mapped, through adequate correspondences, to auxiliary manifolds, or, more generally, used to obtain geometrical information on the variety, either of cycle-theoretical nature (see [16] for cubic fourfolds and [14] for Griffiths groups) or on moduli spaces (see [10]). In some cases these manifolds are genuine K3 surfaces or Calabi-Yau manifolds. However, in most cases there is no actual Calabi-Yau manifold, but rather a noncommutative version, and the Hodge structures and correspondences underlie special subcategories of derived categories. A typical example is that of cubic fourfolds and their Kuznetsov categories [23, 1], which are subcategories of K3 type in their derived categories (conjectured to be of geometric origin only for rational cubics). In this case the special Hodge structure of the cubic fourfold can be transferred to its variety of lines on which it gives rise to a genuine symplectic structure [5]. Similar phenomena can be observed for the Debarre-Voisin fourfolds, whose symplectic structures are induced from special Hodge structures on certain hyperplane sections of Grassmannians [10]. Other examples include hyperplane sections of symplectic Grassmannians [13].

In this paper we explore the above examples in a more general context, and relate their Hodge structures to each other. First of all, hyperplane sections of Grassmannians are known to provide examples of Fano varieties of Calabi-Yau type under rather general hypotheses: this was observed by Kuznetsov [24] at the categorical level, and we provide a Hodge-theoretic statement (Theorem 3) under slightly more general hypotheses. Then we transfer the resulting special Hodge structures to auxiliary varieties inside other Grassmannians, through two different types of basic operations: projections on the one hand, and jumps on the other hand, the latter being defined by the natural correspondences afforded by two-steps flag manifolds. Our results are most precise for hyperplane sections of Grassmannians of three-planes, for which a projection induces an additional two-form, while a jump defines a congruence of lines (see, e.g., [9]). We obtain relations with natural auxiliary varieties at several levels: for Hodge structures, sometimes for derived categories, and also in the Grothendieck ring of varieties. One of the tools we use is an extension (Proposition 49) of the famous structure theorem of Orlov for derived categories of smooth blow-ups, to maps whose fibers can be projective spaces of two different dimensions. These kinds of results are of independent interest and are probably known to experts, but did not appear in the literature until [20], where the case of the projectivization of the cokernel of a map between two vector bundles is treated.

Congruences of lines defined by skew-symmetric three-forms were studied in [9], where the authors asked how to compute their Hodge numbers. These congruences are Fano varieties, which we prove to be prime of index three, and we explain how to deduce their Hodge numbers from those of hyperplane sections of Grassmannians,

which are not difficult to compute. In the special case of forms in ten variables (the Debarre-Voisin example) the derived category of a general hyperplane section of  $\text{Gr}(3, 10)$  admits K3 subcategory, which we call the Kuznetsov component. An additional player is the Peskine variety in  $\mathbb{P}^9$  [9], whose Hodge numbers we also determine: remarkably, its Hodge structure exhibits not just one, but three Hodge substructures of K3 type. We prove (see Theorem 21 for a more detailed statement):

**THEOREM.** — *For  $Y \subset \text{Gr}(3, 10)$  a very general hyperplane section, let  $K$  denote the Hodge substructure of  $H^{20}(Y, \mathbb{C})$  given by the vanishing cohomology. Then three copies of  $K$  are contained in the cohomology of the associated congruence of lines  $T \subset \text{Gr}(2, 10)$  (resp. of the associated Peskine variety  $P \subset \mathbb{P}^9$ ).*

Actually, these copies of  $K$  constitute the essential part of the cohomology of both  $T$  and  $P$ . Moreover, we conjecture that it should be possible to enhance these observations to the categorical level: the derived category of the Peskine variety (resp. of the congruence of lines) should be made of three copies of the Kuznetsov component plus 4 (resp. 9) exceptional objects. We construct such exceptional objects explicitly (Propositions 25 and 27).

Three-forms in nine variables are also remarkable because of their relations with Coble cubics of abelian surfaces. Indeed, contracting a given three-form in nine variables by a non zero vector gives a two-form in eight variables; the locus where this contraction yields a degenerate two-form is a Coble cubic. We conjecture that in this case, a crepant categorical resolution of singularities of the Coble cubic defined by a congruence of lines could be deduced and admits a rectangular Lefschetz decomposition. Crepant categorical resolution of singularities have recently been investigated in several different contexts (see [21, 25, 27]). Here we construct geometric resolutions of singularities of the Coble cubics in terms of an extra skew-symmetric two form, and we finally deduce (see Theorem 49 for a more precise statement):

**THEOREM.** — *Coble cubics admit weakly crepant categorical resolutions of singularities.*

**STRUCTURE OF THE PAPER.** — In Section 2 we recall the definition of Fano of CY-type and show that hyperplane sections of Grassmannians are an example of such varieties (Theorem 3). In Section 3 we describe the main geometrical constructions of this paper, namely projection and jumps between different Grassmannians and the induced correspondences on hyperplane sections. We do this in the most general setting possible, and then specialize to the case of  $\text{Gr}(3, n)$  to relate their hyperplane sections to congruences of planes and lines. We describe the details for the K3 case, that is,  $\text{Gr}(3, 10)$ , in Section 4, building upon the results from previous sections and diagram (14), separating Hodge theoretical and categorical construction. Some technical results as the calculation of normal bundle of special loci are postponed to the last subsection of Section 4. The Coble cubic is treated in Section 5 alongside with the study of projections and jumps for  $\text{Gr}(3, 9)$ . Finally, the description of the Hodge

structure and a semiorthogonal decomposition for maps that are generically projective bundles with higher dimensional fibers on a special locus are given in Appendices A and B respectively.

NOTATIONS. — We use the following notations: for an integer  $n$ ,  $V_n$  is a complex vector space of dimension  $n$ . The Grassmannian  $\mathrm{Gr}(k, V_n)$  (or  $\mathrm{Gr}(k, n)$  for short) parametrizes  $k$ -dimensional linear subspaces of  $V_n$ , and  $U$  and  $O$  are the tautological (rank  $k$ ) and quotient (rank  $n - k$ ) bundles, respectively. Similar notations will be used for the 2-step flag varieties  $\mathrm{Fl}(k_1, k_2, V_n)$ , where  $U_{k_i}$  denotes the rank  $k_i$  tautological bundle. If the numerical values are unambiguous in the context, we will use shorthands  $\mathrm{Gr}$  and  $\mathrm{Fl}$  to make the text more readable.

We will generally denote skew-symmetric 2-forms by  $\omega$  and 3-forms by  $\Omega$ .

Given a set  $\{\omega_1, \dots, \omega_r\}$  of  $r$  linearly independent skew-symmetric 2-forms on  $V_n$ , we will denote by  $I_r \mathrm{Gr}(k, V_n)$ , and call an  $r$ -th symplectic Grassmannian, the subvariety of those  $k$ -spaces that are isotropic with respect to  $\omega_1, \dots, \omega_r$ .

If these forms are general, since  $I_r \mathrm{Gr}(k, V_n)$  can be seen as the zero locus of a general section of the globally generated vector bundle  $(\Lambda^2 U^*)^{\oplus r}$ , it must be smooth of dimension  $k(n - k) - rk(k - 1)/2$  (or empty).

Notice that, if  $k = 2$ ,  $\Lambda^2 U^*$  is nothing but the Plücker line bundle, so that  $I_r \mathrm{Gr}(2, V_n)$  is a  $r$ -iterated hyperplane section in the Plücker embedding. For  $r = 2$  we get the bisymplectic Grassmannians that were considered in [6].

Given a set  $\{\Omega_1, \dots, \Omega_r\}$  of  $r$  linearly independent skew-symmetric 3-forms on  $V_n$ , and  $k > 3$ , we will denote by  $T_r \mathrm{Gr}(k, V_n)$ , and call an  $r$ -th 3-alternating congruence Grassmannian, the subvariety of those  $k$ -spaces that are isotropic with respect to  $\Omega_1, \dots, \Omega_r$ . Notice that, if  $k = 3$ ,  $\Lambda^3 U^*$  is nothing but the Plücker line bundle, so that  $T_r \mathrm{Gr}(3, V_n)$  is a  $r$ -iterated hyperplane section in the Plücker embedding. If  $k < 3$ , we will denote by  $T_r \mathrm{Gr}(k, V_n)$  the set of those  $k$ -planes  $U = \langle u_1, \dots, u_k \rangle$  of  $V_n$  such that the form  $\Omega(u_1, \dots, u_k, \bullet)$  is degenerate (where  $\bullet$  stands for  $3 - k$  variables).

If  $k > 3$  and the  $\Omega_i$  are general, since  $T_r \mathrm{Gr}(k, V_n)$  can be seen as the zero locus of a general section of  $(\Lambda^3 U^*)^{\oplus r}$ , a globally generated vector bundle, it must be smooth of dimension  $k(n - k) - r \binom{k}{3}$  (or empty). For  $k = 2$ ,  $T_r \mathrm{Gr}(2, V_n)$  is the zero locus of a general section of  $O^*(1)^{\oplus r}$ . So it is  $n - 2$  dimensional for  $r = 1$  and 0 dimensional for  $r = 2$ .

We will be mostly interested in the case  $k \in \{2, 3\}$ . We will use the following notation:

–  $I_r(3, n) := I_r \mathrm{Gr}(3, V_n)$ , which has expected dimension  $3(n - r - 3)$ .

We denote also  $I(3, n) := I_1(3, n)$ ,

–  $I_r(2, n) := I_r \mathrm{Gr}(2, V_n)$ , the  $r$ -th iterated hyperplane section of  $\mathrm{Gr}(2, V_n)$ .

We denote also  $I(2, n) := I_1(2, n)$ ,

–  $T_r(3, n) := T_r \mathrm{Gr}(3, V_n)$ , the  $r$ -th iterated hyperplane section of  $\mathrm{Gr}(3, V_n)$ .

We denote also  $T(3, n) := T_1(3, n)$ ,

–  $HI_r(3, n) := T_1 I_r \mathrm{Gr}(3, V_n)$ , the hyperplane section of  $I_r(3, n) = I_r \mathrm{Gr}(3, V_n)$ .

We denote also  $HI(3, n) := HI_1(3, n)$ .

–  $T(2, n) := T_1 \text{Gr}(2, V_n)$ . This is the scheme of planes  $P = \langle p_1, p_2 \rangle$  such that the linear form  $\Omega_1(p_1, p_2, \bullet)$  vanishes identically. It is the zero-locus of a section of  $\mathcal{O}^*(1)$ , so the expected dimension is  $n - 2$ .

–  $P(1, n) := T_1 \text{Gr}(1, V_n)$ . This is the scheme of lines  $L = \langle p \rangle$  such that the two form  $\Omega_1(p, \bullet, \bullet)$  does not have maximal rank. If  $\Omega_1$  is general, this is a codimension 3 subvariety (smooth for  $n \not\equiv 10$ ) of  $\mathbb{P}^{n-1}$  if  $n$  is even, or a hypersurface of degree  $(n - 3)/2$  (smooth for  $n \not\equiv 6$ ) in  $\mathbb{P}^{n-1}$  if  $n$  is odd.

## 2. FANO VARIETIES OF CALABI-YAU TYPE AND SECTIONS OF GRASSMANNIANS

2.1. DEFINITIONS. — Fano varieties of Calabi-Yau type are the main subject of this paper. The definition of such varieties (Definition 1) is of Hodge-theoretical nature. For a complete introduction to Hodge theory, the reader can refer to [33].

DEFINITION 1. — Let  $X$  be a smooth, projective  $n$ -dimensional Fano variety and  $j$  be a non-negative integer. The cohomology group  $H^j(X, \mathbb{C}) \cong \bigoplus_{p+q=j} H^{p,q}(X)$  (with  $j > k$ ) is said to be of  $k$  Calabi-Yau type if

- $h^{(j+k)/2, (j-k)/2}(X) = 1$ ;
- $h^{p,q}(X) = 0$ , for all  $p + q = j$ ,  $p < (k + j)/2$ .

Moreover,  $X$  is said to be of  $k$  (pure) Calabi-Yau type ( $k$ -FCY or Fano of  $k$ -CY type for short) if there exists at least a positive integer  $j$  such that  $H^j(X, \mathbb{C})$  is of  $k$  Calabi-Yau type. Similarly,  $X$  is said to be of mixed  $(k_1, \dots, k_s)$  Calabi-Yau type if the cohomology of  $X$  has different level CY structures in different weights.

A  $k$ -FCY  $X$  is of *strong* CY-type if it has only one  $k$ -Calabi-Yau structure located in the middle cohomology, and the natural map (for  $2p = n - k$ )

$$H^{n-p}(X, \Omega_X^p) \otimes H^1(X, TX) \longrightarrow H^{n-p+1}(X, \Omega_X^{p-1})$$

is an isomorphism.

The notion of strong CY-type is the one which is in general required in the literature, as in [19], where the case  $k = 3$  is investigated in a multitude of cases. However, we prefer here to consider the CY condition without the assumption on the deformation space. In fact already in the case  $k = 2$  this assumption leaves out significant examples, such as the (Gushel–Mukai) index 2 Fano fourfold of genus 6. Sticking to the examples relevant to this paper,  $T_1(3, 10)$  will be of strong K3 type, whereas  $HI_i(3, 10 - i)$  (for  $i = 1, 2$ ) will not satisfy this extra assumption. Finally, relevant examples of FK3 with multiple K3 structures include  $T(2, 10)$  or  $P(1, 10)$ , while a Fano with mixed  $(2, 3)$ -CY structure is  $HT(2, 9)$ . Many other examples and computations can be found in [13].

The main example of Fano varieties of Calabi-Yau type that will be treated in this paper is that of hyperplane sections of Grassmannians. We will show that hyperplane sections of Grassmannians  $\text{Gr}(k, V_n)$  carry a Hodge structure of (strong) Calabi-Yau type, extending in a weak form a result of Kuznetsov to the cases where  $n$  and  $k$  are not coprime.

2.2. COHOMOLOGY OF TWISTED FORMS ON GRASSMANNIANS. — The cohomology groups of sheaves of twisted differential forms on a Grassmannian  $\text{Gr} = \text{Gr}(k, V_n)$  have been extensively studied in [31], who devised some combinatorial recipes to compute them. Let  $\ell = n - k$ . The basic observation is that the bundle of  $j$ -forms on  $\text{Gr}$  decomposes as

$$\Omega_{\text{Gr}}^j = \bigoplus_{\alpha} S_{\alpha^{\vee}} Q^* \otimes S_{\alpha} T,$$

where the sum is over the set of all partitions  $\alpha = (\alpha_1, \dots, \alpha_k)$  of size  $\alpha_1 + \dots + \alpha_k = j$ , such that  $\ell > \alpha_1 > \dots > \alpha_k > 0$ . Moreover,  $\alpha^{\vee}$  is the dual partition, defined by  $\alpha_m^{\vee} = \#\{r, \alpha_r > m\}$ .

The Borel–Bott–Weil theorem allows to decide whether such a partition  $\alpha$  contributes to the cohomology of  $\Omega_{\text{Gr}}^j(-i)$  (we will only need to consider the case where  $i > 0$ ). The rule is the following. Denote by  $A(i)$  the sequence  $(\alpha_1 - 1 + i, \dots, \alpha_k - k + i)$ . Then  $\alpha$  does contribute to the cohomology of  $\Omega_{\text{Gr}}^j(-i)$  if and only if the intersection of  $A(i)$  with the interval  $[-k, \ell - 1]$  is contained in  $A(0)$ .

When this condition is fulfilled, observe that the largest integer of  $A(i)$ , that is,  $\alpha_1 - 1 + i$ , must be bigger or equal to  $\ell$ . Indeed, if it were not the case, then  $A(0)$  and  $A(i)$  would both be contained in  $[-k, \ell - 1]$ , and then the condition would be that  $A(i) \subset A(0)$ , which is absurd. So let  $r$  be the largest integer such that  $\alpha_r - r + i > \ell$ , and suppose that  $r < k$ . Then  $\alpha_{r+1} - (r + 1) + i$ , being bigger than  $-k$ , must belong to  $A(0)$ : there exists  $s_1$  such that  $\alpha_{r+1} - (r + 1) + i = \alpha_{s_1} - s_1$  (and then necessarily  $s_1 \leq r$ ). More generally, for any  $t > 1$  such that  $r + t \leq k$ , there must exist  $s_t$  such that  $\alpha_{r+t} - (r + t) + i = \alpha_{s_t} - s_t$ .

These strong combinatorial conditions can be nicely rephrased in terms of hook numbers [31]. When they are fulfilled, the partition  $\alpha$  contributes to exactly one twisted Hodge number  $h^q(\Omega_{\text{Gr}}^j(-i))$ , and its contribution can be computed as the dimension of a certain Schur power of  $V_n$ . These calculations are performed via standard techniques such as the Bott–Borel–Weil theorem and the Weyl dimension formula, for which more details can be found in [34].

2.3. HODGE NUMBERS OF HYPERPLANE SECTIONS. — Let  $Y$  be a smooth hyperplane section of  $\text{Gr}(k, n)$ , of dimension  $d = k(n - k) - 1$ . By the Lefschetz hyperplane theorem,  $Y$  has the same Hodge numbers as  $\text{Gr}(k, n)$  in degree smaller than  $d$ . So the Euler characteristic of  $\Omega_Y^q$  is

$$\chi(\Omega_Y^q) = (-1)^q h^{q,q}(\text{Gr}(k, n)) + (-1)^{d-q} h^{q,d-q}(Y),$$

for any  $q \neq d - q$ . Since we know the Hodge numbers of  $\text{Gr}(k, n)$ , we just need to compute these Euler characteristics in order to get all the Hodge numbers of  $Y$ . In order to do so, we use the normal exact sequence and its wedge powers, which yield the long exact sequences

$$0 \longrightarrow \mathcal{O}_Y(-q) \longrightarrow \Omega_{\text{Gr}}(-q + 1)|_Y \longrightarrow \dots \longrightarrow \Omega_{\text{Gr}|Y}^q \longrightarrow \Omega_Y^q \longrightarrow 0$$

for any  $q > 0$ . Taking the that is, sum of the Euler characteristics, we get:

PROPOSITION 2. — *The Hodge numbers of a smooth hyperplane section  $Y$  of  $\text{Gr} = \text{Gr}(k, V_n)$  can be computed as*

$$h^{q,d-q}(Y) = \sum_{i>0} (-1)^{d-q+i} (\chi(\Omega_{\text{Gr}}^{q-i}(-i)) - \chi(\Omega_{\text{Gr}}^{q+1-i}(-i))).$$

This formula can be implemented to compute the Hodge numbers effectively. Let us now turn to our main application.

Kuznetsov proved in [24, Cor. 4.4] that when  $k$  and  $\ell$  are coprime, and  $d$  divides  $n = k + \ell$ , the derived category of a smooth hypersurface  $Y$  of degree  $d$  in the Grassmannian  $\text{Gr}(k, V_n)$  admits an exceptional collection whose right orthogonal is a Calabi-Yau category. This implies that  $Y$  is of pure derived Calabi-Yau type. When  $k$  and  $\ell$  are not coprime, the Grassmannian  $\text{Gr}(k, V_n)$  does not necessarily admit a rectangular Lefschetz decomposition and the situation is more complicated. We will prove the following much weaker statement, but without any coprimality condition.

THEOREM 3. — *Suppose that  $n > 3k$  and  $k > 2$ . A smooth hyperplane section  $Y$  of  $\text{Gr}(k, V_n)$  is of  $N$  Calabi-Yau type for  $N = k(n - k) + 1 - 2n$ .*

Note that the condition that  $k > 2$  is necessary, since a hyperplane section of  $\text{Gr}(2, V_n)$  has pure cohomology. Probably the condition that  $n > 3k$  can be improved, but note also that a hyperplane section of  $\text{Gr}(3, V_6)$  has pure cohomology.

*Proof.* — Consider a partition  $\alpha$ , as in section 2.2, that contributes to the cohomology of  $\Omega_{\text{Gr}}^j(-i)$ . Let  $r$  be the largest integer such that  $\alpha_r - r + i > \ell$ . As we observed, if  $r < k$ , there must exist an integer  $s = s_1 \triangleleft r$  such that  $\alpha_{r+1} - (r + 1) + i = \alpha_s - s$ . From  $i > \ell + r - \alpha_r$  and  $i = \alpha_s - \alpha_{r+1} + r + 1 - s$  we deduce that  $\alpha_s + \alpha_r > \ell + s - 1$ , and then

$$i + j = \alpha_1 + \dots + 2\alpha_s + \dots + \alpha_r + \dots + (r + 1 - s) > 2\alpha_s + \alpha_r > \frac{3}{2}(\alpha_s + \alpha_r) > \frac{3\ell}{2}.$$

In the range  $i + j \triangleleft 3\ell/2$ , the only partitions that contribute to the cohomology of  $\Omega_{\text{Gr}}^j(-i)$  must therefore be such that  $\alpha_k - k + i > \ell$ . Then their contribution occurs in maximal degree, which means that

$$\chi(\Omega_{\text{Gr}}^j(-i)) = (-1)^{\dim \text{Gr}} h^{\dim \text{Gr}}(\Omega_{\text{Gr}}^j(-i)) = (-1)^{\dim \text{Gr}} h^0(\Omega_{\text{Gr}}^{\dim \text{Gr}-j}(i)).$$

The latter can then be deduced from the Borel-Weil theorem. To be more explicit, the partition  $\alpha$  contributes by the dimension of the Schur power  $S_{\hat{\alpha}} C^n$ , where

$$\hat{\alpha} = (\alpha_1 + i - n, \dots, \alpha_k + i - n, -\alpha_\ell^\vee, \dots, -\alpha_1^\vee).$$

Finally, observe that the condition that  $\alpha_k - k + i > \ell$  implies that  $i + j > n + \alpha_1 + \dots + \alpha_{k-1}$ . We deduce that, for  $n < 3\ell/2$ , or equivalently  $\ell > 2k$ :

- (a) For  $i + j < n$ ,  $\chi(\Omega_{\text{Gr}}^j(-i)) = 0$ .
- (b) For  $i + j = n$ , the only possibility is  $\alpha = (0, \dots, 0)$ , hence  $j = 0$ ,  $i = n$ , and  $\hat{\alpha} = (0, \dots, 0)$ ; as a consequence,  $\chi(\Omega_{\text{Gr}}^j(-i)) = \delta_{j,0}$ .



(c) For  $i+j = n+1$ , the only possibilities are  $\alpha = (0, \dots, 0)$ , hence  $j = 0$ ,  $i = n+1$  and  $\hat{\alpha} = (1, \dots, 1, 0, \dots, 0)$  (with  $k$  ones); or  $\alpha = (1, 0, \dots, 0)$ , hence  $j = 1$ ,  $i = n$  and  $\hat{\alpha} = (1, 0, \dots, 0, -1)$ ; as a consequence,

$$\chi(\Omega_{\text{Gr}}^j(-i)) = \delta_{j,0} \binom{n}{k} + \delta_{j,1}(n^2 - 1).$$

Using Proposition 2, we deduce that

$$h^{q,d-q}(Y) = 0 \quad \text{for } q < n-1,$$

while  $h^{n-1,d-n+1}(Y) = 1$ . This proves that  $Y$  is of  $N$  Calabi-Yau type.

Note that the next Hodge number is

$$h^{n,d-n}(Y) = (-1)^d \left( \chi(\mathcal{O}_{\text{Gr}}(-n)) - \chi(\mathcal{O}_{\text{Gr}}(-n-1)) + \chi(\Omega_{\text{Gr}}(-n)) \right) = \binom{n}{k} - n^2,$$

which is equal to  $h^1(Y, TY)$ . This suggests that  $Y$  is of strong  $N$  Calabi-Yau type, but we did not check it.

### 3. PROJECTIONS AND JUMPS

In this section we introduce two geometric correspondences between Grassmannians. The first one is a *projection*: given a linear projection  $V_n \rightarrow V_m$ , there is for any  $k$  an induced (rational) projection from  $\text{Gr}(k, V_n)$  to  $\text{Gr}(k, V_m)$ . The second one is a *jump*: it goes from  $\text{Gr}(k, V_n)$  to  $\text{Gr}(h, V_n)$  and is obtained by passing through the partial flag  $\text{Fl}(h, k, V_n)$ . We will analyze how these correspondences restrict to subvarieties of the form  $I_r(3, n)$  and their hyperplane sections  $HI_r(3, n)$ .

**3.1. PROJECTIONS OF GRASSMANNIANS.** — Given  $V_n$  and  $V_m$  complex vector spaces of dimension  $n$  and  $m$ , and  $k < m < n$ , let  $\pi : V_n \rightarrow V_m$  be a projection from a fixed  $(n-m)$ -dimensional vector subspace  $V_{n-m} \subset V_n$ . For a given  $k$ -dimensional subspace  $U \subset V_n$ , the image  $\pi(U) \subset V_m$  is  $k$ -dimensional if  $U \cap V_{n-m} = 0$ . Thus  $\pi$  induces a rational surjective map  $\pi : \text{Gr}(k, V_n) \dashrightarrow \text{Gr}(k, V_m)$  which we call a *projection*. We focus here on the simplest case, that is,  $m = n-1$ , so that

$$\pi : \text{Gr}(k, V_n) \dashrightarrow \text{Gr}(k, V_{n-1})$$

is determined by the choice of a line  $V_1 \subset V_n$ .

If  $U \subset V_{n-1}$  is a  $k$ -dimensional subspace, then the fiber of  $\pi$  over  $[U]$  in  $\text{Gr}(k, V_n)$  consists of those  $k$ -dimensional subspaces of  $V_n$  of the form

$$U_\phi := \{u + \phi(u) \mid u \in U\}, \quad \phi \in \text{Hom}(U, V_1).$$

In particular this fiber is an affine space of dimension  $k$ . Moreover,  $\pi$  is not defined on the subset of  $\text{Gr}(k, V_n)$  whose elements are the  $k$ -dimensional subspaces of  $V_n$

containing  $V_1$ . This subset is naturally isomorphic to  $\text{Gr}(k-1, V_{n-1})$ , and we will resolve the indeterminacies of  $\pi$  by blowing it up. We end up with a diagram:

$$\begin{array}{ccccc}
 E & \xrightarrow{\quad} & X & & \\
 p \downarrow & & \sigma \downarrow & \searrow \tau & \\
 \text{Gr}(k-1, V_{n-1}) & \xrightarrow{\quad} & \text{Gr}(k, V_n) & \xrightarrow{\pi} & \text{Gr}(k, V_{n-1}),
 \end{array}$$

where  $\sigma$  is the blow-up of  $\text{Gr}(k, V_n)$  along  $\text{Gr}(k-1, V_{n-1})$  with exceptional divisor  $E$ . We claim that  $\tau : X \rightarrow \text{Gr}(k, V_{n-1})$  is the projective bundle

$$X \simeq \mathbb{P}_{\text{Gr}(k, V_{n-1})}(\mathcal{O} \oplus \text{Hom}(U, V_1)),$$

with the map  $\sigma$  given by

$$\sigma([z, \phi]) = \ker(z \text{Id}_{V_1} - \phi) \subset V_1 \oplus U \subset V_n.$$

Indeed,  $\sigma$  as defined by this formula is birational outside the divisor

$$E = \mathbb{P}_{\text{Gr}(k, V_{n-1})}(\text{Hom}(U, V_1)) = \mathbb{P}_{\text{Gr}(k, V_{n-1})}(U^*),$$

which is isomorphic to the flag variety  $\text{Fl}(k-1, k, V_{n-1})$ . And the restriction of  $\sigma$  to  $E$  is the natural projection  $p : E = \text{Fl}(k-1, k, V_{n-1}) \rightarrow \text{Gr}(k-1, V_{n-1})$ , which is also the projective bundle  $\mathbb{P}_{\text{Gr}(k-1, V_{n-1})}(\mathcal{O})$ . This readily implies that  $\sigma$  is the blow-up of  $\text{Gr}(k-1, V_{n-1})$  inside  $\text{Gr}(k, V_n)$ , as claimed.

Now we would like to study the restriction of  $\pi$  to varieties of the form  $I_r \text{Gr}(k, V_n)$ , or, better, to their hyperplane sections. Most relevant is the case  $k = 3$ , where a hyperplane section  $T(3, n)$  is defined by a 3-form  $\Omega$ . For a choice of a decomposition  $V_n = V_1 \oplus V_{n-1}$ , we can write  $\Omega = \Omega' + \omega \wedge e_1^*$ , for  $\Omega'$  (resp.  $\omega$ ) a 3-form (resp. a 2-form) on  $V_{n-1}$ , and  $e_1^*$  a linear form with kernel  $V_{n-1}$ . In this case we will have to consider the subvariety  $I(3, n-1)$  in  $\text{Gr}(3, V_{n-1})$  defined by  $\omega$ , and its hyperplane section  $HI(3, n_1)$  defined by  $\Omega'$ .

### 3.2. RELATING HYPERPLANE SECTIONS OF SYMPLECTIC GRASSMANNIANS OF 3-PLANES

Let  $HI_r(3, n)$  be a general hyperplane section, defined by a 3-form  $\Omega$  on  $V_n$ , of a  $r$ -th symplectic Grassmannian  $I_r(3, n)$  defined by 2-forms  $\omega_1, \dots, \omega_r$ .

As above, let us fix a decomposition  $V_n = V_1 \oplus V_{n-1}$ , and let us write  $\Omega = \Omega' + \omega \wedge e_1^*$ , for  $\Omega'$  a 3-form,  $\omega$  a 2-form on  $V_{n-1}$ , and  $e_1^*$  a generator of  $V_{n-1}^\perp$ . The forms  $\omega_i$  restrict to 2-forms on  $V_{n-1}$ , that we denote in the same way. Then, we can consider the  $r$ -th (resp.  $(r+1)$ -th) symplectic Grassmannian  $I_r(3, n-1)$  (resp.  $I_{r+1}(3, n-1)$ ) defined by the forms  $\omega_i$  (resp.  $\omega_i$  and  $\omega$ ), and the hyperplane section  $HI_{r+1}(3, n-1)$  of the latter, defined by the 3-form  $\Omega'$ .

In general, the image of  $I_r(3, n)$  by  $\pi$  will not be contained in  $I_r(3, n-1)$ . In order to ensure this, we need to assume that each  $\omega_i$  is singular, with kernel containing  $V_1$ . We will in fact assume that

$$(1) \quad V_1 = \bigcap_{i=1}^r \ker(\omega_i) \quad \text{is one-dimensional.}$$

Condition (1) implies that the  $r$ -tuple of forms  $\omega_1, \dots, \omega_r$  is non generic, unless  $r = 1$  and  $n$  is odd. In particular under this condition  $I_r(3, n)$  can (and will in general) be singular, and it can even be of bigger dimension than expected. One can have a partial control of these phenomena for small values of  $r$ , but in this paper we will only consider in detail examples with  $r = 1$  and  $n$  odd, so we do not push further the analysis of singularities and expected dimensions. We keep anyway considering projections for general values of  $r$ -tuples, satisfying the above condition (1). (Alternatively, we could consider only the closure of the set of isotropic 3-planes that do not contain  $V_1$ . This will be irreducible of the correct dimension.)

**PROPOSITION 4.** — *Consider the restriction  $\pi'$  of the projection  $\pi$  to  $HI_r(3, n)$  and the locus  $Z'_r := Z_r \cap HI_r(3, n)$ . Then  $Z'_r$  is isomorphic to  $I_{r+1} \text{Gr}(2, n - 1)$ , and we have the following commutative diagram:*

$$(2) \quad \begin{array}{ccccc} E_r & \xrightarrow{\sigma} & \widetilde{HI}_r(3, n) & \xrightarrow{j} & F_r \\ \downarrow p & & \downarrow \sigma & \searrow \tau & \downarrow q \\ Z'_r & \xrightarrow{\pi'} & HI_r(3, n) & \xrightarrow{\tau} & I_r(3, n - 1) & \xrightarrow{\iota} & HI_{r+1}(3, n - 1) \end{array}$$

where  $\sigma$  is the blow-up of  $HI_r(3, n)$  along  $Z'_r$ , and  $p$  is the restriction of  $\sigma$  to the exceptional divisor  $E_r \rightarrow Z'_r$ . Moreover,  $F_r$  is the locus  $\tau^{-1}HI_{r+1}(3, n - 1)$ , which has codimension 3 in  $\widetilde{HI}_r(3, n)$ . Finally  $q$  is the restriction of  $\tau$  to  $F_r$ . The map  $q$  is a  $\mathbb{P}^3$ -fibration, while the other fibers of  $\tau$  are  $\mathbb{P}^2$ 's.

*Proof.* — The fibers of  $\pi'$  are the intersections of  $HI_r(3, n)$  with the fibers of  $\pi : \text{Gr}(3, V_n) \dashrightarrow \text{Gr}(3, V_{n-1})$ . Recall that the fiber of  $\pi$  over  $U \in \text{Gr}(3, V_{n-1})$  consists of the subspaces of  $V_n$  of the form  $U_\phi = \{u + \phi(u), u \in U\}$ , for  $\phi \in \text{Hom}(U, V_1)$ . Identify the latter with  $U^*$  by choosing for basis of  $V_1$  the vector  $e_1$  such that  $\langle e_1^*, e_1 \rangle = 1$ . Such a  $U_\phi$  then belongs to  $HI_r(3, n)$  if and only if  $U$  belongs to  $I_r(3, n - 1)$  and  $\Omega' + \phi \wedge \omega = 0$  on  $U$ . We shall therefore consider the subvariety  $\widetilde{HI}_r(3, n) \subset \mathbb{P}_{I_r(3, n-1)}(\mathcal{O} \oplus U^*)$  parameterizing those points  $[z, \phi] \in \mathbb{P}(\mathcal{O} \oplus U^*)$ , where  $U$  belongs to  $I_r(3, n - 1)$ , such that  $z\Omega' + \phi \wedge \omega = 0$  on  $U$ . This defines a two-dimensional projective space in general, and a 3-dimensional projective space exactly when the condition is empty, that is, when  $\Omega'$  and  $\omega$  both vanish identically on  $U$ ; in other words, when  $U$  belongs to the hyperplane section  $HI_{r+1}(3, n - 1)$  of  $I_{r+1}(3, n - 1)$ .

The map  $\pi'$  is not defined on  $Z'_r := Z_r \cap HI_r(3, n)$ , which is isomorphic to the symplectic Grassmannian  $I_{r+1} \text{Gr}(2, V_{n-1})$  defined by the  $r+1$  forms  $\omega_1, \dots, \omega_r$  and  $\omega$ . In particular,  $Z'_r$  is smooth when these forms are general.

Recall that  $L$  denotes the class of the affine line in the Grothendieck ring  $K_0(\text{Var}(\mathbb{C}))$  of complex algebraic varieties. We deduce:

**PROPOSITION 5.** — *In the Grothendieck ring  $K_0(\text{Var}(\mathbb{C}))$ , the following relations hold:*

$$[HI_r(3, n)] - [HI_{r+1}(3, n - 1)]L^3 = [I_r(3, n - 1)][\mathbb{P}^2] - [I_{r+1} \text{Gr}(2, n - 1)][\mathbb{P}^{c-2}]L.$$

*Proof.* — By the above description, the class of  $[\widetilde{HI}_r(3, n)]$  in  $K_0(\text{Var}(\mathbb{C}))$  can be written as

$$[\widetilde{HI}_r(3, n)] = [HI_r(3, n)] + [Z'_r][\mathbb{P}^{c-2}]\mathbb{L}$$

by decomposing  $\sigma$  into an isomorphism outside  $Z'_r$  and the projective bundle  $p$ , and as

$$[\widetilde{HI}_r(3, n)] = [I_r(3, n - 1)][\mathbb{P}^2] + [HI_{r+1}(3, n - 1)]\mathbb{L}^3$$

via the map  $\tau$ . The conclusion follows by comparison.

When the varieties involved in (2) are smooth,  $\sigma$  is just the blow-up of  $Z'_r$  and we can enhance the previous relation at the level of derived categories. This happens only for

$$(3) \quad \begin{cases} n \text{ is odd and } r \leq 1, & \text{or} \\ n \text{ is even and } r = 0. \end{cases}$$

**PROPOSITION 6.** — Assume (3) holds, and denote by  $c$  the codimension of  $Z'_r$  in  $HI_r(3, n)$ . There are fully faithful functors

$$\begin{aligned} \Phi : D^b(HI_{r+1}(3, n - 1)) &\longrightarrow D^b(\widetilde{HI}_r(3, n)), \\ \Psi_i : D^b(Z'_r) &\longrightarrow D^b(\widetilde{HI}_r(3, n)), \end{aligned}$$

for any integer  $i$ , and semiorthogonal decompositions of  $D^b(\widetilde{HI}_r(3, n))$  as:

$$(4) \quad \langle \Phi D^b(HI_{r+1}(3, n - 1)), \tau^* D^b(I_r(3, n - 1)), \dots, \tau^* D^b(I_r(3, n - 1)) \otimes \mathcal{O}(2H) \rangle,$$

$$(5) \quad \langle \Psi_1 D^b(Z'_r), \dots, \Psi_{c-1} D^b(Z'_r), \sigma^* D^b(HI_r(3, n)) \rangle.$$

*Proof.* — The semiorthogonal decomposition (4) is obtained as a particular case of Proposition 49, Corollary 51, since the codimension of  $F_r$  is 3 and the general fiber of  $\tau$  is a 2-dimensional. The calculation of the normal bundle is the same as in Lemma 30. The semiorthogonal decomposition (5) is Orlov's decomposition for a blow-up [29].

**PROPOSITION 7.** — Assume (3) holds, and denote by  $c$  the codimension of  $Z'_r$  in  $HI_r(3, n)$ . There are isomorphisms of integral Hodge structures

$$(6) \quad H^j(\widetilde{HI}_r(3, n), \mathbb{C}) = H^{j-6}(HI_{r+1}(3, n - 1))(-3) \oplus \bigoplus_{i=0}^2 H^{j-2i}(I_r(3, n - 1))(-i),$$

$$(7) \quad H^j(\widetilde{HI}_r(3, n), \mathbb{C}) = H^j(HI_r(3, n), \mathbb{C}) \oplus \bigoplus_{i=1}^{c-1} H^{j-2i}(Z'_r, \mathbb{C})(-i).$$

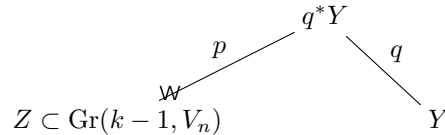
*Proof.* — The Hodge decomposition (6) is a special case of Proposition 48. The Hodge decomposition (7) follows from the well-known formula for blow-ups (see, e.g., [33, 7.7.3]).

Notice that the Hodge numbers  $h^{p,q}(\widetilde{HI}_r(3, n))$  can also be computed from Proposition 5 via the Hodge motivic evaluation [8, §3.2].

3.3. JUMPS AND HYPERPLANE SECTIONS. — Let  $h < k$  be integers in  $\{1, \dots, n - 1\}$ . Consider the flag variety  $\text{Fl}(h, k, V_n)$  with its projections  $p$  to  $\text{Gr}(h, V_n)$  and  $q$  to  $\text{Gr}(k, V_n)$ . The fibers of  $q$  are Grassmannians  $\text{Gr}(h, k)$ : given a  $U \subset V_n$  of dimension  $k$ , the fiber over  $U$  is the Grassmannian  $\text{Gr}(h, U)$ . The fibers of  $p$  are Grassmannians  $\text{Gr}(n - k, n - h)$ : given a  $W \subset V_n$  of dimension  $h$ , the fiber of  $W$  is the Grassmannian  $\text{Gr}(n - k, V_n/U)$ . The correspondence  $p_*q^*$  (on cohomology, derived categories etc.) will be called an  $(h, k)$ -jump on  $V_n$ . We denote by  $\mathcal{O}(H)$  and  $\mathcal{O}(L)$  the Plücker relative line bundles of the Grassmannian fibrations  $p$  and  $q$  respectively.

We will describe in details only the simplest case, where  $h = k - 1$ , and the induced correspondence on subvarieties of  $\text{Gr}(k, V_n)$ . So consider the flag variety  $\text{Fl}(k - 1, k, V_n)$  with its projections  $p$  to  $\text{Gr}(k - 1, V_n)$  and  $q$  to  $\text{Gr}(k, V_n)$ . The fibers of  $p$  are projective spaces of dimension  $n - k$ , those of  $q$  are projective spaces of dimension  $k - 1$ .

First of all, consider a hyperplane section  $Y$  of  $\text{Gr}(k, V_n)$ . Such a  $Y$  is defined by a  $k$ -form  $\Omega$  on  $V_n$ , and we let  $q^*Y \subset \text{Fl}(k - 1, k, V_n)$  be defined by  $q^*\Omega$ . Then  $q : q^*Y \rightarrow Y$  is a  $\mathbb{P}^{k-1}$ -bundle. We want to understand the restriction of  $p$  to  $q^*Y$ . Let  $U = \langle u_1, \dots, u_{k-1} \rangle \subset V_n$ , be a point in  $\text{Gr}(k - 1, V_n)$ . The fiber of  $p$  over  $U$  is naturally identified with  $\mathbb{P}(V_n/U)$ . Points in such a fiber that belong to  $q^*Y$  are identified with the linear subspace of  $\mathbb{P}(V_n/U)$  defined by the linear form  $\Omega(u_1, \dots, u_{k-1}, \bullet)$ . This subspace is a hyperplane, except when  $U$  belongs to the locus  $Z$  where this form vanishes, in which case the whole fiber of  $p$  over  $U$  is contained in  $q^*Y$ . Note that  $Z$  is the zero locus of the section of  $\mathcal{O}^*(1)$  defined by  $\Omega$ , so it is in general smooth of codimension  $n - k + 1$ . So the  $(k - 1, k)$ -jump on  $V_n$  induces the following diagram:



where  $q : q^*Y \rightarrow Y$  is a  $\mathbb{P}^{k-1}$ -bundle with relative ample line bundle  $\mathcal{O}(L)$ , and  $p : q^*Y \rightarrow \text{Gr}(k - 1, V_n)$  is a  $\mathbb{P}^{n-k-1}$ -bundle over  $\text{Gr}(k - 1, V_n) \cap Z$  and a  $\mathbb{P}^{n-k}$ -bundle over  $Z$  with relative ample line bundle  $\mathcal{O}(H)$ . Let  $c$  be the codimension of  $Z$  in  $\text{Gr}(k - 1, V_n)$ . We deduce the following Propositions.

PROPOSITION 8. — *The following relation holds in the Grothendieck ring  $K_0(\text{Var}(\mathbb{C}))$ :*

$$[Y][\mathbb{P}^{k-1}] - [Z]\mathbb{L}^{n-k} = [\text{Gr}(k - 1, V_n)][\mathbb{P}^{n-k-1}].$$

Proof. — By the above description, the class of  $[q^*Y]$  in  $K_0(\text{Var}(\mathbb{C}))$  can be written as

$$(8) \quad [q^*Y] = [Y][\mathbb{P}^{k-1}]$$

by the projective bundle formula, and as

$$[q^*Y] = [\text{Gr}(k - 1, V_n)][\mathbb{P}^{n-k-1}] + [Z]\mathbb{L}^{n-k}$$

via the map  $p$ . The proof follows by comparison.

Note that we can rewrite this relation as

$$[Z]\mathbb{L}^{n-k} = ([\text{Gr}(k, V_n)][\mathbb{P}^{k-1}] - [\text{Gr}(k-1, V_n)][\mathbb{P}^{n-k-1}]) + ([Y] - [\text{Gr}(k, V_n)])[\mathbb{P}^{k-1}].$$

As far as Hodge numbers are concerned, by the Lefschetz hyperplane theorem the difference  $[Y] - [\text{Gr}(k, V_n)]$  will not contribute in degree smaller than the dimension of  $Y$ . So up to degree  $d_0 = \dim Y - 2(n - k)$ , the Hodge numbers of  $Z$  will be determined by the class  $C = [\text{Gr}(k, V_n)][\mathbb{P}^{k-1}] - [\text{Gr}(k-1, V_n)][\mathbb{P}^{n-k-1}]$ . This is a polynomial in  $\mathbb{L}$  that we can compute as follows. Remember that the class of the Grassmannian  $\text{Gr}(k, V_n)$  is given by the  $\mathbb{L}$ -binomial polynomial:

$$[\text{Gr}(k, V_n)] = \frac{(1 - \mathbb{L})(1 - \mathbb{L}^2) \cdots (1 - \mathbb{L}^n)}{(1 - \mathbb{L}) \cdots (1 - \mathbb{L}^k)(1 - \mathbb{L}) \cdots (1 - \mathbb{L}^{n-k})}.$$

Observe that the class of the flag variety  $\text{Fl}(k-1, k, n)$  can be computed using either one of its two natural projections to Grassmannians. We get:

$$[\text{Fl}(k-1, k, n)] = [\text{Gr}(k, V_n)][\mathbb{P}^{k-1}] = [\text{Gr}(k-1, V_n)][\mathbb{P}^{n-k}].$$

This implies that  $C = [\text{Gr}(k-1, V_n)]\mathbb{L}^{n-k}$ . Since  $d_0 = \dim Z - (k-1)$ , we deduce:

**COROLLARY 9.** — *The non pure cohomology of  $Z$  appears in degree  $\dim Z - k - 1 + 2m$ , for  $1 \leq m \leq k$ , and in each of these degrees it is isomorphic to the non pure cohomology of  $Y$ . In particular its Picard number is one as soon as  $\dim Z > k + 2$ .*

A different argument can be used to establish the slightly more precise result that the restriction morphism  $H^m(\text{Gr}(k-1, V_n), Z) \rightarrow H^m(Z, Z)$  is an isomorphism in degree  $m \leq \dim Z - k$ : we can use the Barth-Lefschetz type theorems proved by Sommese for subvarieties with  $p$ -ample normal bundle [32, Prop. 2.6]. Indeed we claim that  $Z$  has  $(k-1)$ -ample normal bundle. In fact this normal bundle is the restriction of  $\mathcal{O}^*(1)$ , whose bundle of hyperplanes is the flag variety  $\text{Fl}(k-1, k, n)$ . Moreover the morphism defined by the relative hyperplane bundle is the projection to  $\text{Gr}(k, n)$ . Since the fibers of this projection have dimension  $(k-1)$ , the bundle  $\mathcal{O}^*(1)$  is  $(k-1)$ -ample by definition.

Let us now turn to derived categories:

**PROPOSITION 10.** — *There is a semiorthogonal decomposition:*

$$(9) \quad \mathbb{D}^b(q^*Y) = \langle q^* \mathbb{D}^b(Y), \dots, q^* \mathbb{D}^b(Y) \otimes \mathcal{O}((k-1)L) \rangle.$$

*If moreover the codimension of  $Z$  satisfies  $c > n - k - 1$ , and  $Z$  is smooth, there is a fully faithful functor  $\Phi : \mathbb{D}^b(Z) \rightarrow \mathbb{D}^b(q^*Y)$  and a semiorthogonal decomposition:*

$$(10) \quad \mathbb{D}^b(q^*Y) = \langle \Phi \mathbb{D}^b(Z), p^* \mathbb{D}^b(\text{Gr}(k-1, V_n)), \dots, p^* \mathbb{D}^b(\text{Gr}(k-1, V_n)) \otimes \mathcal{O}((n-k-2)H) \rangle.$$

*Proof.* — The semiorthogonal decomposition (9) is just Orlov’s decomposition for projective bundles [29]. The semiorthogonal decomposition (10) is a special case of

Proposition 49, since the general fiber of  $p$  is  $\mathbb{P}^{n-k-2}$  and the locus  $p^{-1}Z$  has codimension  $c - 1$  in  $q^*Y$ . In particular, it is a special case of Corollary 51, the calculation of the normal bundle is the same as in Lemma 32.

Recall from [24, Cor. 4.4] that for  $k, n$  coprime, the derived category of  $Y$  admits a semiorthogonal decomposition:

$$D^b(Y) = \langle A_Y, E_1, \dots, E_s \rangle,$$

where  $A_Y$  is an  $N$ -CY category with  $N = \dim Y - (2n - 2)$ , and  $s = \frac{n-1}{n} \binom{n}{k}$  exceptional objects. Since  $q^*Y \rightarrow Y$  is a  $\mathbb{P}^{k-1}$ -bundle, the derived category  $D^b(q^*Y)$  admits a semiorthogonal decomposition given by  $k$  copies of  $D^b(Y)$ , and hence  $k$  copies of  $A_Y$  and  $ks$  exceptional objects. Comparing this to the semiorthogonal decomposition from Proposition 10, we can expect  $D^b(Z)$  to decompose into  $k$  copies of  $A_Y$ , and  $\binom{n-1}{k-2}$  exceptional objects. This suggests that there could exist a rectangular Lefschetz decomposition when  $k$  divides the binomial coefficient  $\binom{n-1}{k-2}$ . If  $k$  is a prime number, this condition is equivalent to  $n \not\equiv 0, -1 \pmod k$ .

Finally we can compare Hodge structures:

PROPOSITION 11. — *There is an isomorphism of integral Hodge structures*

$$(11) \quad H^j(q^*Y, \mathbb{C}) = \bigoplus_{i=0}^{k-1} H^{j-2i}(Y)(-i).$$

*There is an isomorphism of integral Hodge structures*

$$(12) \quad H^j(q^*Y, \mathbb{C}) = H^{j-2t}(Z, \mathbb{C})(-t) \oplus \bigoplus_{i=0}^{n-k-2} H^{j-2i}(\text{Gr}(k-1, V_n), \mathbb{C})(-i),$$

where  $t = n - k - 1$ .

*Proof.* — The Hodge decomposition (12) is a special case of Proposition 48. The Hodge decomposition (11) is the well-known formula for the projective bundle. Notice that a computation of the dimensions  $h^{p,q}(q^*Y)$  can be also obtained as corollary of Proposition 8 via the Hodge motivic evaluation [8, §3.2].

3.4. JUMPING FROM HYPERPLANE SECTIONS OF  $\text{Gr}(3, V_n)$ , TO CONGRUENCES OF LINES AND FURTHER. — Here we detail two special cases of the above construction, namely the (2, 3)-jump and the (1, 2)-jump on  $V_n$ , and the induced correspondences on a general hyperplane section  $T(3, n)$  of  $\text{Gr}(3, V_n)$ . We are then in the above case with  $k = 3$ , so that  $T(3, n)$  is our notation for the hyperplane section, and  $T(2, n)$  is our notation for  $Z$ . In the diagram (8) the map  $q$  is a  $\mathbb{P}^2$ -bundle and the map  $p$  is generically a  $\mathbb{P}^{n-4}$ -bundle, and a  $\mathbb{P}^{n-3}$  bundle over  $T(2, n) = Z$ .

If we denote by  $\Omega$  the 3-form on  $V_n$  defining the hyperplane section  $T(3, n)$ , the congruence  $T(2, n) \subset \text{Gr}(2, V_n)$  is the locus of planes  $U = \langle u_1, u_2 \rangle$  such that  $\Omega(u_1, u_2, \bullet)$  is the trivial linear form on  $V_n$ . In other words,  $T(2, n)$  is the zero-locus of the section of  $\mathcal{O}^*(1)$  defined by  $\Omega$ . If the latter is general, this implies that  $T(2, n)$  is smooth of dimension  $n - 2$ , with canonical bundle  $\mathcal{O}_{T(2,n)}(-3)$ . These congruences of lines have been studied in [9].

Notice that for  $U$  in  $T(2, n)$ , and for any  $u$  in  $U$ , the two-form  $\Omega(u, \bullet, \bullet)$  on  $V_n$  descends to a two-form  $\bar{\Omega}_u$  on  $Q = V_n/U$ . We can give a precise characterization of the smoothness of  $T(2, n)$  at  $U$  in terms of this pencil of two-forms on  $Q$ .

LEMMA 12. —  $T(2, n)$  is singular at  $U$  if and only if the two-forms  $\bar{\Omega}_u$  on  $Q$  have a common line in their kernel.

Proof. —  $T(2, n)$  is singular at  $U$  exactly when the morphism  $T_U \text{Gr}(2, n) \rightarrow Q^*(1)$  from the tangent space at  $U$ , defined by  $\Omega$  is not surjective. Dualizing, we get the map from  $Q \otimes \Lambda^2 U$  to  $\text{Hom}(Q, U)$  defined by

$$q \otimes u_1 \wedge u_2 \mapsto \Omega(q, u_1, \bullet)u_2 - \Omega(q, u_2, \bullet)u_1.$$

The right hand side vanishes, for  $u_1, u_2$  a basis of  $U$ , when  $q$  belongs to the kernel of the two-forms  $\bar{\Omega}_{u_1}$  and  $\bar{\Omega}_{u_2}$ .

Now let us consider the next case, that is, the  $(1, 2)$ -jump on  $V_n$ . In this case, we have the flag variety  $\text{Fl}(1, 2, V_n)$  and the maps  $p$  to  $\text{Gr}(1, V_n) \simeq \mathbb{P}^{n-1}$ , which is a  $\mathbb{P}^{n-2}$ -bundle, and  $q$  to  $\text{Gr}(2, V_n)$ , which is a  $\mathbb{P}^1$ -bundle. Consider the variety  $T(2, n)$  and its preimage  $q : q^*T(2, n) \rightarrow T(2, n)$  inside  $\text{Fl}(1, 2, V_n)$ , which is a  $\mathbb{P}^1$ -bundle. Now restrict the map  $p$  to  $q^*T(2, n)$ , to get a map  $p : q^*T(2, n) \rightarrow \mathbb{P}^{n-1}$ . A line  $L = \langle \ell \rangle \subset V_n$  is in the image of  $p$  if and only if the form  $\Omega(\ell, \bullet, \bullet)$  is degenerate as a form on  $V_n/L$ . In particular, we can distinguish two cases:

- If  $n$  is even, every line sits in the image of  $p$ , and the projection  $p : q^*T(2, n) \rightarrow \mathbb{P}^{n-1}$  is birational. The exceptional locus is  $P(1, n) \subset \mathbb{P}^{n-1}$  and has codimension 3. For  $\Omega$  general, its singular locus is the set of lines  $L = \langle \ell \rangle$  such that the form  $\Omega(\ell, \bullet, \bullet)$  has corank at least five, and this locus has codimension ten; in particular  $P(1, n)$  is smooth only for  $n \leq 10$ . In this case  $p$  is just the blow-up of  $\mathbb{P}^{n-1}$  along  $P(1, n)$ .

- If  $n$  is odd, the image of the projection  $p : q^*Z \rightarrow \mathbb{P}^{n-1}$  is the Pfaffian hypersurface  $P(1, n) \subset \mathbb{P}^{n-1}$  and  $p$  is generically a  $\mathbb{P}^1$ -bundle. For  $\Omega$  general, the singular locus  $S \subset P(1, n)$  has codimension 5, so that  $P(1, n)$  is smooth for  $n \leq 5$ , and  $p$  is a  $\mathbb{P}^3$ -bundle over the smooth locus of  $S$ . Moreover  $S$  is smooth for  $n \leq 15$ .

PROPOSITION 13. — We have the following relations hold in the Grothendieck group  $K_0(\text{Var}(\mathbb{C}))$ :

- For any  $n$ :  $[T(3, n)][\mathbb{P}^2] = [\text{Gr}(2, V_n)][\mathbb{P}^{n-4}] + [T(2, n)]L^{n-3}$ .
- If  $n \leq 10$  is even:  $[T(2, n)][\mathbb{P}^1] = [\mathbb{P}^{n-1}] + [\mathbb{P}^1][P(1, n)]L$ .
- If  $n \leq 15$  is odd:  $[T(2, n)][\mathbb{P}^1] = [\mathbb{P}^1]([P(1, n)] + [S]L^2)$ .

As before, there are also versions of this statement for derived categories and Hodge structures:

PROPOSITION 14. — Assume that  $T(2, n)$  is smooth. There is a semiorthogonal decomposition:

$$\text{D}^b(q^*T(2, n)) = \langle q^* \text{D}^b(T(2, n)), q^* \text{D}^b(T(2, n))(L) \rangle,$$

where  $L$  is the relative ample line bundle of the map  $q$ . If  $n \leq 10$  is even, and  $P(1, n)$  is smooth, there are fully faithful functors  $\Phi_i : \text{D}^b(P(1, n)) \rightarrow \text{D}^b(q^*T(2, n))$  for any



$i \in \mathbb{Z}$  and a semiorthogonal decomposition

$$D^b(q^*T(2, n)) = \langle D^b(\mathbb{P}^{n-1}), \Phi_1 D^b(P(1, n)), \Phi_2 D^b(P(1, n)) \rangle.$$

If  $n \not\equiv 5 \pmod{5}$  is odd and  $P(1, n)$  is smooth, there is a semiorthogonal decomposition

$$D^b(q^*T(2, n)) = \langle p^* D^b(P(1, n)), p^* D^b(P(1, n))(H) \rangle,$$

where  $H$  is the relative ample line bundle of the map  $p$ .

**PROPOSITION 15.** — Assume that  $T(2, n)$  is smooth. There is an isomorphism of integral Hodge structures:

$$H^j(q^*T(2, n), \mathbb{C}) = H^j(T(2, n), \mathbb{C}) \oplus H^{j-2}(T(2, n), \mathbb{C})(-1).$$

If  $n \equiv 10 \pmod{10}$  is even, and  $P(1, n)$  is smooth, there is an isomorphism of integral Hodge structures

$$H^j(q^*T(2, n), \mathbb{C}) = H^j(\mathbb{P}^{n-1}, \mathbb{C}) \oplus H^{j-2}(P(1, n), \mathbb{C})(-1) \oplus H^{j-4}(P(1, n), \mathbb{C})(-2).$$

If  $n \not\equiv 5 \pmod{5}$  is odd and  $P(1, n)$  is smooth, there is an isomorphism of Hodge structures

$$H^j(q^*T(2, n), \mathbb{C}) = H^j(P(1, n), \mathbb{C}) \oplus H^{j-2}(P(1, n), \mathbb{C})(-1).$$

**3.5. THE INDEX OF  $T(2, n)$ .** — In [9], Problem, section 4.4, the authors ask about the Hodge numbers of  $T(2, n)$ . Proposition 13 allows to deduce them from the Hodge numbers of  $T(3, n)$ . Moreover, since  $T(3, n)$  is just a hyperplane section, the Hodge numbers of  $T(3, n)$  are given by Proposition 2. In fact Corollary 9 gives almost all the Hodge numbers of  $T(2, n)$  quite directly. In particular  $T(2, n)$  has Picard number one as soon as  $n > 7$  (and note that  $T(2, 6) \simeq \mathbb{P}^2 \times \mathbb{P}^2$ ).

**PROPOSITION 16.** —  $T(2, n)$  has index 3.

*Proof.* — By adjunction, the canonical line bundle of  $T(2, n)$  is the restriction of  $\mathcal{O}(-3)$ , and we have to show that the restriction of the Plücker line bundle to  $T(2, n)$  is not divisible. First observe that if  $h$  is  $m$ -divisible, then the degree of  $T(2, n)$  in the Plücker embedding must be divisible by  $m^{n-2}$ . This degree can be computed explicitly as follows. The fundamental class of  $T(2, n)$  in the Chow ring of the Grassmannian is

$$[T(2, n)] = c_{n-2}(\mathcal{O}^*(1)) = \sigma_{1,1} \sum_{i \geq 1} h^{n-2i-3} \sigma_{2i-1} + \delta_{n \text{ even}} \sigma_{n-2},$$

where  $h$  is the hyperplane class and we use standard notations for the Schubert cycles  $\sigma_k$  and  $\sigma_{1,1}$ . Using the Frame-Robinson-Thrall formula and [28, Cor. 3.2.14], we deduce that

$$\deg T(2, n) = \sum_{i \geq 1} \frac{2i}{n-2} \binom{2n-2i-5}{n-2i-2} + \delta_{n \text{ even}}.$$

Moreover the terms in the summation above decrease when  $i$  gets bigger, and since there are at most  $(n-2)/2$  terms we deduce that  $\deg T(2, n) \not\equiv \binom{2n-7}{n-4} \pmod{2^{2n-7}}$ . So we just need to check that the hyperplane class is not divisible by 2 or by 3. We use the following trick. It is a straightforward exercise in Schubert calculus to check that:

LEMMA 17. — *Let  $\varepsilon_n = 0$  for  $n$  even,  $\varepsilon_n = 1$  for  $n$  odd. Then*

$$a_n := \int_{T(2,n)} h\sigma_{n-3} = \frac{n + \varepsilon_n - 4}{2}, \quad b_n := \int_{T(2,n)} h^2\sigma_{n-4} = \frac{n^2 - \varepsilon_n - 12}{4}.$$

For  $n = 2p$ ,  $b_n = p^2 - 3$  is never divisible neither by 4 nor by 9, so  $h$  is neither 2-divisible nor 3-divisible. For  $n = 2p + 1$ ,  $b_n = p^2 + p - 3$  is always odd, so  $h$  is not 2-divisible; moreover  $b_n$  is divisible by 9 if and only if  $p = 3$  or  $p = 5 \pmod 9$ , and then  $a_n = p - 1$  is not divisible by 3, so  $h$  is not 3-divisible. This concludes the proof.

#### 4. THE NESTED CONSTRUCTION FOR THE DEBARRE-VOISIN HYPERSURFACE

In this section, we focus on a very special case, the hyperplane section  $Y := T(3, 10)$  of the Grassmannian  $\text{Gr}(3, V_{10})$ .

4.1. A CASCADE OF PROJECTIONS. — This hypersurface  $Y$  was considered in [10], where it is proved that the copies of  $\text{Gr}(3, 6)$  that it contains (and their degenerations) are parametrized by a hyperKähler fourfold. This is reflected in the fact that  $Y$  is both of strong K3-type (as recalled in Theorem 3) and of pure derived K3 type. Indeed,

$$(13) \quad \mathbf{D}^b(Y) = \langle \mathbf{A}, E_1, \dots, E_{108} \rangle,$$

where  $\mathbf{A}$  is a K3 category and the  $E_i$ 's are exceptional objects [24].

The vanishing cohomology  $H_{\text{van}}^{p,q}(Y)$  has the following dimensions [10]:

$$h_{\text{van}}^{10-p, 10+p}(Y) = \begin{cases} 1 & \text{if } p = \pm 1, \\ 20 & \text{if } p = 0, \\ 0 & \text{otherwise.} \end{cases}$$

Moreover, if  $Y$  is very general, the Hodge structure on the vanishing cohomology  $H_{\text{van}}^{20}(Y, \mathbb{C})$  is a simple weight two Hodge structure [10, Th. 2.2], and is therefore the minimal indecomposable subHodge structure containing  $H^{9,11}(Y)$ .

DEFINITION 18. — Let  $K \subset H^{20}(Y, \mathbb{C})$  denote the minimal indecomposable sub-Hodge structure containing  $H^{9,11}(Y)$ .

It is not known if  $K$  coincides with  $H_{\text{van}}^{20}(Y, \mathbb{C})$  in general. We can wonder whether a similar phenomenon can be traced on the noncommutative side. Indeed, one would expect that the category  $\mathbf{A}$  appearing in (13) is in general not the derived category of a K3 surface but rather a deformation of it, and we can state the following folklore conjecture.

CONJECTURE 19. — *If  $Y \subset \text{Gr}(3, V_{10})$  is a very general hyperplane section, there is no smooth and projective K3 surface  $W$  and no Brauer class  $\alpha$  on  $W$  such that  $\mathbf{A} \simeq \mathbf{D}^b(W, \alpha)$ .*

REMARK 20. — As in the case of cubic fourfolds (see [23, 1]), the above Conjecture is stated in categorical terms but could be translated into a cohomological statement:  $\mathbf{A}$  being equivalent to  $\mathbf{D}^b(W, \alpha)$  indeed implies the existence of an isotropic (with respect to the Euler bilinear form) class in the algebraic part of  $K_{\text{top}}(\mathbf{A})$ , as noted

after Proposition 2.4 of [1]. Pursuing further the similarity with the case of cubic fourfolds, one would expect to find subloci of the moduli space of such FK3 20-folds where the category  $\mathbf{A}$  is actually the (twisted) derived category of a K3 surface. Hence it would be interesting to both study the Hodge structure on the topological K-theory of the very general case, and to find explicit geometric constructions for some special cases.

In any case, both the category  $\mathbf{A}$  and the Hodge structure  $K$  are relevant objects to study. For example, one can wonder about a categorical Torelli theorem, by asking to which extent the category  $\mathbf{A}$  determines the isomorphism class of  $Y$ , mimicking the case of cubic fourfolds ([18, 3, 26]). Notably, the birational counterpart is certainly not true since  $Y$  is rational (it is birational to  $\text{Gr}(3, V_9) \times \mathbb{P}^2$ , see diagram (14)). Indeed,  $Y$  is twenty-dimensional, while  $\mathbf{A}$  should be realized in varieties of dimension 6 such as the Peskine variety (see conjecture 23), so that it is not surprising that  $\mathbf{A}$  is not an obstruction to rationality in this case. Other very interesting questions on  $\mathbf{A}$  and  $K$  are related to the construction of hyperkähler moduli of subvarieties of  $Y$  (see [10]) as moduli spaces of objects in  $\mathbf{A}$ .

We will apply the correspondences described in Section 3, to show that several Fano varieties of K3 type can be geometrically related to  $Y$  in such a way that  $K$  is invariant under these correspondences. Moreover there are strong evidences for  $\mathbf{A}$  to be invariant as well.

We use the following notation:

$Y = T(3, 10)$  the hyperplane section of  $\text{Gr}(3, V_{10})$ , of dimension 20.

$Z \subset Y$  the exceptional locus of a general projection  $\pi' : Y \dashrightarrow \text{Gr}(3, 9)$ . Then  $Z \simeq I(2, 9)$ , of codimension 7 in  $Y$ .

$Y_1 = IH(3, 9)$  a hyperplane section of  $I(3, 9)$ , of dimension 14.

$X_1 = I(3, 8)$  the symplectic Grassmannian  $I_1 \text{Gr}(3, V_8)$ , of dimension 12.

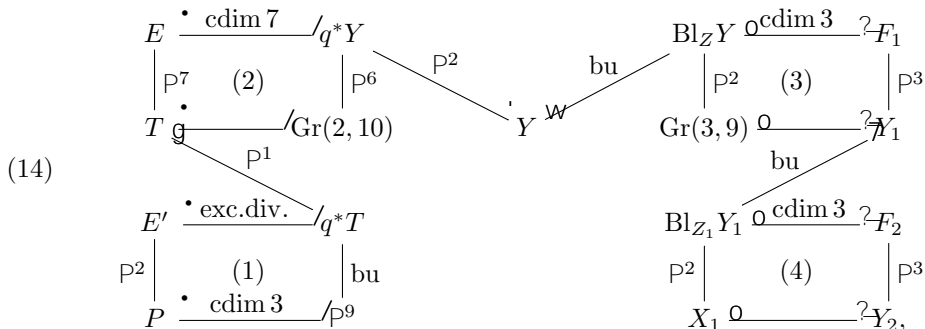
$Z_1 \subset Y_1$  the exceptional locus of the projection  $\pi' : Y_1 \dashrightarrow I(3, 8)$ . Then  $Z_1 \simeq I_2(2, 8)$ , of codimension 4 in  $Y_1$ .

$Y_2 = IH_2(3, 8)$  a hyperplane section of  $I_2(3, 8)$ , of dimension 8.

$T = T(2, 10)$ , of dimension 8.

$P = P(1, 10) \subset \mathbb{P}^9$ , of dimension 6, the so-called Peskine variety [9].

Note that all these varieties are smooth in general. Let us draw the following diagram, with all the correspondences we can connect to  $Y$ :



where the maps marked with bu are blow-ups, the markings  $\mathbb{P}^n$  denote the (general) fiber over the corresponding locus, the marking exc.div. stands for the embedding of the exceptional divisors, and the markings  $\text{cdim } x$  stands for an embedding as a codimension  $x$  locus.

Recall that for the last projection  $Y_1 \dashrightarrow X_1$  to give rise to diagram (4), we need to choose the center  $V_1$  of the projection to be the kernel of the 2-form  $\omega_1$  defining the symplectic Grassmannian  $I(3, 9)$  whose hyperplane section is  $Y_1$ .

4.2. HODGE THEORETICAL RESULTS. — We can use the correspondences in (14) to show that the K3 Hodge structure of  $Y$  spreads in the other Fano varieties of K3 type.

THEOREM 21. — *The Hodge structure  $K$  is the minimal weight 2 Hodge structure containing  $H^{*-1, *+1}$  in the following Hodge structures:*

- $H^{14}(Y_1, \mathbb{C})$ ,
- $H^8(Y_2, \mathbb{C})$ ,
- $H^j(T, \mathbb{C})$ , for  $j = 6, 8, 10$ ,
- $H^j(P, \mathbb{C})$ , for  $j = 4, 6, 8$ .

Moreover,  $H^{p,q}(\bullet)/K = 0$  for  $p \neq q$  for  $\bullet$  either  $Y_1, Y_2, T$  or  $P$ . In particular,  $Y_1$  and  $Y_2$  are Fano of pure K3 type, while  $P$  and  $T$  are of non pure K3 type.

Finally, if  $Y$  is very general, then  $K$  coincides with the vanishing cohomologies of all of the above cohomology groups for  $Y_1, Y_2$ , and for  $T$  if  $j = 6, 10$ .

Proof. — The proof is obtained by using Propositions 7, 11 and 15 along the diagram (14), and by the analysis of the Hodge numbers of the varieties involved.

Let us start with subdiagram (3) of (14). Proposition 7 gives an isomorphism of integral Hodge structures:

$$H^{j-6}(Y_1, \mathbb{C})(-3) \oplus \bigoplus_{i=0}^2 H^{j-2i}(\text{Gr}(3, 9), \mathbb{C})(-1) \simeq H^j(Y, \mathbb{C}) \oplus \bigoplus_{i=0}^6 H^{j-2i}(Z, \mathbb{C})(-i).$$

On the left hand side, we notice that  $H^{p,q}(\text{Gr}(3, 9)) = 0$  whenever  $p \neq q$ . Similarly, on the right hand side  $H^{p,q}(Z) = 0$  whenever  $p \neq q$ , since  $Z$  is isomorphic to a hyperplane section of  $\text{Gr}(2, 9)$  which is nothing but the symplectic Grassmannian  $I(2, 9)$ . It follows that  $H^{9,11}(Y) \simeq H^{6,8}(Y_1)$ , and hence that  $K$  is the smallest sub-Hodge structure of  $H^{20}(\text{Bl}_Z Y)$  containing them. The rest of the proof follows by comparison of Hodge numbers.

A similar argument applies to  $Y_2$  using diagram (4): it is enough to notice that both  $H^{p,q}(X_1)$  and  $H^{p,q}(Z_1)$  are trivial whenever  $p \neq q$ , since  $X_1$  is again a symplectic Grassmannian, and  $Z_1$  is isomorphic to a double hyperplane section of  $\text{Gr}(2, 8)$  (for such varieties, the claim follows from [6, 2.10] and the Byalinicki-Birula decomposition).

Now consider subdiagram (2) of (14). Thanks to Proposition 11, we have an isomorphism of integral Hodge structures:

$$\bigoplus_{i=0}^2 H^{j-2i}(Y, \mathbb{C})(-i) \simeq \bigoplus_{i=0}^6 H^{j-2i}(\text{Gr}(2, 10), \mathbb{C})(-i) \oplus H^{j-14}(T, \mathbb{C}),$$



This leads us to wonder whether there exists an algebraic cycle  $A \subset P$  of dimension 4, not homologous to a linear section. Such a cycle would indeed give a primitive class  $[Z]$  in  $H^8(P, \mathbb{Z})$  and therefore in  $H^6(P, \mathbb{Z})$  and also, by duality, in  $H^4(P, \mathbb{Z})$ . One way to obtain such a cycle could be the following: a point in  $P \subset \mathbb{P}^9$  is a line  $\ell \subset V_{10}$  such that the form  $\Omega(\ell, \bullet, \bullet)$  has a four dimensional kernel  $U_\ell$  (that contains  $\ell$ ). This defines a natural map  $\phi : P \rightarrow \text{Gr}(4, V_{10})$ , and we could pull-back some Schubert cycles.

REMARK 22. — It would be interesting to relate the period maps for the varieties  $Y, Y_1$  and  $Y_2$ . Recall that at the infinitesimal level the local Torelli theorem asks for the natural map

$$H^1(Y_i, T_{Y_i}) \longrightarrow \text{Hom}(H^{p+1,p-1}(Y_i), H^{p,p}(Y_i))$$

to be injective, where  $Y_i$  is any of the three varieties above and  $\dim Y_i = 2p$ . Recall that in each of these three cases  $H^{p+1,p-1}(Y_i) \cong \mathbb{C}$ . For  $Y$  the deformation space has dimension 20, and  $h^{10,10}(Y) = 30$ . The period map can therefore be injective. Moreover  $H^1(T_Y) \cong H_{\text{van}}^{10,10}(Y)$ , as follows for example from the Jacobian-type ring description of the cohomology ring of  $Y$ , see [12]. For  $Y_1$  and  $Y_2$  the situation is slightly different. In both cases we have  $h^{p,p}(Y_i) = 26$  (and the vanishing subspace is 20-dimensional), but we can compute that  $h^1(T_{Y_1}) = 29$  and  $h^1(T_{Y_2}) = 28$ . Therefore there is no hope for the period map to be a local isomorphism.

However, in both cases our construction gives a partial description of the deformation space of  $Y_i$  in terms of  $H^1(T_Y)$ . In fact the deformation spaces of  $Y = Y_0, Y_1, Y_2$  can be computed through their normal exact sequences.

Decomposing  $V_{10}$  as  $V_1 \oplus V_9$  and  $\Omega_0$  as  $\Omega_1 + e_1^* \wedge \omega_1$ , we get the natural exact sequence

$$0 \longrightarrow V_9 \dashv \Omega_1 \longrightarrow H^1(T_{Y_1}) \longrightarrow H^1(T_{Y_0}) \longrightarrow 0,$$

where  $V_9 \dashv \Omega_1 \subset \Lambda^2 V_9^*$  is the space of two-forms obtained by contracting  $\Omega_1$  with some vector in  $V_9$ . Similarly, decomposing further, we get

$$0 \longrightarrow V_8 \dashv \Omega_2 \longrightarrow H^1(T_{Y_2}) \longrightarrow H^1(T_{Y_0}) \longrightarrow 0.$$

4.3. A CATEGORICAL COUNTERPART. — Now we turn to derived categories. In this frame, moving the subcategory  $\mathbf{A}$  around the diagram is much more complicated, due to the huge number of exceptional objects involved in semiorthogonal decompositions, and the titanic task of mutating such exceptional collections one to another. Hence we only have evidences but no proof for the following conjecture.

CONJECTURE 23. — *Let  $\mathbf{A}$  be the K3 subcategory of  $\mathbf{D}^b(Y)$  obtained as a semiorthogonal complement of 108 exceptional objects as in (13). Then we have (up to equivalences) the following semiorthogonal decompositions:*

$$\begin{aligned} \mathbf{D}^b(Y_1) &= \langle \mathbf{A}, 48 \text{ exceptional objects} \rangle, & \mathbf{D}^b(Y_2) &= \langle \mathbf{A}, 24 \text{ exceptional objects} \rangle, \\ \mathbf{D}^b(T) &= \langle \mathbf{A}, \mathbf{A}, \mathbf{A}, 9 \text{ exceptional objects} \rangle, & \mathbf{D}^b(P) &= \langle \mathbf{A}, \mathbf{A}, \mathbf{A}, 4 \text{ exceptional objects} \rangle. \end{aligned}$$

*In particular,  $Y_1$  and  $Y_2$  are of derived pure K3-type while  $P$  and  $T$  are of derived non-pure K3 type.*

The main evidences of the conjecture are the following comparisons of semiorthogonal decompositions based on correspondences from diagram (14).

**PROPOSITION 24**

(A) *We have the following decompositions:*

$$\begin{aligned} \mathbf{D}^b(\mathrm{Bl}_Z Y) &= \langle \mathbf{D}^b(Y), \mathbf{D}^b(Z)_1, \dots, \mathbf{D}^b(Z)_6 \rangle \\ &= \langle \mathbf{D}^b(Y_1), \mathbf{D}^b(\mathrm{Gr}(3, 9))_1, \mathbf{D}^b(\mathrm{Gr}(3, 9))_2, \mathbf{D}^b(\mathrm{Gr}(3, 9))_3 \rangle, \end{aligned}$$

where  $\mathbf{D}^b(Z)_i$  and  $\mathbf{D}^b(\mathrm{Gr}(3, 9))_i$  are equivalent to  $\mathbf{D}^b(Z)$  and  $\mathbf{D}^b(\mathrm{Gr}(3, 9))$  for any  $i$  respectively.

In particular, the first decomposition gives 300 exceptional objects in  $\mathbf{D}^b(\mathrm{Bl}_Z Y)$  whose orthogonal complement is  $\mathbf{A}$ , while the second one gives 252 exceptional objects whose orthogonal complement is  $\mathbf{D}^b(Y_1)$ .

(B) *We have the following decompositions:*

$$\begin{aligned} \mathbf{D}^b(\mathrm{Bl}_{Z_1} Y_1) &= \langle \mathbf{D}^b(Y_1), \mathbf{D}^b(Z_1)_1, \dots, \mathbf{D}^b(Z_1)_3 \rangle \\ &= \langle \mathbf{D}^b(Y_2), \mathbf{D}^b(X_1)_1, \mathbf{D}^b(X_1)_2, \mathbf{D}^b(X_1)_3 \rangle, \end{aligned}$$

where  $\mathbf{D}^b(Z_1)_i$  and  $\mathbf{D}^b(X_1)_i$  are equivalent to  $\mathbf{D}^b(Z_1)$  and  $\mathbf{D}^b(X_1)$  for any  $i$  respectively.

In particular, the first decomposition gives 66 exceptional objects in  $\mathbf{D}^b(\mathrm{Bl}_{Z_1} Y_1)$  whose orthogonal complement is  $\mathbf{D}^b(Y_1)$ , while we expect the second one to have 96 exceptional objects in the orthogonal complement of  $\mathbf{D}^b(Y_2)$ .

(C) *We have the following decompositions:*

$$\mathbf{D}^b(q^* Y) = \langle \mathbf{D}^b(Y)_1, \mathbf{D}^b(Y)_2, \mathbf{D}^b(Y)_3 \rangle = \langle \mathbf{D}^b(T), \mathbf{D}^b(\mathrm{Gr}(2, 10))_1, \dots, \mathbf{D}^b(\mathrm{Gr}(2, 10))_7 \rangle,$$

where  $\mathbf{D}^b(Y)_i$  and  $\mathbf{D}^b(\mathrm{Gr}(2, 10))_i$  are equivalent to  $\mathbf{D}^b(Y)$  and  $\mathbf{D}^b(\mathrm{Gr}(2, 10))$  for any  $i$  respectively.

In particular, the first decomposition gives 324 exceptional objects in  $\mathbf{D}^b(q^* Y)$  whose orthogonal complement is generated by three copies of  $\mathbf{A}$ , while the second one gives 315 exceptional objects whose orthogonal complement is  $\mathbf{D}^b(T)$ .

(D) *We have the following decompositions:*

$$\mathbf{D}^b(q^* T) = \langle \mathbf{D}^b(T)_1, \mathbf{D}^b(T)_2 \rangle = \langle \mathbf{D}^b(P)_1, \mathbf{D}^b(P)_2, \mathbf{D}^b(P^9) \rangle,$$

where  $\mathbf{D}^b(T)_i$  and  $\mathbf{D}^b(P)_i$  are equivalent to  $\mathbf{D}^b(T)$  and  $\mathbf{D}^b(P)$  for any  $i$  respectively.

In particular, the second decomposition gives 10 exceptional objects whose orthogonal complement is generated by two copies of  $\mathbf{D}^b(P)$ .

*Proof.* — The decompositions are special cases of the blow-up (cases (A), (B)) or projective bundle (cases (C), (D)) formulas and, respectively, Corollary 51 (cases (A), (B), (C), see Lemma 30, 31, 32 for the calculations of the normal bundles) and blow-up formula (case (D)) applied to the appropriate diagram inside (14). The exceptional objects counting is specific to the different cases, as follows.

(A) In this case  $A$  is the complement of 108 exceptional objects in  $D^b(Y)$ , while  $D^b(Z)$  is generated by 32 exceptional objects by homological projective duality [30, Th. 4.33], since  $Z$  is isomorphic to a hyperplane section of  $\text{Gr}(2, 10)$ . On the other hand,  $D^b(\text{Gr}(3, 9))$  is generated by 84 exceptional objects.

(B) In this case  $D^b(Z_1)$  is generated by 22 exceptional objects, by (incomplete) homological projective duality [30, Th. 4.33], since it is isomorphic to a double hyperplane section of  $\text{Gr}(2, 9)$  and odd Pfaffians have codimension 3 so that the projective dual of  $Z_1$  is empty. On the other hand,  $D^b(X_1)$  is expected to be generated by 32 exceptional objects.

(C) In this case  $A$  is the complement of 108 exceptional objects in  $D^b(Y)$ , and  $D^b(\text{Gr}(3, 9))$  is generated by 45 exceptional objects.

Proposition 24 gives numerical evidences since it allows to count the number of exceptional objects and copies of  $A$  one expects. The proof of Conjecture 23 could now follow by mutating the exceptional objects in the different decompositions. This is a very hard task, due to the high number of objects. Moreover, to the best of the authors' knowledge, there is no explicit description of exceptional collections of the required length on  $Y_1$  and  $Y_2$ . On the other hand, in the case of  $T$  and  $P$ , we can provide explicit collections.

PROPOSITION 25. — *The collection*

$$\{O, U^*, S^2 U^*, O(1), U^*(1), S^2 U^*(1), O(2), U^*(2), S^2 U^*(2)\}$$

*is exceptional on  $T$ .*

*Proof.* — First, recall that  $T$  is cut on  $\text{Gr}(2, 10)$  by a general global section of the vector bundle  $Q^*(1)$ . The associated Koszul complex is

$$0 \longrightarrow \det(Q(-1)) \longrightarrow \Lambda^7 Q(-1) \longrightarrow \dots \longrightarrow Q(-1) \longrightarrow O \longrightarrow O_T \longrightarrow 0.$$

Therefore to calculate the cohomology groups of any bundle  $F_T$  restricted to  $T$  it will suffice to tensor the above complex with  $F$ . The cohomology groups of  $F$  on  $\text{Gr}(2, 10)$  can be computed using the Bott–Borel–Weil (BBW) theorem. The decomposition into irreducible components of every bundle involved will be deduced from the Littlewood–Richardson formula. In fact they will all be twists of symmetric powers of  $U$ , so the special case of BBW that will be useful to us is the following:

LEMMA 26. — *Suppose  $S^p U \otimes \Lambda^q Q(-i)$  is not acyclic on  $\text{Gr}(2, 10)$ , where  $q < 8$ . Then either*

- (a)  $i > 10$ ,
- (b)  $p + i \leq 0$ ,
- (c)  $p + q + i = 9$  and  $i \leq 1$ ,
- (d)  $q + i = 10$  and  $p + i > 10$ .



We will split the proof of the Proposition into three parts, checking first the exceptionality and then the additional required vanishings. Let  $\mathbf{E} := \langle \mathcal{O}, U^*, S^2 U^* \rangle \subset \mathbf{D}^b(T)$ .

*Step 1.* — First we prove that all the bundles in the collection are exceptional. To this end, it is enough to show that the bundles  $\mathcal{O}$ ,  $U^*$  and  $S^2 U^*$  are exceptional. Since  $T$  is a Fano variety, then  $\mathcal{O}$  is exceptional. The other two cases give:

- $\mathrm{Hom}^*(U^*, U^*) \simeq H^*(T, U \otimes U^*)$ .
- $\mathrm{Hom}(S^2 U^*, S^2 U^*) \simeq H^*(T, S^2 U \otimes S^2 U^*)$ .

The bundles  $U \otimes U^*$  and  $S^2 U \otimes S^2 U^*$  are not irreducible: they split into  $S^2 U(1) \oplus \mathcal{O}$  and  $S^4 U(2) \oplus S^2 U(1) \oplus \mathcal{O}$ , respectively. Using Lemma 26 and the Koszul complex (4.3), it is easy to check that the only non acyclic factor is  $\mathcal{O}$ .

*Step 2.* — Now we verify the orthogonality of the bundles generating  $\mathbf{E}$ . This will imply that every  $\mathbf{E}(i)$  is generated by an exceptional collection of length 3.

There are three cases:

- $\mathrm{Hom}^*(U^*, \mathcal{O}) \simeq H^*(T, U)$ .
- $\mathrm{Hom}^*(S^2 U^*, \mathcal{O}) \simeq H^*(T, S^2 U)$ .
- $\mathrm{Hom}^*(S^2 U^*, U^*) \simeq H^*(T, S^2 U \otimes U^*)$ .

The bundle  $S^2 U \otimes U^*$  splits into  $S^3 U(1) \oplus U$ . Using Lemma 26 and the Koszul complex (4.3), we check that  $U$ ,  $S^2 U$  and  $S^3 U(1)$  are all acyclic.

*Step 3.* — There remains to check the orthogonality of the bundles generating  $\mathbf{E}$  with those generating  $\mathbf{E}(i)$  for  $i = 1, 2$ .

The orthogonality  $\mathrm{Hom}(\mathcal{O}(i), \mathcal{O}) = 0$  follows from Kodaira vanishing since  $T$  has index 3. Noticing that  $U^* = U(1)$ , the other cases give:

- $\mathrm{Hom}^*(\mathcal{O}(i), U^*) \simeq H^*(T, U^*(-i))$ ,
- $\mathrm{Hom}^*(\mathcal{O}(i), S^2 U^*) \simeq H^*(T, S^2 U^*(-i))$ ,
- $\mathrm{Hom}^*(U^*(i), \mathcal{O}) \simeq H^*(T, U(-i))$ ,
- $\mathrm{Hom}^*(U^*(i), U^*) \simeq H^*(T, U(-i) \otimes U^*)$ , and  $U(-i) \otimes U^* \simeq S^2 U(1-i) \oplus \mathcal{O}(-i)$ ,
- $\mathrm{Hom}^*(U^*(i), S^2 U^*) \simeq H^*(T, U(-i) \otimes S^2 U^*)$ , and  $U(-i) \otimes S^2 U^*$  splits into  $S^3 U(2-i) \oplus U(1-i)$ ,
- $\mathrm{Hom}^*(S^2 U^*(i), \mathcal{O}) \simeq H^*(T, S^2 U(-i))$ ,
- $\mathrm{Hom}^*(S^2 U^*(i), U^*) \simeq H^*(T, S^2 U(-i) \otimes U^*)$ , and  $S^2 U(-i) \otimes U^*$  splits into  $S^3 U(1-i) \oplus U(-i)$ ,
- $\mathrm{Hom}(S^2 U^*(i), S^2 U^*) \simeq H^*(T, S^2 U \otimes S^2 U^*(-i))$ , and  $S^2 U(-i) \otimes S^2 U^*$  splits into  $S^4 U(2-i) \oplus U(1-i) \oplus \mathcal{O}(-i)$ .

So we are reduced to checking the acyclicity of  $U(-j)$  for  $j = 0, 1, 2$ , of  $S^2 U(-j)$  for  $j = -1, 0, 1, 2$ , of  $S^3 U(-j)$  for  $j = -1, 0, 1$ , and of  $S^4 U(-j)$  for  $j = -1, 0$ . Again this is a straightforward application of Lemma 26.

The Peskine variety  $P \subset \mathbb{P}^9$  is the locus where the section of  $\Lambda^2 \mathcal{O}^*(1)$  defined by the three-form  $\Omega$  has rank at most six. For  $\Omega$  general, this occurs in codimension three, and the rank drops to four in codimension ten, hence nowhere, and  $P$  is smooth of dimension six. Being a Pfaffian degeneracy locus, its structure sheaf admits the following resolution:

$$0 \longrightarrow \mathcal{O}(-7) \longrightarrow \mathcal{O}(-4) \longrightarrow \mathcal{O}^*(-3) \longrightarrow \mathcal{O} \longrightarrow \mathcal{O}_P \longrightarrow 0.$$

In particular  $\omega_P = \mathcal{O}_P(-3)$ .

**PROPOSITION 27.** — *The collection  $\{\mathcal{O}, \mathcal{O}, \mathcal{O}(1), \mathcal{O}(2)\}$  is exceptional on  $P$ .*

*Proof.* — Since  $\omega_P = \mathcal{O}_P(-3)$ , the sequence  $\mathcal{O}, \mathcal{O}(1), \mathcal{O}(2)$  is exceptional on  $P$ . Let us prove that  $\mathcal{O}$  is exceptional; in other words, that  $End_0(\mathcal{O})$  is acyclic on  $P$ . In order to check this, we tensor out the sequence (4.3) by  $End_0(\mathcal{O})$  and we use the Bott-Borel-Weil theorem. On  $\mathbb{P}^9$ , the latter implies that for any sequence  $\alpha = (\alpha_1 > \dots > \alpha_9)$ , the bundle  $S_\alpha \mathcal{O}(-\ell)$  is acyclic if and only if there exists an integer  $q$  such that  $\alpha_q - q + 10 = \ell$ .

(a)  $End_0(\mathcal{O})$  corresponds to  $\alpha = (1, 0, \dots, 0, -1)$  and is acyclic because  $\alpha_9 - 9 + 10 = 0$ . Similarly  $End_0(\mathcal{O})(-7)$  is acyclic because  $\alpha_3 - 3 + 10 = 7$ .

(b)  $End_0(\mathcal{O}) \otimes \mathcal{O}^*(-3)$  decomposes into three factors

$$S_\beta \mathcal{O}(-3), \quad S_{\beta'} \mathcal{O}(-3) \quad \text{and} \quad S_{\beta''} \mathcal{O}(-3),$$

with  $\beta = (1, 0, \dots, 0, -1, -1)$ ,  $\beta' = (1, 0, \dots, 0, -2)$  and  $\beta'' = (0, 0, \dots, 0, -1)$ ; they are all acyclic because  $\beta_7 - 7 + 10 = \beta'_7 - 7 + 10 = \beta''_7 - 7 + 10 = 3$ .

(c)  $End_0(\mathcal{O}) \otimes \mathcal{O}^*(-4)$  gives three factors  $S_\gamma \mathcal{O}(-4)$ ,  $S_{\gamma'} \mathcal{O}(-4)$  and  $S_{\gamma''} \mathcal{O}(-4)$ , with  $\gamma = (1, 1, 0, \dots, 0, -1)$ ,  $\gamma' = (2, 0, \dots, 0, -1)$  and  $\gamma'' = (1, 0, 0, \dots, 0)$ ; they are all acyclic because  $\gamma_6 - 6 + 10 = \gamma'_6 - 6 + 10 = \gamma''_6 - 6 + 10 = 4$ .

This implies our claim that  $End_0(\mathcal{O})$  is acyclic on  $P$ . There remains to check that  $\mathcal{O}^*$ ,  $\mathcal{O}(-1)$  and  $\mathcal{O}(-2)$  are acyclic on  $P$ , which is again a straightforward consequence of the Bott-Borel-Weil Theorem.

The nature of the above exceptional collections for  $T$  and  $P$  let us expect Conjecture 23 to be improved as follows.

**CONJECTURE 28**

(T) *There is a fully faithful functor  $\Phi : \mathbf{A} \rightarrow \mathbf{D}^b(T)$ , so that*

$$\mathbf{B} = \langle \Phi \mathbf{A}, \mathcal{O}, U^*, S^2 U^* \rangle \subset \mathbf{D}^b(T)$$

*provides a rectangular Lefschetz decomposition:*

$$\mathbf{D}^b(T) = \langle \mathbf{B}, \mathbf{B}(1), \mathbf{B}(2) \rangle.$$

(P) *There is a fully faithful functor  $\Psi : \mathbf{A} \rightarrow \mathbf{D}^b(P)$ , so that*

$$\mathbf{C}_1 = \langle \Psi \mathbf{A}, \mathcal{O} \rangle \subset \mathbf{C}_0 = \langle \Psi \mathbf{A}, \mathcal{O}, \mathcal{O} \rangle \subset \mathbf{D}^b(P)$$

*provides a Lefschetz decomposition:*

$$\mathbf{D}^b(P) = \langle \mathbf{C}_0, \mathbf{C}_1(1), \mathbf{C}_1(2) \rangle.$$

REMARK 29. — Notice that the projections and jumps considered here from diagram (14) are not all the possible correspondences one can get starting from  $Y$ . First of all, one could perform a  $(4, 3)$  jump to obtain that the variety  $T(4, V_{10})$  has 7 copies of the Hodge structure  $K$  in different degrees, and, conjecturally, as many copies of  $A$  in its derived category.

One can also project further down to  $V_7$ , but this would require to consider singular cases. Anyway, this projection is of major interest since it involves a K3 surface of degree 12 (a construction which was used in [10] to show that a hyperkähler manifold arising as a moduli space on  $Y$  is deformation equivalent to a Hilbert scheme of points on such a K3 surface).

4.4. NORMAL BUNDLES OF SPECIAL LOCI. — In this section we calculate the normal bundles of the special loci in diagram (14), so as to ensure that Corollary 51 applies. We keep the notations from diagram (14).

LEMMA 30. — Consider the projective bundle  $q : F_1 = P(O \oplus U^*) \rightarrow Y_1$ , and denote by  $R$  the relative tautological quotient bundle. Then  $N_{F_1/Bl_Z Y} \simeq R^* \otimes q^*O(1)$ .

Proof. — Let us denote  $\tilde{Y} := Bl_Z Y$  and  $\tilde{G} := Bl_{Gr(2,9)} Gr(3, 10)$ . Consider the diagram

$$\begin{array}{ccccc}
 & & \overset{\bullet}{Y_0} & \xrightarrow{\quad} & /Gr(3,10) \\
 & & \sigma \downarrow & & \downarrow \tau \\
 F_1 & \overset{\bullet}{\xrightarrow{\quad}} & \tilde{Y} & \overset{\bullet}{\xrightarrow{\quad}} & \tilde{G} \\
 q \downarrow & & \downarrow p & & \downarrow \pi \\
 Y_1 & \xrightarrow{\quad} & /Gr(3,9) & = & Gr(3,9),
 \end{array}$$

where  $\sigma$  and  $\tau$  are the blow-ups, and both  $\pi$  and  $q$  are the  $P^3$ -bundles obtained from the projectivization of the rank 4 bundle  $E := O \oplus U^*$ . The middle line gives a nested sequence for the normal bundles:

$$0 \rightarrow N_{F_1/\tilde{Y}} \rightarrow N_{F_1/\tilde{G}} \rightarrow (N_{\tilde{Y}/\tilde{G}})_{|F_1} \rightarrow 0.$$

Note that  $Y_1 \subset Gr(3, 9)$  is the zero locus of a regular section of  $\Lambda^2 U^* \oplus O(1)$ . Equivalently the first bundle can be seen as  $U(1)$ . Since  $q$  is nothing but the restriction of  $\pi$ , we deduce that

$$N_{F_1/\tilde{G}} = q^* N_{Y_1/Gr(3,9)} = q^*(U(1) \oplus O(1)).$$

On the other hand,  $Y \subset Gr(3, 10)$  is a hyperplane section, so its normal bundle is  $O(1)$ . Hence  $N_{\tilde{Y}/\tilde{G}} = \sigma^*O(1)$ . Now we notice that  $\sigma^*O(1) = \pi^*O(1) \otimes O_\pi(1)$  so that

$$(N_{\tilde{Y}/\tilde{G}})_{|F_1} = \sigma^*O(1)_{|F_1} = q^*O(1) \otimes O_q(1).$$

The nested sequence for normal bundles turns then out to be nothing but the dual of the relative tautological sequence for the projective bundle  $q : F_1 = P(O \oplus U^*) \rightarrow Y_1$ , up to a shift by  $q^*O(1)$ .

The same techniques allow us to calculate the normal bundle of the special locus of the second projection.

LEMMA 31. — Consider the projective bundle  $q : F_2 = \mathbb{P}(O \oplus U^*) \rightarrow Y_2$ , and denote by  $R$  the relative tautological quotient bundle of this fibration. Then  $N_{F_2/\text{Bl}_{Z_1} Y_1} \simeq R^* \otimes q^*O(1)$ .

Finally, let us compute the normal bundle of the exceptional locus  $E$  of diagram (14).

LEMMA 32. — Consider the projective bundle  $\pi : E = \mathbb{P}(V_{10}/U_2) \rightarrow T \subset \text{Gr}(2, 10)$ , and denote by  $R$  the relative tautological quotient bundle. Then

$$N_{E/q^*Y} \simeq R^* \otimes \pi^*O(1).$$

Proof. — Denote by  $p$  the projection from  $q^*Y \rightarrow \text{Gr}(2, 10)$ , so that we have a diagram:

$$\begin{array}{ccccc} & & Y \overset{\bullet}{\circ} & \xrightarrow{\quad} & \text{Gr}(3, 10) \\ & & \downarrow q & & \downarrow q \\ E \overset{\bullet}{\circ} & \xrightarrow{\quad} & q^*Y \overset{\bullet}{\circ} & \xrightarrow{\quad} & \text{Fl}(2, 3, 10) \\ \downarrow \pi & & \downarrow p & & \downarrow \rho \\ T \overset{\bullet}{\circ} & \xrightarrow{\quad} & \text{Gr}(2, 10) & \xlongequal{\quad} & \text{Gr}(2, 10). \end{array}$$

where both  $\pi$  and  $\rho$  are the projective bundles obtained by the projectivization of the rank 8 vector bundle  $O = V_{10}/U_2$ . The middle line gives a nested sequence of normal bundles:

$$0 \rightarrow N_{E/\widetilde{q^*Y}} \rightarrow N_{E/\text{Fl}(2,3,10)} \rightarrow (N_{q^*Y/\text{Fl}(2,3,10)})|_E \rightarrow 0.$$

Note that  $T \subset \text{Gr}(2, 10)$  is the zero locus of a regular section of  $O^*(1)$ . Since  $\pi$  is nothing but the restriction of  $\rho$ , we deduce that

$$N_{E/\text{Fl}(2,3,10)} = \pi^*N_{T/\text{Gr}(2,10)} = \pi^*O^*(1) = \pi^*O^* \otimes \pi^*O(1).$$

On the other hand,  $Y \subset \text{Gr}(3, 10)$  is a hyperplane section, so its normal bundle is  $O(1)$ . Hence  $N_{q^*Y/\text{Fl}(2,3,10)} = q^*O(1)$ . Notice that  $q^*O(1) = O_\rho(1) \otimes \rho^*O(1)$ , so that:

$$(N_{q^*Y/\text{Fl}(2,3,10)})|_E = q^*O(1)|_E = \pi^*O(1) \otimes O_\pi(1).$$

The nested sequence for normal bundles turns then out to be dual to the relative tautological sequence for the projective bundle  $E = \mathbb{P}(O) \rightarrow T$ , up to a shift by  $\pi^*O(1)$ .

### 5. ON COBLE CUBICS

A nested construction, similar to the one treated in details in Section 4 can be carried over for a linear section  $Y$  of  $\text{Gr}(3, V_n)$ , for any  $n$ . If  $n > 10$ , such a  $Y$  would be Fano of  $(n - 8)$ -Calabi-Yau type, and the Calabi-Yau structure spreads around the different varieties in the diagram, as soon as one can guarantee the smoothness.

Going through the general case would be too complicated and out of the scope of this paper. We present in this section the case  $n = 9$ , and make a short remark on the case  $n = 11$ .

5.1. LINEAR SECTION OF  $\text{Gr}(3, 9)$ , A WEIGHT ONE HODGE STRUCTURE AND THE COBLE CUBIC

The hyperplane section  $T(3, 9) \subset \text{Gr}(3, V_9)$  carries a weight one Hodge structure in its middle cohomology  $H^{17}(T(3, 9), \mathbb{C}) = H^{9,8}(T(3, 9)) \oplus H^{8,9}(T(3, 9))$ , which is 4-dimensional. This weight one Hodge structure is then similar to the one of a genus 2 curve, and we can carry either projections to  $\text{Gr}(3, V_n)$  with  $n < 9$  or jumps to  $\text{Gr}(k, V_9)$  with  $k < 3$ .

In the first case, we can see that the weight one Hodge structure is carried to  $HI(3, 8)$  which is an 11-dimensional Fano variety. If we want to push this further to  $HI_2(3, 7)$  (which is a 5-dimensional Fano variety), we need to project along a line in the kernel of the 2-form defining  $HI(3, 8)$ , which would then be singular in this case.

The case of jumps is probably more interesting, since if we perform twice this correspondence, we finally get to Coble cubic hypersurfaces in  $\mathbb{P}^8$ . We focus on these two correspondences. Let us first fix the following notations.

$X = T(3, 9)$  the hyperplane section of  $\text{Gr}(3, V_9)$ , smooth of dimension 17.

$W = T(2, 9)$ , smooth of dimension 7.

$C = P(1, 9) \subset \mathbb{P}^8$ , of dimension 7, the Coble cubic.

$S \subset C$  is the singular locus of  $C$ , an abelian surface.

That  $P(1, 9) \subset \mathbb{P}^8$  is a Coble cubic was first observed in [15], section 5. Its traditional characterization is that given a  $(3, 3)$ -polarized abelian surface  $S$ , embedded in  $\mathbb{P}^8$  by the associated linear system, this is the unique cubic hypersurface that is singular exactly along  $S$ . For this result and a general introduction to the Coble hypersurfaces, we refer to [4].

The  $(1, 2)$  and  $(2, 3)$  jumps give rise to the following diagram:

$$(15) \quad \begin{array}{ccccc} \bullet & \xrightarrow{\cdot \text{cdim } 3 / q^* W} & \bullet & \xrightarrow{\cdot \text{cdim } 6 / q^* X} & \\ \text{P}^3 \swarrow & & \text{P}^1 \downarrow & & \text{P}^2 \searrow \\ S & \xrightarrow{\cdot} C & \xrightarrow{\text{P}^1} W & \xrightarrow{\cdot} \text{Gr}(2, 9) & \xrightarrow{\text{P}^5} X & \xrightarrow{\cdot} \text{Gr}(3, 9) \\ & \searrow \text{P}^8 & & & & \end{array}$$

where we use the conventions we introduced for (14). Using Proposition 11 in the sub-diagram (2), and the fact that  $H^{a,b}(\text{Gr}(2, 9)) = 0$  for  $a \neq b$ , we get

$$h^{4,5}(W) = h^{3,4}(W) = h^{2,3}(W) = 2,$$

$$h^{a < b}(W) = 0 \quad \text{otherwise.}$$

On the categorical side, notice that a rectangular Lefschetz decomposition for  $\text{Gr}(3, 9)$  is not known so that we can only expect (for numerical reasons) the derived category of  $X$  to be generated by 74 exceptional objects and the derived category of a genus two curve  $\Gamma$ . Indeed, the Euler characteristic of  $X$  is 72, and the Euler characteristic of  $\Gamma$  is  $-2$ .

Moreover, we expect the derived category of  $W$  to be generated by 6 exceptional objects and three copies of  $D^b(\Gamma)$ . Indeed, one has that the Euler characteristic of  $W$  is 0 as one can calculate from square (2) in (15).

On the other hand, the two expectations are related by Proposition 10 applied to square (2) in (15). Indeed, the  $P^2$  bundle  $q^*X \rightarrow X$  would provide 222 objects in  $D^b(q^*X)$ . On the other hand  $D^b(\text{Gr}(2, 9))$  is generated by 36 objects which, via the (generic)  $P^5$ -bundle structure  $q^*X \rightarrow \text{Gr}(2, 9)$  provide 216 objects. It is not difficult to construct a length 6 exceptional collection on  $W$ .

**PROPOSITION 33.** — *The collection*

$$\{O, U^*, O(1), U^*(1), O(2), U^*(2)\}$$

*is exceptional in  $D^b(W)$ .*

*Proof.* — The proof is very similar to the one of Proposition 25. First of all, it is easy to check that both  $O$  and  $U^*$  are exceptional. To verify the required orthogonalities, we have to check acyclicity of the following bundles on  $W$ :

- (a)  $U(-i)$  for  $i = 0, 1, 2$ ,
- (b)  $O(-i)$  for  $i = 1, 2$ ,
- (c)  $U^*(-i)$  for  $i = 1, 2$ , but note that  $U^*(-2) = U(-1)$ ,
- (d)  $(U^* \otimes U)(-i) = (S^2 U(1) \oplus O)(-i)$ , for  $i = 1, 2$ .

This can be performed via BBW or using the fact that  $W$  is a Fano variety of index 3.

The shapes of the exceptional collection and of the Hodge structure of  $W$  lead us to formulate a conjecture which is very similar to Conjecture 28, part (T).

**CONJECTURE 34.** — *There is a fully faithful functor  $\Phi : D^b(\Gamma) \rightarrow D^b(W)$ , so that*

$$B = \langle \Phi D^b(\Gamma), O, U^* \rangle \subset D^b(W)$$

*provides a rectangular Lefschetz decomposition:*

$$D^b(W) = \langle B, B(1), B(2) \rangle.$$

Considering the sub-diagram (1) in (15), one cannot apply results describing decompositions of the Hodge theory or the derived categories, since the cubic  $C$  singular. All what we can say is via the  $P^1$ -bundle  $q : q^*W \rightarrow W$ , that is, that both the derived category and the Hodge structure of  $q^*W$  are given by two copies of those of  $W$ . On the other hand, we can still perform calculations in the Grothendieck ring  $K_0(\text{Var}(\mathbb{C}))$  of complex varieties. Indeed, we have:

$$[q^*W] = [W](1 + L) = [C](1 + L) + [S]L^2(1 + L).$$

Supposing that  $(1 + L) = [P^1]$  is not a zero-divisor, we get:

$$(16) \quad [W] = [C] + [S]L^2.$$

First of all, recall that the Hodge structure and (conjecturally) the derived category of  $W$  are related to a genus 2 curve. The description of the class of  $W$  on the right hand side of (16) suggests a tight relationship between such a curve and the Abelian variety  $S$ .

We can push this analysis further to propose a candidate for a crepant categorical resolution of singularities of the Coble cubic  $C$ . Indeed, a generalization of Proposition 49 would give a semiorthogonal decomposition of  $q^*W$  in two copies of  $D^b(C)$  and two copies of  $D^b(S)$ , that is,  $q^*W$  can be thought of (homologically) as a  $\mathbb{P}^1$ -bundle over a smooth category which would 'differ' from  $\text{Perf}(C)$  only by a copy of its singular locus  $S$ . Then we could expect the following description for a categorical crepant resolution of singularities of the Coble cubic.

CONJECTURE 35. — *There are functors  $\Psi_i : D^b(\Gamma) \rightarrow D^b(q^*W)$  for  $i = 1, 2, 3$  and exceptional objects  $E_j$  for  $j = 1, \dots, 6$ , so that the category*

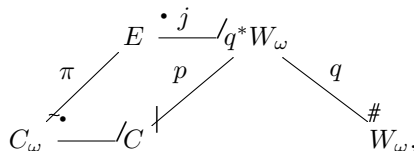
$$\tilde{\mathcal{C}} = \langle \Psi_1 D^b(\Gamma), E_1, E_2, \Psi_2 D^b(\Gamma), E_3, E_4, \Psi_3 D^b(\Gamma), E_5, E_6 \rangle$$

*is a crepant categorical resolution of singularities of  $C$ .*

Note that the choice of distributing exceptional objects in the categorical resolution in Conjecture 35 is arbitrary, since one can act by mutations. But it suggests an even stronger expectation, that is, that one can have a crepant categorical resolution of singularities of  $C$  carrying a length 3 rectangular Lefschetz decomposition.

5.2. RESOLVING THE COBLE CUBIC. — In all the sequel we will consider varieties that are naturally embedded into partial flag varieties. We will denote by  $U_d$  the rank  $d$  tautological bundle on such a partial flag variety, as well as its restriction to a given subvariety (with the hope that this will not confuse the reader).

A geometrical resolution of singularities of the Coble cubic can be obtained by the above construction as follows. Let  $\omega$  be a general 2-form on  $V_9$ , and  $W_\omega$  the corresponding hyperplane section of  $W \subset \text{Gr}(2, 9)$ . That is,  $W$  is the locus of those  $\omega$ -isotropic planes  $U_2$  such that  $\Omega(u, v, \bullet) = 0$  for all vectors  $u, v$  of  $U_2$ . Restricting the (1, 2)-jump to  $W_\omega$  gives rise to the following diagram:



where  $q : q^*W_\omega \rightarrow W_\omega$  is a  $\mathbb{P}^1$ -bundle, so that  $q^*W_\omega$  is smooth. We are going to describe the exceptional locus  $E \rightarrow C_\omega$ . We claim that  $p : q^*W_\omega \rightarrow C$  is a birational map. Indeed,  $q^*W_\omega$  is the locus of pairs  $(U_1, U_2)$  with  $U_2 \subset V_9$  a plane corresponding to a point in  $W_\omega$  and  $U_1 \subset U_2$  a line. The map  $p$  projects the pair  $(U_1, U_2)$  to  $U_1$ , and since  $\Omega(\ell, u, \bullet) = 0$  for any  $\ell \in U_1$  and  $u \in U_2$ , the two-form  $\Omega(\ell, \bullet, \bullet)$  is degenerate. So the image of  $q^*W_\omega$  by  $p$  is contained in  $C$ .

Now, given a point in  $C$ , i.e., a line  $U_1 = \langle \ell \rangle \subset V_9$  such that the 2-form  $\Omega_\ell := \Omega(\ell, \bullet, \bullet)$  is degenerate, the fiber of  $p$  over  $U_1$  is the set of planes  $U_2 \supset U_1$  that belong to  $W_\omega$ , so this fiber is isomorphic to the projectivization of  $(\ker \Omega_\ell \cap U_1^\perp)/U_1$  (where the orthogonality is taken with respect to the form  $\omega$ ). There are three possibilities.

- $\ker \Omega_\ell$  is three-dimensional and not contained in  $U_1^\perp$ . This is the general case, hence it defines a dense open subset  $C_0$  of  $C$ . In this case  $U_2$  must be equal to  $\ker \Omega_\ell \cap U_1^\perp$ , so  $p$  is an isomorphism over  $C_0$ .

- $\ker \Omega_\ell$  is three-dimensional and contained in  $U_1^\perp$ . This is a codimension two condition, we call the corresponding locus  $C_1$  inside  $C$ . The fiber of  $p$  over  $U_1$  is then a projective line.

- $\ker \Omega_\ell$  is five-dimensional, that is,  $U_1$  belongs to  $S$ . This kernel cannot be contained in  $U_1^\perp$  (this is a codimension four condition), so the fiber of  $p$  is a projective plane.

In particular  $p : q^*W_\omega \rightarrow C$  is a resolution of singularities. We deduce:

**PROPOSITION 36.** — *The Coble cubic  $C$  has rational singularities.*

*Proof.* — Recall that  $W_\omega$  is the zero-locus of a general section of the vector bundle  $E = \mathcal{O}^*(1) \oplus \mathcal{O}(1)$  on  $\text{Gr}(2, V_9)$ . So  $q^*W_\omega$  is the zero-locus of a general section of  $q^*E$  on the flag manifold  $\text{Fl}(1, 2, V_9)$ , and we can resolve its structure sheaf by the Koszul complex

$$0 \longrightarrow q^*\mathcal{N}^8 E^* \longrightarrow \dots \longrightarrow q^*E^* \longrightarrow \mathcal{O}_{\text{Fl}(1,2,V_9)} \longrightarrow \mathcal{O}_{q^*W_\omega} \longrightarrow 0.$$

In order to prove that  $R^i p_* \mathcal{O}_{q^*W_\omega} = 0$  for  $i > 0$ , it is then enough to check that for all  $0 \subset j \subset 8$  and  $i > 0$ ,  $R^{i+j} p_* q^* \mathcal{N}^j E^* = 0$ . Since the projection from  $\text{Fl}(1, 2, V_9)$  to  $\mathbb{P}(V_9)$  is a fiber bundle (with fiber  $\mathbb{P}(V_9/L)$  over the point  $[L] \in \mathbb{P}(V_9)$ ), this vanishing can just be checked on each fiber, and we thus need to verify that

$$H^{i+j}(\mathbb{P}(V_9/L), q^* \mathcal{N}^j E_{\mathbb{P}(V_9/L)}^*) = 0, \quad \text{for } i > 0.$$

On  $\mathbb{P}(V_9/L)$  the tautological line bundle is  $\mathcal{O}(-1) = U_2/L$ , and is isomorphic to the restriction of  $q^*\mathcal{O}(-1)$ . Moreover the quotient bundle is also the restriction of  $q^*\mathcal{O}$ . We deduce that  $q^* E_{\mathbb{P}(V_9/L)}^* \simeq \mathcal{O}(1) \oplus \mathcal{O}(1)$ , where now  $\mathcal{O}(1)$  and  $\mathcal{O}$  are the hyperplane and quotient bundle on the projective space  $\mathbb{P}(V_9/L)$ . This implies that

$$q^* \mathcal{N}^j E_{\mathbb{P}(V_9/L)}^* = (\mathcal{N}^{j-1} \mathcal{O}^* \oplus \mathcal{N}^j \mathcal{O}^*)(-j).$$

That this bundle has no cohomology in degree bigger than  $j$  then follows directly from Bott's theorem.

Let  $C_\omega = C_1 \cup S \subset C$  denote the locus over which  $p : q^*W_\omega \rightarrow C$  is not an isomorphism, and  $E \subset q^*W_\omega$  the exceptional locus  $E := p^{-1}(C_\omega)$ , which is a divisor. We denote by  $\mathcal{O}_E(h) := \mathcal{O}_\pi(1)$  the relative hyperplane section.



Let  $\tilde{C}_\omega \subset \text{Fl}(1, 3, V_9)$  be the variety of flags  $U_1 \subset U_3$  such that  $\omega(U_1, U_3) = 0$  and  $\Omega(U_1, U_3, \bullet) = 0$ . In other words,  $\tilde{C}_\omega$  is the zero-locus of the global section of the vector bundle

$$E = E_1 \oplus E_2 = (U_1 \wedge U_3)^* \oplus (U_1 \wedge U_3 \wedge V_9)^*$$

defined by  $(\omega, \Omega)$ . This bundle is globally generated of rank  $2 + 13 = 15$ , therefore  $\tilde{C}_\omega$  is smooth of dimension  $20 - 15 = 5$ . The projection to  $\mathbb{P}(V_9)$  gives a map  $\eta : \tilde{C}_\omega \rightarrow C_\omega$ , which is bijective outside  $S$ . Over  $U_1 = \langle \ell \rangle \in S$ , the kernel of  $\Omega_\ell$  is five dimensional and its intersection  $U_4$  with  $U_1^\perp$  is four dimensional. The fiber of  $\eta$  over  $U_1$  is thus the set of three-dimensional spaces  $U_3$  such that  $U_1 \subset U_3 \subset U_4$ , hence a projective plane.

We are going to show that the map  $\eta : \tilde{C}_\omega \rightarrow C_\omega$  is the blow-up of  $C_\omega$  along  $S$ , and deduce that  $C_\omega$  is smooth and irreducible. This will require several steps.

LEMMA 37. —  $\tilde{C}_\omega$  is irreducible and  $h^{0,q}(\tilde{C}_\omega) = 0$  for all  $q > 0$ .

Proof. — We resolve the structure sheaf of  $\tilde{C}_\omega$  by the Koszul complex

$$0 \rightarrow \Lambda^{15} E^* \rightarrow \dots \rightarrow E^* \rightarrow \mathcal{O}_{\mathbb{F}1} \rightarrow \mathcal{O}_{\tilde{C}_\omega} \rightarrow 0.$$

We will show that all the wedge powers  $\Lambda^q E^*$  are acyclic for  $q > 0$  and the claim will follow. In order to check this acyclicity, we cannot apply the Bott-Borel-Weil theorem directly, because  $E$  is not a completely reducible homogeneous vector bundle. In fact,  $E_1$  is irreducible but  $E_2$  is not semisimple. Indeed, consider the quotient bundles  $\mathcal{O}_2 = U_3/U_1$  and  $\mathcal{O}_6 = V_9/U_3$ . Then  $E_1^* = U_1 \otimes \mathcal{O}_2$  and there is an exact sequence

$$0 \rightarrow E_3^* := U_1 \otimes \det(\mathcal{O}_2) \rightarrow E_2^* \rightarrow E_4^* := U_1 \otimes \mathcal{O}_2 \otimes \mathcal{O}_6 \rightarrow 0.$$

In order to prove that  $H^q(\mathbb{F}1, \Lambda^q E^*) = 0$ , it is enough to check that

$$H^q(\mathbb{F}1, \Lambda^{q_1} E_1^* \otimes \Lambda^{q_3} E_3^* \otimes \Lambda^{q_4} E_4^*) = H^q(\mathbb{F}1, \Lambda^{q_1} \mathcal{O}_2 \otimes \Lambda^{q_3} \det(\mathcal{O}_2) \otimes \Lambda^{q_4} (\mathcal{O}_2 \otimes \mathcal{O}_6) \otimes U_1^{q_1}) = 0$$

when  $q_1 + q_3 + q_4 = q$ . Note that  $E_3$  is a line bundle, so we can suppose that  $q_3 \leq 1$ . By the Cauchy formula, we can decompose

$$\Lambda^{q_4} (\mathcal{O}_2 \otimes \mathcal{O}_6) = \bigoplus_{a+b=q_4} S_{a,b} \mathcal{O}_2 \otimes S_{2^b 1^{a-b}} \mathcal{O}_6,$$

where  $S_{a,b}$  and  $S_{2^b 1^{a-b}}$  are the Schur functors associated respectively with the partitions  $(a, b)$  (so that  $a > b$ ) and  $(2, \dots, 2, 1, \dots, 1)$ , with  $b$  twos and  $a - b$  ones (so that necessarily  $a \leq 6$ ). Tensoring by  $\Lambda^{q_1} \mathcal{O}_2 \otimes \Lambda^{q_3} \det(\mathcal{O}_2) \otimes U_1^{q_1}$ , we get a direct sum of irreducible bundles of the form

$$S_{2^b 1^{a-b}} \mathcal{O}_6 \otimes S_{c,d} \mathcal{O}_2 \otimes U_1^q.$$

Now we are in position to apply the Bott-Borel-Weil theorem. Let  $\rho = (8, \dots, 2, 1, 0)$ . For the latter bundle not to be acyclic, we need that the sequence

$$\sigma = (2, \dots, 2, 1, \dots, 1, 0, \dots, 0, c, d, q) + \rho$$

admits no repetition. The seven leftmost terms of  $\sigma$  give all the integers between 10 and 3, except  $10 - b$  and  $9 - a$ . Since  $S_{c,d} \mathcal{O}_2$  is a direct factor of

$$S_{a,b} \mathcal{O}_2 \otimes \Lambda^{q_1} \mathcal{O}_2 \otimes \Lambda^{q_3} \det(\mathcal{O}_2),$$

we have  $d \leq c \leq a + 2 \leq 8$ . So if  $d > 2$ , we need  $c + 2 = 10 - b$  and  $d + 1 = 9 - a$ , that is,  $b + c = a + d = 8$ , and then all the integers between 10 and 3 appear in  $\sigma$ . So  $q$  must be either bigger than 10 or smaller than 2. But  $c + d = a + b + q_1 + 2q_3$ , hence  $16 = a + b + c + d = 2q_4 + q_1 + 2q_3 = 2q - q_1$ . This yields  $q = 8 + q_1/2$  with  $0 \leq q_1 \leq 2$ , which gives a contradiction.

So we need  $d \leq 1$ , hence  $b + q_3 \leq 1$ . Then  $q = a + b + q_1 + q_3 \leq a + q_1 + 1 \leq 9$  since  $a \leq 6$  and  $q_1 \leq 2$ . If  $q > 3$ , the two integers  $q$  and  $c + 2$  must coincide with  $10 - b$  and  $9 - a$ . In particular  $q + c + 2 = 19 - a - b$ , that is,  $q_1 + q_3 + 2a + 2b + c = 17$ , and since  $c \leq a + q_3 + q_1$  we get  $17 \leq 2(q_1 + q_3 + a + b)$ , hence  $9 \leq q_1 + q_3 + a + b \leq q_1 + a + 1$ . This is only possible for  $a = 6, q_1 = 2, b + q_3 = 1$ , hence  $q = 9$ . Since  $\{q, c + 2\} = \{10 - b, 9 - a\}$  and  $b \leq 1$ , we must have  $q = 6 = 9 - a$  and  $c + 2 = 10 - b$ , hence  $a = 3$  and  $c = 8 - b$ . But then  $c > 7$ , and since necessarily  $c \leq a + 2$ , we get a contradiction.

We are thus reduced to  $q \leq 2, d \leq 1$  hence also  $b \leq 1$ . Moreover, if  $c > 0$ , we must have  $c = 10 - b$  or  $9 - a$ . But  $c \leq a + 2 \leq 8$ , so only  $c = 9 - a$  is possible. Then  $9 - a \leq a + 2$  yields  $a > 4$ , and then  $q > q_4 = a + b > 4$ , a contradiction. So finally  $c = 0$ , hence also  $d = 0$ , and since  $c + d = q_1 + 2q_3 + q_4$  we get  $q_1 = q_3 = q_4 = q = 0$ , as claimed.

LEMMA 38. — *The line bundle  $M = \det(\mathcal{O}_6)$  is ample on  $\tilde{C}_\omega$ .*

*Proof.* — Consider the projection  $\psi : \tilde{C}_\omega \rightarrow \text{Gr}(3, V_9)$ . It suffices to check that  $\psi$  is finite on its image. Recall that  $\tilde{C}_\omega$  is defined by the conditions that  $\omega(U_1, U_3) = 0$  and  $\Omega(U_1, U_3, \bullet) = 0$ . For a fixed  $U_3$ , these are linear conditions on  $U_1$ , so if there is a non trivial fiber over  $U_3$ , there must exist a plane  $U_2 \subset U_3$  such that  $\omega(U_2, U_3) = 0$  and  $\Omega(U_2, U_3, \bullet) = 0$ . This would give a point in the zero-locus of a general section of the vector bundle  $(U_2 \wedge U_3)^* \oplus (U_2 \wedge U_3 \wedge V_9)^*$  over the flag manifold  $\text{Fl}(2, 3, V_9)$ . But this is a vector bundle of rank  $3 + 19 = 22$  over a flag manifold of dimension 20, so this cannot happen: indeed, being dual to a subbundle of a trivial bundle, this is a globally generated vector bundle, and the zero locus of a general section has negative expected dimension.

Then consider  $L = U_1^*$  on  $\text{Fl}(1, 3, V_9)$ , the pullback of the hyperplane line bundle from  $\mathbb{P}(V_9)$ .

LEMMA 39. — *For any  $m > 0$ , the restriction map*

$$H^0(\text{Fl}(1, 3, V_9), L^m) \longrightarrow H^0(\tilde{C}_\omega, L^m_{|\tilde{C}_\omega})$$

*is surjective. Moreover it is an isomorphism for  $m = 1$ .*

*Proof.* — Again we use the Koszul complex (5.2) and Bott-Borel-Weil.

Now we are in position to apply [2]. By adjunction, the canonical bundle of  $\tilde{C}_\omega$  is

$$K_{\tilde{C}_\omega} = (4L - 2M)_{|\tilde{C}_\omega}.$$

By Lemma 38, the line bundle  $M_{|\tilde{C}_\omega}$  is ample, so we can apply [2, Th. 4.1] to the pair  $(X, L) = (\tilde{C}_\omega, M_{|\tilde{C}_\omega})$ , with  $r = 2$ . We claim that the *adjoint contraction morphism*

defined by  $K_X + 2L$  is  $\psi$ . Indeed,  $K_{\tilde{C}_\omega} + 2M|_{\tilde{C}_\omega} = 4L|_{\tilde{C}_\omega}$ , so by definition this contraction morphism is the one defined by the linear systems  $|4mL|_{\tilde{C}_\omega}|$  for  $m \gg 1$ . But by Lemma 39, this is the same morphism as the one defined by the linear system  $|L|_{\tilde{C}_\omega}|$ , which is indeed  $\psi$ .

Since  $\psi$  is birational with non trivial fibers isomorphic to  $\mathbb{P}^2$ , [2, Th. 4.1(iii)] applies and we conclude that:

**PROPOSITION 40.** —  $C_\omega$  is smooth and  $\psi : \tilde{C}_\omega \rightarrow C_\omega$  is the blow-up of  $S$ .

**REMARK 41.** — Pushing the analysis a little further, one can deduce that  $C_\omega$  has Picard rank one, since  $\tilde{C}_\omega$  has Picard rank two. Indeed, since  $h^{0,2}(\tilde{C}_\omega) = 0$  by Lemma 37, we just need to prove that  $h^{1,1}(\tilde{C}_\omega) = 2$ . For this, it is enough to show that the maps

$$H^1(\Omega_{\mathbb{F}1}) \longrightarrow H^1(\Omega_{\mathbb{F}1|\tilde{C}_\omega}) \longrightarrow H^1(\Omega_{\tilde{C}_\omega})$$

are both surjective. Using the Koszul complex as above, this follows from the vanishings

$$H^{q+2}(\mathbb{F}1, E^* \otimes \Lambda^q E^*) = H^{q+2}(\mathbb{F}1, \Omega_{\mathbb{F}1} \otimes \Lambda^{q+1} E^*) = 0 \quad \forall q > 0,$$

which can be checked by applying Bott-Borel-Weil as above.

Now we will draw some consequences at the categorical level. Recall that the map  $E \rightarrow C_\omega$  has fibers  $\mathbb{P}^2$  over  $S$  and fibers  $\mathbb{P}^1$  outside  $S$ . Moreover we denote by  $F$  the preimage of  $S$ . We will need two more lemmas.

**LEMMA 42.** — Let  $L$  and  $D$  the pull-backs by  $p$  and  $q$  of the minimal ample line bundles on  $\mathbb{P}(V_9)$  and  $\text{Gr}(2, V_9)$ , respectively. Then

$$N_{E/q^*W_\omega} = 4L - D.$$

*Proof.* — Inside  $q^*W_\omega$ , the divisor  $E$  is defined as the set of pairs  $(U_1, U_2)$  such that for  $\ell \in U_1$  non zero, the kernel of  $\Omega_\ell$  is contained in  $U_1^\perp$ . Over  $C$  the form  $\Omega_\ell$  is degenerate, and outside  $S$  its kernel  $U_3$  is three dimensional. Note that we can choose linear forms  $u_1, \dots, u_6$  such that  $\Omega_\ell = u_1 \wedge u_2 + u_3 \wedge u_4 + u_5 \wedge u_6$ , and  $U_3$  is then the intersection  $u_1^\perp \cap \dots \cap u_6^\perp$ . So the decomposable form  $\Omega_\ell \wedge \Omega_\ell \wedge \Omega_\ell = 6u_1 \wedge u_2 \wedge u_3 \wedge u_4 \wedge u_5 \wedge u_6 \in \Lambda^6 V_9^*$  represents  $U_3$ , and through the isomorphism  $\Lambda^6 V_9^* \simeq \Lambda^3 V_9$ , this decomposable form can be written as  $p_1 \wedge p_2 \wedge p_3$  for  $p_1, p_2, p_3$  some basis of  $U_3$ . Since  $U_3 \supset U_2 \supset U_1$ , we can write  $p_1 \wedge p_2 \wedge p_3 = \ell \wedge u_2 \wedge u_3$  for some  $u_2 \in U_2$  and  $u_3 \in U_3$ . Since  $\omega(\ell, u_2) = 0$  the contraction by the linear form  $\omega(\ell, \bullet)$  gives  $\omega(\ell, u_3) \ell \wedge u_2$ , which vanishes if and only if  $U_3$  is contained in  $U_1^\perp$  (or  $u_3 = 0$  if we are over  $S$ ). This means that over  $q^*W_\omega$ ,

$$\Omega_\ell \wedge \Omega_\ell \wedge \Omega_\ell \wedge \omega(\ell, \bullet) \in U_1^{-4} \otimes \det(U_2)$$

defines a natural section of  $4L - D$ , vanishing exactly along  $E$ . This implies the claim.

Finally we compute the normal bundle of  $F$  inside  $E$ . Recall that for  $U_1 \in S$ , and  $\ell$  a generator of the line  $U_1$ , the two-form  $\Omega_\ell$  has a four-dimensional kernel mod  $U_1$ . This defines a rank five vector bundle  $U_5$  on  $S$ , and a rank four bundle  $U_4 = U_5 \cap U_1^\perp$  (the latter is the intersection of a five-dimensional linear subspace and a hyperplane, which is transversal in codimension five, so it must be everywhere transverse over  $S$  when  $\omega$  and  $\Omega$  are sufficiently general). Moreover,  $F$  is the total space of the fibration  $\mathbb{P}(U_4/U_1)$  over  $S$ .

LEMMA 43. — *Consider the projective bundle  $F = \mathbb{P}(U_4/U_1) \rightarrow S$ . Then the normal bundle of  $F$  in  $E$  is dual to the tautological quotient bundle of the fibration.*

Proof. — Recall that  $\tilde{C}_\omega \subset \text{Fl} := \text{Fl}(1, 3, V_9)$  was defined as the variety of flags  $U_1 \subset U_3$  such that  $\omega(U_1, U_3) = 0$  and  $\Omega(U_1, U_3, \bullet) = 0$ . Denote by  $\Delta$  the exceptional divisor of the projection to  $\mathbb{P}(V_9)$ , which by Proposition 40 is nothing else than the blow-up of  $S$  in  $C_\omega$ .

Let  $\tilde{E}$  denote the total space of the projective bundle  $\mathbb{P}(U_3/U_1)$  over  $\tilde{C}_\omega$ , and  $\tilde{F}$  its restriction to  $\Delta$ . By forgetting  $U_3$ , we define a morphism from  $\tilde{E}$  to  $E$ , that sends  $\tilde{F}$  to  $F$ :

$$\begin{array}{ccccccc}
 \tilde{E} & \xrightarrow{\gamma} & \tilde{E} & \longrightarrow & \tilde{C}_\omega & \longrightarrow & C_\omega \\
 \downarrow ? & & \downarrow ? & & \downarrow ? & & \downarrow ? \\
 F & \xrightarrow{\circ} & \tilde{F} & \longrightarrow & \Delta & \longrightarrow & S
 \end{array}$$

By construction,  $\gamma$  is an isomorphism outside  $F$ , and a  $\mathbb{P}^1$ -bundle over  $F$ . More precisely,  $\tilde{F}$  is the total space of the projective bundle  $\mathbb{P}(U_4/U_2)$  over  $F$ . This readily implies that  $\tilde{E}$  is just the blow-up of  $F$  in  $E$  (see, e.g. [11, Th.1.1]). In particular the exceptional divisor of this blow-up, that is,  $\tilde{F}$ , is the total space of the projectivized normal bundle  $\mathbb{P}(N_{F/E})$ . We conclude that  $N_{F/E} \simeq U_4/U_2 \otimes M$ , for some line bundle  $M$  on  $F$ .

There remains to identify this line bundle  $M$ . Since the Picard group of  $F$  is torsion free, it is enough to compare the determinants in the previous identity. First recall that the canonical bundle of  $W$  is the restriction of  $\det(U_2)^3$ , hence that of  $W_\omega$  is  $\det(U_2)^2$ . Taking determinants in the tangent short exact sequence

$$0 \rightarrow \text{Hom}(U_1, U_2/U_1) \rightarrow T_{q^*W_\omega} \rightarrow q^*T_{W_\omega} \rightarrow 0$$

we deduce that the canonical bundle of  $q^*W_\omega$  is  $K_{q^*W_\omega} = (U_1)^2 \otimes \det(U_2)$ . Then Lemma 42 implies that  $K_E = (U_1)^{-2} \otimes \det(U_2)^2$ . Second, since  $F$  is the total space of the projective bundle  $\mathbb{P}(U_4/U_1)$  over  $S$ , we get  $K_F = (U_1)^{-2} \otimes \det(U_2)^3 \otimes \det(U_4)^{-1}$ . We deduce that the relative canonical bundle

$$K_{F/E} = \det(N_{F/E}) = \det(U_2) \otimes \det(U_4)^{-1}.$$

Therefore  $M$  is also isomorphic to  $\det(U_2) \otimes \det(U_4)^{-1}$ , and we conclude that

$$N_{F/E} \simeq U_4/U_2 \otimes \det(U_4/U_2)^* \simeq (U_4/U_2)^*$$

is dual to the tautological quotient bundle, as claimed.

Now Corollary 51 applies and we get:

**PROPOSITION 44.** — *There is a fully faithful functor:*

$$\Phi : \mathbf{D}^b(S) \longrightarrow \mathbf{D}^b(E)$$

and a semiorthogonal decomposition

$$\mathbf{D}^b(E) = \langle \pi^* \mathbf{D}^b(C_\omega)(-h), \Phi \mathbf{D}^b(S), \pi^* \mathbf{D}^b(C_\omega) \rangle.$$

In particular, this decomposition yields a dual Lefschetz decomposition with respect to the line bundle  $\mathcal{O}_E(h)$  by setting:

$$\mathbf{B}_0 := \langle \Phi \mathbf{D}^b(S), \pi^* \mathbf{D}^b(C_\omega) \rangle \subset \mathbf{B}_1 := \pi^* \mathbf{D}^b(C_\omega).$$

**THEOREM 45.** — *The category*

$$\tilde{\mathbf{D}} := \langle j_* \pi^* \mathbf{D}^b(C_\omega) \rangle^\perp \subset \mathbf{D}^b(q^* W_\omega)$$

is a weakly crepant categorical resolution of singularities of the Coble cubic  $C$ .

*Proof.* — Since by Proposition 36 the Coble cubic  $C$  has rational singularities, we are in position to apply Theorem 1 of [21]. In order that the hypothesis of this Theorem are satisfied, we need to check that :

(a) The conormal bundle  $N_{E/q^*W_\omega}^* \simeq \mathcal{O}_E(h)$  (up to  $\pi^* \text{Pic}(C_\omega)$ ). Then the semiorthogonal decomposition of  $\mathbf{D}^b(E)$  from Proposition 44 is a Lefschetz decomposition with respect to the conormal bundle  $N_{E/q^*W_\omega}^*$ , and Kuznetsov's theorem ensures that  $\tilde{\mathbf{D}}$  is a categorical resolution of singularities of  $C$ .

(b)  $C$  is Gorenstein, and its canonical bundle verifies  $K_{q^*W_\omega} = p^* K_C + E$ . Then since obviously  $\pi^* \mathbf{D}^b(C_\omega) \subset \mathbf{B}_1$  (they are indeed equal!), Kuznetsov's theorem ensures that the categorical resolution is weakly crepant.

The first claim is an immediate consequence of Lemma 42. The second claim readily follows: indeed  $C$  is obviously Gorenstein, being a hypersurface, and its canonical bundle is  $K_C = \mathcal{O}_C(-6)$ . Moreover, we computed in the proof of Lemma 43 that the canonical bundle of  $q^*W_\omega$  is  $-2L - D = (-6L) + (4L - D)$ . This concludes the proof.

**QUESTION.** — The traditional construction of Coble cubics is in terms of vector bundles on genus two curves, see [4]. Is it possible to carry on our constructions from this modular point of view?

**REMARK 46.** — Note that the above diagram allows us to obtain the following equation in the Grothendieck ring  $K_0(\text{Var}(\mathbb{C}))$ :

$$[q^*W_\omega] = [C] + \mathbb{L}[C_\omega] + \mathbb{L}^2[S].$$

The subcategory  $\tilde{\mathbf{D}}$  being the orthogonal to one copy of  $\mathbf{D}^b(C_\omega)$  confirms the expectations from the previous construction, that is, that the resolution of singularities of  $C$  would be written as  $[C] + \mathbb{L}^2[S]$  in the Grothendieck ring (if it were a variety!).

Moreover, assuming conjecture 34, one gets a semiorthogonal decomposition for the hyperplane section  $W_\omega$  of  $W$ :

$$D^b(W_\omega) = \langle A_\omega, D^b(\Gamma), O, U^*, D^b(\Gamma), O(1), U^*(1) \rangle,$$

for some category  $A_\omega$ . In particular the  $\mathbb{P}^1$ -bundle  $q^*W_\omega$  would admit a semiorthogonal decomposition by 4 copies of  $D^b(\Gamma)$ , 8 exceptional objects, and 2 copies of  $A_\omega$ . On the other hand, the resolution of singularities  $\tilde{D}$  is the orthogonal complement of a copy of  $D^b(C_\omega)$  in  $D^b(q^*W_\omega)$ . The combination of conjectures 34 and 35 lets one expect that  $D^b(C_\omega)$  admits a semiorthogonal decomposition by 2 copies of  $A_\omega$ , one copy of  $D^b(\Gamma)$  and 2 exceptional objects.

5.3. LINEAR SECTION OF  $\text{Gr}(3, 11)$  AND A NON-GEOMETRICAL 3CY CATEGORY. — Finally, we will briefly consider the hyperplane section  $Y \subset \text{Gr}(3, V_{11})$ , which is a 3-FCY and is a derived pure 3-CY Fano variety. In fact,  $Y$  has a semiorthogonal decomposition

$$D^b(Y) = \langle A, E_1, \dots, E_{150} \rangle,$$

where  $A$  is a 3CY category [24] and  $E_1, \dots, E_{150}$  are exceptional objects. Moreover,  $Y$  is also of 3CY type. One can proceed with correspondences induced by jumps and projections to spread the Hodge structure and (conjecturally) the category  $A$  in other varieties. A quick analysis of the possible target varieties easily leads to show that there is no geometrical Calabi-Yau threefold in the picture. On the other hand, one can also show that for numerical reasons, the category  $A$  cannot be geometrical.

PROPOSITION 47. — *There is no projective Calabi-Yau threefold  $X$  such that  $A \simeq D^b(X)$ .*

Proof. — First of all, thanks to [22], and the above semiorthogonal decomposition, we have

$$HH_0(Y) = HH_0(A) \oplus \mathbb{Q}^{\oplus 150},$$

where the second component is given by the exceptional objects  $E_1, \dots, E_{150}$ . Moreover,  $HH_i(Y) = HH_i(A)$  for  $i \neq 0$ .

Calculating the Hodge numbers, we get that the only non-zero non-central Hodge numbers of  $Y$  give a middle cohomology of 3CY type as follows:

$$1 \ 44 \ 44 \ 1,$$

so that  $\dim HH_1(A) = 44$ ,  $\dim HH_2(A) = 0$ , and  $\dim HH_3(A) = 1$ . Using that the Euler characteristic is the that is, sum of the dimensions of the Hochschild homology groups, we get

$$\chi(Y) = \dim HH_0(A) + 150 - 90.$$

The Euler characteristic of  $Y$  can be calculated to be 62, hence we would have  $\dim HH_0(A) = 2$ . But if  $X$  is a smooth projective Calabi-Yau threefold, then  $HH_0(X) > 4$ , and this concludes the proof.

5.4. A CASCADE OF EXAMPLES WITH MULTIPLE CY STRUCTURE. — As calculated in Theorem 3, a smooth hyperplane section of  $\mathrm{Gr}(k, V_n)$  is a Fano of  $r$ -CY type (of derived  $r$ -CY type if  $k$  and  $n$  are coprime [24]), where  $r = k(n - k) + 1 - 2n$ , with  $n > 3k$  and  $k > 2$ . In particular, the only possible values for which  $r = 2$  are  $n = 10$  and  $k = 3$ , the case treated above. However, the above correspondences, notably those induced by jumps, can be applied in this more general case to produce varieties with multiple  $r$ -CY structure, as follows.

Let  $Y \subset \mathrm{Gr}(k, V_n)$  a hyperplane section given by a  $k$ -form  $\Omega$  on  $V_n$ . Then we can define the *first  $k$ -alternating congruence Grassmannian* to be the variety  $Z \subset \mathrm{Gr}(k - 1, V_n)$  of those  $k - 1$  planes  $U \subset V_n$  such that the form  $\Omega(U, \bullet)$  is degenerate. Such  $Z$  is a locus of a general section of  $\mathcal{O}^*(1)$  and is hence smooth of dimension  $n - k + 1$ , and has canonical bundle  $\omega_Z \simeq \mathcal{O}_Z(-k)$ . The  $(k, k - 1)$  jump on  $V_n$  allows then us to calculate the Hodge numbers of  $Z$  and obtain:

- The Picard rank of  $Z$  is 1.
- $Z$  is Fano of  $r$ -CY type, namely  $H^j(Z, \mathbb{C})$  is  $r$ -CY for  $j = n - 2i$  and  $i = 0, \dots, k - 1$ , while  $H^{p,q}(Z) = 0$  if  $p \neq q$  for  $p + q > 2n$  and  $p + q < 2n - 2k + 2$ .

Similarly, if  $\mathbf{A} \subset \mathrm{D}^b(Y)$  is the  $r$ -CY category orthogonal to an exceptional collection (such  $\mathbf{A}$  exists for  $k$  and  $n$  coprime) one should expect  $\mathrm{D}^b(X)$  to admit a decomposition with  $k$  copies of  $\mathbf{A}$  and exceptional object. Similarly to the cases  $n = 9, 10$  and  $k = 3$ , since the canonical bundle of  $Z$  is  $\mathcal{O}(-k)$ , we suspect to have a Lefschetz decomposition, but not necessarily rectangular. Some numerology:

- The full exceptional collection of  $\mathrm{Gr}(k, V_n)$  has  $\binom{n}{k}$  objects, that can be organized in a rectangular Lefschetz decomposition with  $n$  components, each made hence of  $(n - 1)!/(n - k)!k!$  objects [24, Cor. 4.4].
- $\mathbf{A}$  is the orthogonal complement in  $\mathrm{D}^b(Y)$  of an exceptional collection made of  $n - 1$  components of the Lefschetz decomposition above. Hence the exceptional collection on  $Y$  has length  $(n - 1)(n - 1)!/(n - k)!k!$ .
- The  $\mathbb{P}^{k-1}$  bundle  $q^*Y \rightarrow Y$  has then  $k$  copies of  $\mathbf{A}$  and an exceptional collection of length  $a = (n - 1)(n - 1)!/(n - k)!(k - 1)!$ .
- The Grassmannian  $\mathrm{Gr}(k - 1, V_n)$  has a full exceptional collection of length  $\binom{n}{k-1} = n!/(n - k + 1)!(k - 1)!$ .
- The map  $p : q^*Y \rightarrow \mathrm{Gr}(k - 1, V_n)$  is generically a  $\mathbb{P}^{n-k-1}$ -bundle, so the orthogonal to  $\mathrm{D}^b(Z)$  in there is given by  $n - k - 1$  copies of the Grassmannian. It follows that we have  $b = (n - k)n!/(n - k + 1)!(k - 1)!$  exceptional objects orthogonal to  $\mathrm{D}^b(Z)$ .

From the above, we can then expect to have  $\mathrm{D}^b(Z)$  generated by  $k$  copies of  $\mathbf{A}$  and a number of exceptional objects that we can calculate as

$$\begin{aligned} a - b &= \frac{(n - 1)!}{(n - k + 1)!(k - 1)!} ((n - 1)(n - k + 1) - n(n - k)) \\ &= \frac{(n - 1)!}{(n - k + 1)!(k - 1)!} (k - 1) = \frac{(n - 1)!}{(n - k + 1)!(k - 2)!} = \binom{n - 1}{k - 2}. \end{aligned}$$

APPENDIX A. A DECOMPOSITION OF THE HODGE STRUCTURE

Let  $X$  be a smooth projective variety,  $Z \subset X$  a smooth codimension  $c$  subvariety and  $\sigma : Y \rightarrow X$  be the blow-up of  $X$  along  $Z$  with exceptional divisor  $j : E \hookrightarrow X$ . In particular,  $p : E \rightarrow Z$  is a projective bundle of relative dimension  $c - 1$ , with relative ample line bundle  $\mathcal{O}_E(H) = \mathcal{O}_Y(-E)|_E$ . In this case, it is well known that we can decompose both the Hodge structure  $H^j(Y, \mathbb{C})$  (see, e.g. [33, 7.3.3]) and the derived category  $\mathrm{D}^b(Y)$  (see [29]) in terms of their counterparts on  $X$  and  $Z$ .

We generalize these results to the following situation:  $\pi : Y \rightarrow X$  is a proper map between smooth projective varieties, and there is a smooth subvariety  $\iota : Z \subset X$  of codimension  $c > 2$ , and integers  $n < m < n + c$  such that the map  $\pi$  is a  $\mathbb{P}^n$ -bundle over  $X \setminus Z$  and a  $\mathbb{P}^m$ -bundle over  $Z$ . That is, there is a smooth projective subvariety  $j : F \subset Y$  of codimension  $d = c + n - m$ , a commutative diagram

$$(17) \quad \begin{array}{ccc} F & \xrightarrow{j} & Y \\ p \downarrow & & \downarrow \pi \\ Z & \xrightarrow{\iota} & X \end{array}$$

and a locally free sheaf  $F$  of rank  $m + 1$  on  $Z$  such that  $p : F \simeq \mathbb{P}_Z(F) \rightarrow Z$ . We denote by  $\mathcal{O}_F(H)$  the relative ample bundle of  $p$  and we assume that there is a line bundle  $\mathcal{O}_Y(H)$  such that  $\mathcal{O}_Y(H)|_F \simeq \mathcal{O}_F(H)$ . We denote by  $h$  and  $h_F$  the first Chern classes of  $\mathcal{O}_Y(H)$  and  $\mathcal{O}_F(H)$  respectively.

We start with the Hodge-theoretical result. The following Proposition is probably well-known to the experts.

**PROPOSITION 48.** — *In the configuration above, there is an isomorphism of integral Hodge structures:*

$$\bigoplus_{i=0}^n H^{j-2i}(X, \mathbb{C})(i) \oplus \bigoplus_{i=0}^{m-n-1} H^{j-2i-2d}(Z, \mathbb{C})(d+i) \simeq H^j(Y, \mathbb{C})$$

given by the map

$$\phi := \sum_{i=0}^n h^i \circ \pi^* + \sum_{i=0}^{m-n-1} j_* \circ h_F^i \circ p^*.$$

*Proof.* — The proof follows closely the proof of the Hodge decomposition of a blow-up, see, e.g. [33, 7.3.3]. First of all, the morphism  $\phi$  is a morphism of Hodge structures, as a composition of morphisms of Hodge structures. We are left to prove that  $\phi$  gives an isomorphism of the underlying  $\mathbb{Z}$ -modules.

Let  $U \subset X$  be the open subset  $U := X \setminus Z$ . Then by assumption,  $Y_U := \pi^{-1}U$  is a  $\mathbb{P}^n$ -bundle over  $U$ . Hence, the integral cohomology  $H^*(Y_U, \mathbb{Z})$  is a free module over the ring  $H^*(U, \mathbb{Z})$  with basis  $1, \dots, h^n$ . On the other hand,  $F \rightarrow Z$  is a  $\mathbb{P}^m$ -bundle, so that the integral cohomology  $H^*(F, \mathbb{Z})$  is a free module over the ring  $H^*(Z, \mathbb{Z})$  with basis  $1, h_F, \dots, h_F^m$ .

Note that, by excision and the Thom isomorphism, we can identify the integral cohomologies of the pairs  $(X, U)$  and  $(Y, Y_U)$  as follows:

$$H^{j-1}(X, U) \simeq H^{j-2c}(Z), \quad H^{j-1}(Y, Y_U) \simeq H^{j-2d}(F).$$



Given an integer  $j$ , we draw the following diagram obtained from the long exact sequences for the relative cohomology of the pairs  $(X, U)$  and  $(Y, Y_U)$ :

$$\begin{array}{ccccccc}
 & & \bigoplus_{i=0}^n H^{j-2c-2i}(Z) & & & & \\
 & & \downarrow \cong & & & & \\
 \bigoplus_{i=0}^n H^{j-1-2i}(U) & \xrightarrow{\quad} & \bigoplus_{i=0}^n H^{j-1-2i}(X, U) & \xrightarrow{\quad} & \bigoplus_{i=0}^n H^{j-2i}(X) & \xrightarrow{\quad} & \bigoplus_{i=0}^n H^{j-2i}(U) \\
 \downarrow \sum h^i \circ \pi_U^* & & \downarrow \sum h^i \circ \pi_{(X,U)}^* & & \downarrow \sum h^i \circ \pi^* & & \downarrow \sum h^i \circ \pi_U^* \\
 H^{j-1}(Y_U) & \xrightarrow{\quad} & H^{j-1}(Y, Y_U) & \xrightarrow{\quad} & H^j(Y) & \xrightarrow{\quad} & H^j(U) \\
 & & \downarrow \cong & & & & \\
 & & H^{j-2d}(F) & & & & 
 \end{array}$$

$\bar{\alpha}$  (curved arrow from  $\bigoplus_{i=0}^n H^{j-2c-2i}(Z)$  to  $H^{j-2d}(F)$ )

In particular, there is a surjective map:

$$\bar{\beta} : \left( \sum h^i \circ \pi^*, j_* \right) : \bigoplus_{i=0}^n H^{j-2i}(X) \oplus H^{j-2d}(F) \longrightarrow H^j(Y).$$

In order to understand the kernel of  $\bar{\beta}$ , we consider the composed map  $\bar{\alpha}$ . As in [33, 7.3.3], we can see first that  $\bar{\alpha}$  is given by  $h_F^{i+m-n} \circ \pi^*$  on each component  $H^{j-2c-2i}(Z)$ , which is then mapped to  $H^{j-2d}(F)$  since  $d = c + n - m$ . We end up with the map:

$$(h_F^{m-n} \circ p^*, \dots, h^m \circ p^*) : \bigoplus_{i=0}^n H^{j-2c-2i}(Z) \longrightarrow H^{j-2d}(F),$$

which is injective since  $F \rightarrow Z$  is a projective bundle and  $m > n$ . On the other hand the left most term is equal to  $\bigoplus_{i=m-n}^m H^{j-2d-2i}(Z)$ , since  $d = c + n - m$ .

Then we conclude as in [33, 7.3.3].

### APPENDIX B. A SEMIORTHOGONAL DECOMPOSITION

We keep the notations of the previous section, in particular from diagram (17). Let us assume moreover that  $d > 1$ , that is, that  $F$  is not a divisor in  $Y$ , and that the relative Picard group  $\text{Pic}(Y/X)$  is free of rank 1 and generated by  $\mathcal{O}_Y(H)$ . In particular, since  $Y \rightarrow X$  is a  $\mathbb{P}^n$ -bundle in codimension 1 (on  $Y$ ), we have the relative anticanonical bundle  $\omega_{Y/X}^* \simeq \mathcal{O}_Y((n+1)H)$ , and there is then a line bundle  $L$  on  $X$  such that  $\omega_Y^* \simeq \pi^* L \otimes \mathcal{O}_Y((n+1)H)$ . On the other hand,  $p : F \rightarrow Z$  is a  $\mathbb{P}^m$ -bundle, so that there exists a line bundle  $M$  on  $Z$  such that  $\omega_F^* \simeq p^* M \otimes \mathcal{O}_F((m+1)H)$ . We finally note that, letting  $M' := M^* \otimes \iota^* L$  in  $\text{Pic}(Z)$ , the relative canonical bundle of the embedding  $j$  is given by:

$$\omega_j = \omega_F \otimes j^* \omega_Y^* = p^* M' \otimes \mathcal{O}_F((n-m)H).$$

We need the following additional conditions:

- (C1) If  $F_z \simeq \mathbb{P}^m$  is a fiber over a point  $z$  of  $Z$ , the bundle  $\Lambda^s \mathcal{N}_{F_z/Y}$  is acyclic for  $s = 0, \dots, \dim Z$

(C2) If  $m > n + 1$ , the bundle  $\Lambda^s \mathcal{N}_{F/Y}^*$  is left orthogonal to the categories  $p^* \mathcal{D}^b(Z) \otimes \mathcal{O}(-kH)$  for  $k = 1, \dots, m - n - 1$  and all  $s$ .

We define the functors  $\Phi_\ell : \mathcal{D}^b(Z) \rightarrow \mathcal{D}^b(F)$  by the formula

$$\Phi_\ell(A) = j_*(p^* A \otimes \mathcal{O}(\ell H)).$$

The next Proposition is probably well-known to the experts, and holds probably with less restrictive assumptions. The assumption (C1) and (C2) are indeed of rather technical nature: we need (C1) to show that  $\Phi_\ell$  is fully faithful using the Bondal-Orlov criterion (step 2 of the proof), and we need (C2) to show that the collection of subcategories  $\Phi_\ell \mathcal{D}^b(Z), \dots, \Phi_{\ell+m-n} \mathcal{D}^b(Z)$  is semiorthogonal.

**PROPOSITION 49.** — *In the configuration above, if (C1) holds,  $\Phi_\ell$  is fully faithful for any integer  $\ell$ . If moreover (C2) also holds, there is a semiorthogonal decomposition:*

$$\mathcal{D}^b(Y) = \langle \Phi_{n-m} \mathcal{D}^b(Z), \dots, \Phi_{-1} \mathcal{D}^b(Z), \pi^* \mathcal{D}^b(X), \dots, \pi^* \mathcal{D}^b(X) \otimes \mathcal{O}_Y(nH) \rangle.$$

Before proceeding with the proof, we remark that a generalization of Orlov’s blow-up formula already appeared in [20], in a slightly different context. There, the case of the cokernel  $G$  of a map  $E \rightarrow F$  between two vector bundles on a variety  $X$  with degeneracy locus  $Z$  is considered. In such a case, setting  $Y = \mathbb{P}(G)$  we would have, in our notations,  $m = n + 1$ , but only generically along  $Z$ : the case  $m = n + 1$  of the above result coincide with the one from [20] only if  $Z$  is smooth. We finally would like to mention that the proof in [20] is based on Homological Projective Duality and hence is very different from the proof we are giving here.

*Proof*

*Step 1.* — First of all, for any integer  $k$ , the functor  $\pi^* \otimes \mathcal{O}_Y(kH)$  is fully faithful since it is the composition of the fully faithful functor  $\pi^*$  with the autoequivalence given by the tensor product with the line bundle  $\mathcal{O}_Y(kH)$ . Secondly, the semiorthogonality of the sequence

$$\{\pi^* \mathcal{D}^b(X), \dots, \pi^* \mathcal{D}^b(X) \otimes \mathcal{O}_Y(nH)\}$$

follows by relative Kodaira vanishing and the fact that the relative anticanonical bundle is  $\mathcal{O}_Y((n + 1)H)$ .

*Step 2.* — Now we check that the functor  $\Phi_\ell : \mathcal{D}^b(Z) \rightarrow \mathcal{D}^b(Y)$  is fully faithful for any integer  $\ell$ . In order to do that, we can proceed as in the proof of [17, Prop. 11.16]. First of all (see [17, Prop. 11.8]), we have the following isomorphism

$$Ext_Y^k(j_* \mathcal{O}_F, j_* \mathcal{O}_F) \simeq \Lambda^k \mathcal{N}_{F/Y}.$$

The functor  $\Phi_\ell$  is a Fourier–Mukai functor with kernel  $\mathcal{O}_F(\ell H)$ , seen as an object of  $\mathcal{D}^b(Z \times Y)$ . Then it is enough to check the Bondal-Orlov equivalence criterion for Fourier–Mukai functors [7]. First of all, if  $z_1$  and  $z_2$  are different points of  $Z$ , their images via  $\Phi_\ell$  have disjoint supports and hence there is no nontrivial ext between them. There remains to show that for any point  $z$  of  $Z$

$$Ext_Y^i(\mathcal{O}_{F_{z_1}}(\ell H), \mathcal{O}_{F_{z_2}}(\ell H)) = Ext_Y^i(\mathcal{O}_{F_z}, \mathcal{O}_{F_z})$$

vanishes for  $i < 0$  and  $i > \dim Z$  and is one-dimensional for  $i = 0$ , where  $F_z \simeq \mathbb{P}^m$  is the fiber of  $p$  over the point  $z$ . We follow [17, Prop. 11.16], and use the local-to-global spectral sequence for the Ext groups, which, using  $\text{Ext}_Y^k(j_* \mathcal{O}_{F_z}, j_* \mathcal{O}_{F_z}) \simeq \Lambda^k N_{F_z/Y}$  reads:

$$E_2^{r,s} = H^r(F_z, \Lambda^s N_{F_z/Y}) \implies \text{Ext}_Y^{r+s}(\mathcal{O}_{F_z}, \mathcal{O}_{F_z}).$$

The bundle  $N_{F_z/Y}$  can be calculated via the nested sequence:

$$0 \longrightarrow N_{F_z/F} \longrightarrow N_{F_z/Y} \longrightarrow N_{F/Y|F_z} \longrightarrow 0.$$

The required vanishings follow then from assumption (C1).

*Step 3.* — Now we show that  $\{\Phi_\ell \mathbb{D}^b(Z), \dots, \Phi_{\ell+m-n} \mathbb{D}^b(Z)\}$  is a semiorthogonal collection in  $\mathbb{D}^b(Y)$  for any integer  $\ell$ . This step is needed only if  $m > n + 1$ .

For  $A$  and  $B$  objects of  $\mathbb{D}^b(Z)$ , we need to calculate:

$$\begin{aligned} \text{Hom}_Y(j_*(p^*A \otimes_{\mathcal{O}_F}((\ell + k)H)), j_*(p^*B \otimes_{\mathcal{O}_F}(\ell H))) \\ = \text{Hom}_F(j^*j_*p^*A, p^*B \otimes_{\mathcal{O}_F}((-k)H)), \end{aligned}$$

where the equality follows by adjunction. We want to show that the latter vanishes for  $k = 1, \dots, m - n - 1$ . In order to perform this calculation, we use the following exact sequence (see [17, Rem. 3.7]):

$$E_2^{r,s} = \text{Ext}^r(H^{-s}(C), D) \implies \text{Ext}^{r+s}(C, D),$$

for  $C, D$  objects of  $\mathbb{D}^b(F)$ . Moreover, if  $C$  is an object of  $\mathbb{D}^b(F)$ , we have (see [17, Cor. 11.2])

$$H^{-s}(j_*j^*C) = \bigoplus_{u-t=s} \Lambda^t N_{F/Y}^* \otimes H^u(C).$$

Hence the claim will follow if we can show that for  $\ell'$  in the above range, we have

$$(18) \quad \text{Ext}^r(\Lambda^t N_{F/Y}^* \otimes p^*H^u(A), p^*B \otimes_{\mathcal{O}_F}(-kH)) = 0$$

for any  $r, t, u$  and  $k = 1, \dots, n - m - 1$ . Indeed, plugging these trivial values into the above exact sequence will give the required vanishings. But, the vanishings (18) are a direct consequence of assumption (C2).

*Step 4.* — Now we check that  $\Phi_\ell \mathbb{D}^b(Z)$  is left orthogonal to  $\pi^* \mathbb{D}^b(X) \otimes_{\mathcal{O}_Y}(rH)$  for all  $\ell, r$  such that  $0 < r - \ell < m + 1$ , and therefore construct a semiorthogonal set of subcategories.

Let  $A$  be in  $\mathbb{D}^b(X)$ , and for any  $B$  in  $\mathbb{D}^b(Z)$ . We have:

$$\text{Hom}_Y(\pi^*A \otimes_{\mathcal{O}_Y}(rH), j_*(p^*B \otimes_{\mathcal{O}_F}(\ell H))) = \text{Hom}_F(p^*\iota^*A, p^*B \otimes_{\mathcal{O}_F}((\ell - r)H)) = 0,$$

where we first use adjunction and the fact that  $p \circ \iota = j \circ \pi$ . The claim follows again by the relative Kodaira vanishing for the projective bundle  $p : F \rightarrow Z$ .

So, consider the subcategories  $\{\pi^* \mathbb{D}^b(X), \dots, \pi^* \mathbb{D}^b(X) \otimes_{\mathcal{O}_Y}(nH)\}$ . Then  $\Phi_\ell \mathbb{D}^b(Z)$  is left orthogonal to all these categories if  $n - m \leq \ell \leq -1$ .

Using the hypothesis  $d > n$  and combining Step 3 and 4, we end up with the following subcategory of  $\mathbb{D}^b(Y)$ :

$$\mathbb{T} = \langle \Phi_{n-m} \mathbb{D}^b(Z), \dots, \Phi_{-1} \mathbb{D}^b(Z), \pi^* \mathbb{D}^b(X), \dots, \pi^* \mathbb{D}^b(X) \otimes_{\mathcal{O}_Y}(nH) \rangle.$$

*Step 5.* — We want to show that  $T = D^b(Y)$ . We will prove that  $T^\perp = 0$ . So let  $A$  be a non zero object of  $D^b(Y)$  such that:

$$\mathrm{Hom}_Y(j_*(p^*B \otimes \mathcal{O}(\ell H)), A) = 0$$

for all  $B$  in  $D^b(Z)$  and for  $\ell = n - m, \dots, -1$ . That is,  $A$  is right orthogonal to

$$\langle \Phi_{n-m} D^b(Z), \dots, \Phi_{-1} D^b(Z) \rangle.$$

Recall that by Grothendieck-Verdier duality  $j^!A = j^*A \otimes \omega_j[d]$  (see, e.g., [17, Cor. 3.38]) and that  $\omega_j = p^*M' \otimes \mathcal{O}_F((n - m)H)$ , for some line bundle  $M$  in  $D^b(Z)$ . We deduce:

$$\mathrm{Hom}_F(p^*B \otimes \mathcal{O}((\ell + m - n)H), j^*A) = 0$$

for all  $B$  in  $D^b(Z)$  and  $0 \leq \ell + m - n \leq m - n - 1$ . Considering the semiorthogonal decomposition:

$$D^b(F) = \langle p^* D^b(Z) \otimes \mathcal{O}(-n - 1), \dots, p^* D^b(Z) \otimes \mathcal{O}(m - n - 1) \rangle,$$

we deduce that  $j^*A$  belongs to the category

$$\langle p^* D^b(Z) \otimes \mathcal{O}(-n - 1), \dots, p^* D^b(Z) \otimes \mathcal{O}(-1) \rangle$$

and is in particular canonically filtered by objects  $p^*C_{-s} \otimes \mathcal{O}(-sH)$  for  $C_{-s}$  in  $D^b(Z)$  and  $1 \leq s \leq n + 1$ .

Now let us assume that  $A$  is orthogonal to  $\{\pi^* D^b(X), \dots, \pi^* D^b(X) \otimes \mathcal{O}_Y(nH)\}$ . First of all, this implies that  $j^*A$  is nontrivial. Indeed, if  $j^*A = 0$ , then the support of  $A$  is concentrated outside  $F$ , and then  $A$  belongs to the category

$$\langle \pi^* D^b(X), \dots, \pi^* D^b(X) \otimes \mathcal{O}_Y(nH) \rangle$$

since  $Y \cap F$  is a  $\mathbb{P}^n$ -bundle over  $X \cap Z$ .

Secondly, for any  $B$  in  $D^b(X)$  and any  $t$  such that  $0 \leq t \leq n$ , we have:

$$0 = \mathrm{Hom}_Y(\pi^*B \otimes \mathcal{O}(tH), A) = \mathrm{Hom}_Y(\pi^*B \otimes \mathcal{O}(tH), A \otimes \omega_Y \otimes \omega_Y^*).$$

Now apply Serre duality and recall that  $\omega_Y^* = \mathcal{O}_Y((n + 1)H) \otimes \pi^*L$  for some  $L$  in  $\mathrm{Pic}(X)$  to obtain that

$$\mathrm{Hom}_Y(A \otimes \mathcal{O}_Y(n + 1 - t), \pi^*B) = 0$$

for any  $B$  in  $D^b(X)$  and any  $t$  in  $\{0, \dots, n\}$ , that is,  $r := n + 1 - t$  ranges from 1 to  $n + 1$ .

Now let  $A$  in  $T^\perp$ . By the above considerations, for any  $1 \leq r \leq n + 1$  and for any  $B$  in  $D^b(X)$ , we have

$$\mathrm{Hom}_Y(A \otimes \mathcal{O}_Y(r), \pi^*B) = 0$$

and  $j^*A$  is nontrivial and canonically filtered by objects  $D_{-s} := p^*C_{-s} \otimes \mathcal{O}(-sH)$  for  $C_{-s}$  in  $D^b(Z)$  and  $1 \leq s \leq n + 1$ , as follows:

$$0 = T_{-1} \xrightarrow{\phi_{-1}} T_{-2} \xrightarrow{\phi_{-2}} \dots \xrightarrow{\phi_{-n}} T_{-n-1} \xrightarrow{\phi_{-n-1}} j^*A$$

with  $\mathrm{cone}(\phi_{-s}) = D_{-s}$ . In particular, there must exist an  $s$  such that  $D_{-s}$ , and therefore also  $C_{-s}$ , are nontrivial. The following Lemma will give a contradiction to  $A \neq 0$ .

LEMMA 50. — *Let  $s$  be such that  $C_{-t} = 0$  for any  $t < s$ , and  $C_{-s} \neq 0$ . Then there exists a point  $z$  of  $Z$  such that  $\text{Hom}_Y(A \otimes \mathcal{O}(sH), \pi^*k(z)) \neq 0$ .*

*Proof.* — First notice that by our assumption, the above filtration (B) can be simplified to

$$0 = T_{-s} \xrightarrow{\phi_{-s}} T_{-s-1} \xrightarrow{\phi_{-s-1}} \dots \xrightarrow{\phi_{-n}} T_{-n-1} \xrightarrow{\phi_{-n-1}} j^*A.$$

Indeed, our assumption can be rephrased by asking that  $j^*A$  belongs to the subcategory

$$\langle p^*D^b(Z) \otimes \mathcal{O}_F((-n-1)H), \dots, p^*D^b(Z) \otimes \mathcal{O}_F(-sH) \rangle.$$

Now we proceed as in the proof of [17, Prop. 11.18], part iii). We will use the following spectral sequence:

$$E_2^{u,-v} = \text{Hom}_Y(A \otimes \mathcal{O}_Y(sH), H^{-v}(\pi^*k(z))[u]) \implies \text{Hom}_Y(A \otimes \mathcal{O}_Y(sH), \pi^*k(z)[u-v]).$$

Notice that (see e.g. [17, Prop. 11.12])  $H^{-v}(\pi^*k(z)) \simeq j_*\Omega_{F_z}^v(v)$  and recall that the fiber  $F_z \simeq \mathbb{P}^m$  is a projective space of dimension  $m$ . Now:

$$\begin{aligned} \text{Hom}_Y(A \otimes \mathcal{O}_Y(sH), H^{-v}(\pi^*k(z))[u]) &= \text{Hom}_Y(A \otimes \mathcal{O}_Y(sH), j_*\Omega_{F_z}^v(v)[u]) \\ &= \text{Hom}_F(j^*A, \Omega^v(v-s)[u]), \end{aligned}$$

by adjunction. So we need to calculate the last morphism space. We appeal to the filtration (B): remark that, for  $1 \leq t < s$ , we have:

$$\begin{aligned} \text{Hom}_F(D_{-t}, \Omega^v(v-s)[u]) &= \text{Hom}_F(p^*C_{-t}, \Omega^v(v-s+t)[u]) \\ &= \text{Hom}_Z(C_{-t}, p_*\Omega^v(v-s+t)[u]) = 0 \end{aligned}$$

for all  $u$  and  $v$ , since  $-m < t-s < 0$  for  $t$  in  $\{1, \dots, s-1\}$ .

Plugging this into the exact triangles for the filtration (B), we obtain:

$$\text{Hom}_F(j^*A, \Omega^v(v-s)[u]) = \text{Hom}_Z(C_{-s}, p_*\Omega^v(v)[u])$$

and we conclude as in [17, Prop. 11.18].

The proof is concluded since we have shown that an object  $A$  which is orthogonal to

$$\langle \Phi_{n-m}D^b(Z), \dots, \Phi_{-1}D^b(Z), \pi^*D^b(X), \dots, \pi^*D^b(X) \otimes \mathcal{O}_Y(nH) \rangle$$

in  $D^b(Y)$  is trivial.

SPECIAL CASES. — We detail here two special cases where Proposition 49 applies, that is, where conditions (C1) and (C2) are satisfied. We denote by  $R$  the tautological (relative) quotient of the  $\mathbb{P}^m$ -bundle  $F \rightarrow Z$ .

COROLLARY 51. — *Let  $m = n + 1$  and  $N_{F/Y} = R^* \otimes p^*L$  for some line bundle  $L$  on  $Z$ . Then there is a semiorthogonal decomposition:*

$$D^b(Y) = \langle \Phi_{-1}D^b(Z), \pi^*D^b(X), \dots, \pi^*D^b(X) \otimes \mathcal{O}_Y(nH) \rangle.$$

*Proof.* — Since  $m = n + 1$ , we only need to check condition (C1). But notice that under the assumptions, using the nested sequence:

$$(19) \quad 0 \longrightarrow N_{F_z/F} \longrightarrow N_{F_z/Y} \longrightarrow N_{F/Y} \longrightarrow 0,$$

we deduce that  $N_{F_z/F} \simeq R_{\mathbb{P}^m}^* \oplus O_{\mathbb{P}^m}^{\oplus \dim Z}$ , and condition (C1) follows.

**COROLLARY 52.** — *Assume  $N_{F/Y} = O(-H) \otimes p^*E$ , for some vector bundle  $E$  on  $Z$ . This holds in particular if  $E$  is the restriction of a vector bundle on  $X$  and  $F$  is the zero locus of a section of the above bundle. If  $d > n$ , there is a semiorthogonal decomposition*

$$D^b(Y) = \langle \Phi_{n-m} D^b(Z), \dots, \Phi_{-1} D^b(Z), \pi^* D^b(X), \dots, \pi^* D^b(X) \otimes O_Y(nH) \rangle.$$

*Proof.* — We need to check conditions (C1) and (C2). Using the nested sequence (19), we obtain that  $N_{F_z/Y} \simeq O_{\mathbb{P}^m}^{\oplus \dim Z} \oplus O_{\mathbb{P}^m}(-1)^{\oplus d}$ , and (C1) follows.

To check (C2), note that  $\Lambda^s N_{F/Y}^*$  is trivial for  $t < 0$  and for  $t > d$ , and otherwise  $\Lambda^s N_{F/Y}^* = p^*M_s \otimes O_F(sH)$  for some  $M$  in  $D^b(Z)$ . Moving  $s$  from 0 to  $d - 1$ , the latter are all left orthogonal to  $p^* D^b(Z) \otimes O(-kH)$  for  $k = 1, \dots, m - d - 1$ . Condition (C2) follows then from our assumption  $d > n$ .

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MARCELLO BERNARDARA, Institut de Mathématiques de Toulouse; UMR 5219, UPS  
F-31062 Toulouse Cedex 9, France  
E-mail : marcello.bernardara@math.untoulouse.fr

ENRICO FATIGHENTI, Institut de Mathématiques de Toulouse; UMR 5219, UPS  
F-31062 Toulouse Cedex 9, France  
E-mail : enricofatighenti@gmail.com

LAURENT MANIVEL, Institut de Mathématiques de Toulouse; UMR 5219, UPS  
F-31062 Toulouse Cedex 9, France  
E-mail : manivel@math.cnrs.fr