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**Hilbert squares of K3 surfaces and Debarre-Voisin varieties**

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## HILBERT SQUARES OF K3 SURFACES AND DEBARRE-VOISIN VARIETIES

BY OLIVIER DEBARRE, FRÉDÉRIC HAN, KIERAN O'GRADY  
& CLAIRE VOISIN

**ABSTRACT.** — Debarre-Voisin hyperkähler fourfolds are built from alternating 3-forms on a 10-dimensional complex vector space, which we call trivectors. They are analogous to the Beauville-Donagi fourfolds associated with cubic fourfolds. In this article, we study several trivectors whose associated Debarre-Voisin variety is degenerate in the sense that it is either reducible or has excessive dimension. We show that the Debarre-Voisin varieties specialize, along general 1-parameter degenerations to these trivectors, to varieties isomorphic or birationally isomorphic to the Hilbert square of a K3 surface.

**RÉSUMÉ** (Schémas de Hilbert ponctuels de surfaces K3 et variétés de Debarre-Voisin)

Les variétés hyper-kählériennes de Debarre-Voisin sont construites à l'aide de 3-formes alternées sur un espace vectoriel complexe de dimension 10, que nous appelons des trivateurs. Elles présentent de nombreuses analogies avec les variétés de Beauville-Donagi qui sont construites en partant d'une cubique de dimension 4. Nous étudions dans cet article différents trivateurs dont la variété de Debarre-Voisin associée est dégénérée au sens où elle est soit réductible, soit de dimension excessive. Nous montrons que, sous une spécialisation d'un trivecteur général en de tels trivateurs, les variétés de Debarre-Voisin correspondantes se spécialisent en des variétés hyper-kählériennes lisses, birationnellement isomorphes au schéma de Hilbert des paires de points sur une surface K3.

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1. INTRODUCTION

Throughout this article, the notation  $U_m, V_m,$  or  $W_m$  means an  $m$ -dimensional complex vector space. Let  $\sigma \in {}^3V_{10}$  be a nonzero alternating 3-form (which we call a *trivector*). The Debarre-Voisin variety associated with  $\sigma$  is the scheme

$$(1) \quad K_\sigma := \{ [W_6] \in \text{Gr}(6, V_{10}) \mid \sigma|_{W_6} = 0 \}$$

whose points are the 6-dimensional vector subspaces of  $V_{10}$  on which  $\sigma$  vanishes identically.

It was proved in [DV10] that for  $\sigma$  general, the schemes  $K_\sigma$ , equipped with the polarization  $\mathcal{O}_{K_\sigma}(1)$  (of square 22 and divisibility 2; see Section 2.1) induced by the Plücker polarization on  $\text{Gr}(6, V_{10})$ , form a locally complete family of smooth polarized hyperkähler fourfolds which are deformation equivalent to Hilbert squares of K3 surfaces (one says that  $K_\sigma$  is of K3<sup>[2]</sup>-type). This was done by proving that when  $\sigma$  specializes to a general element of the discriminant hypersurface in  ${}^3V_{10}$  where the Plücker hyperplane section

$$(2) \quad X_\sigma := \{ [U_3] \in \text{Gr}(3, V_{10}) \mid \sigma|_{U_3} = 0 \}$$

becomes singular (we call that a *singular degeneration* of  $\sigma$ ), the scheme  $K_\sigma$  becomes singular along a surface but birationally isomorphic to the Hilbert square of a K3 surface (the fact that  $K_\sigma$  is of K3<sup>[2]</sup>-type was reproved in [KLSV18] by a different argument still based on the same specialization of  $\sigma$ ).

The projective 20-dimensional irreducible GIT quotient

$$M_{DV} = \mathbf{P}({}^3V_{10}) // \text{SL}(V_{10})$$

is a coarse moduli space for trivectors  $\sigma$ . Let  $F$  be the quasi-projective 20-dimensional irreducible period domain for smooth polarized hyperkähler varieties that are deformations of  $(K_\sigma, \mathcal{O}_{K_\sigma}(1))$ . The corresponding period map

$$q: M_{DV} \dashrightarrow F$$

is regular on the open subset of  $M_{DV}$  corresponding to points  $[\sigma]$  such that  $K_\sigma$  is a smooth fourfold. It is known to be dominant (hence generically finite) and was recently shown to be birational ([O'G19]). Consider the Baily-Borel projective compactification  $F \hookrightarrow \overline{F}$  (whose boundary has dimension 1) and a resolution

$$(3) \quad \begin{array}{ccc} \widetilde{M}_{DV} & \xrightarrow{\overline{q}} & \overline{F}_0 \\ \varepsilon \downarrow & & \downarrow \\ M_{DV} & \xrightarrow{q} & F \end{array}$$

of the indeterminacies of  $q$ , where  $\varepsilon$  is birational. We define an *HLS divisor* (for Hassett-Looijenga-Shah) to be an irreducible hypersurface in  $\overline{F}$  which is the image by  $\overline{q}$  of an exceptional divisor of  $\varepsilon$  (that is, whose image in  $M_{DV}$  has codimension  $> 1$ ). These divisors reflect some difference between the GIT and the Baily-Borel compactifications and there are obviously only finitely many of them.

The main result of this article is the following (for the definition of the Heegner divisors  $D_{2e} \in \overline{F}$ , see Section 2.1).

**THEOREM 1.1.** — *The Heegner divisors  $D_2, D_6, D_{10},$  and  $D_{18}$  in  $\overline{F}$  are HLS divisors.*

This statement puts together the more detailed conclusions of Theorems 1.2, 1.3, 1.4, and 1.5. These results are in fact more precise: we identify these divisors  $D_2, D_6, D_{10},$  and  $D_{18}$  with the periods of Hilbert squares of K3 surfaces with a suitable polarization (see Section 1.1 for more details). The *singular degenerations* of  $\sigma$  discussed above correspond to a hypersurface in  $\widetilde{M}_{DV}$  mapped by  $\bar{q}$  onto the Heegner divisor  $D_{22},$  which is therefore *not* an HLS divisor.

The study of this kind of problems has a long history that started with the work of Horikawa and Shah on polarized K3 surfaces of degree 2 ([Hor77, Sha80]) and continued with the work of Hassett, Looijenga, and Laza on cubic fourfolds ([Has00, Loo03, Loo09, Laz10, Laz09]) and O’Grady on double EPW sextics ([O’G15, O’G16]), which are hyperkähler fourfolds of K3<sup>[2]</sup>-type with a polarization of square 2 and divisibility 1, associated with Lagrangian subspaces in  ${}^3V_6.$

Let us describe briefly the situation in the cubic fourfold case, which inspired the present study. One considers hypersurfaces  $X_f \subset \mathbf{P}(V_6)$  defined by nonzero cubic polynomials  $f \in \text{Sym}^3 V_6.$  When  $f$  is general, the variety

$$F_f = \{ [W_2] \in \text{Gr}(2, V_6) \mid f|_{W_2} = 0 \}$$

of lines contained in  $X_f$  was shown by Beauville-Donagi in [BD85] to be a hyperkähler fourfold of K3<sup>[2]</sup>-type with a (Plücker) polarization of square 6 and divisibility 2. There is again a birational surjective period map  $\widetilde{M}_{\text{Cub}} \rightarrow \overline{G}$  which was completely described by Laza. The divisor in  $\widetilde{M}_{\text{Cub}}$  that corresponds to singular cubics  $X_f$  maps onto the Heegner divisor  $D_6.$  The only HLS divisor is  $D_2$  ([Has00, Loo09, Laz10]): it is obtained by blowing up, in the GIT moduli space  $M_{\text{Cub}},$  the semistable point corresponding to chordal cubics  $X_{f_0}$  ([Laz10, §4.1.1]).

O’Grady also proved that  $D_2^0, D_2^{\theta},$  and  $D_4$  (in the notation of [DIM15, Cor. 6.3];  $S_2^0, S_2^{\theta},$  and  $S_4$  in the notation of [O’G15]) are HLS divisors in the period domain of double EPW sextics and conjectures that there are no others (see Section 3.5). They are also obtained by blowing up points in the GIT moduli space (corresponding to the semistable Lagrangians denoted by  $A_k, A_h,$  and  $A_+$  in [O’G16]).

The HLS divisors in Theorem 1.1 are obtained as follows: while general trivectors in  $\mathbf{P}({}^3V_{10})$  have finite stabilizers in  $\text{SL}(V_{10}),$  we consider instead some special trivectors  $\sigma_0$  with positive-dimensional stabilizers and we blow up their  $\text{SL}(V_{10})$ -orbits in  $\mathbf{P}({}^3V_{10}).$  The stabilizers along the exceptional divisors of the resulting blown up space for the induced  $\text{SL}(V_{10})$ -action are generically finite, thus producing divisors in the quotient (this is a Kirwan blow up).

We describe the corresponding Debarre-Voisin varieties  $K_{\sigma_0}.$  In the simplest cases (divisors  $D_6$  and  $D_{18}),$  they are still smooth but of dimension greater than 4. There is an excess vector bundle  $F$  of rank  $\dim(K_{\sigma_0}) - 4$  on  $K_{\sigma_0}$  and the limit of the

varieties  $K_{\sigma_t}$  under a general 1-parameter degeneration  $(\sigma_t)_{t \in \Delta}$  to  $\sigma_0$  is the zero-locus of a general section of  $F$ . In one other case (divisor  $D_2$ ), the variety  $K_{\sigma_0}$  is reducible of dimension 4 and the limit of the varieties  $K_{\sigma_t}$  is birationally isomorphic to the Hilbert square of a degree-2 K3 surface; it is also a degree-4 cover of a nonreduced component of  $K_{\sigma_0}$  (very much like what happens for chordal cubics  $X_{f_0}$ ).

As mentioned above, there is a relationship between these constructions and K3 surfaces; we actually discovered some of these special trivectors and their stabilizers starting from K3 surfaces. As explained in Theorem 3.1, Hilbert squares of general polarized K3 surfaces of fixed degree  $2e$  appear as limits of Debarre-Voisin varieties for infinitely many values of  $e$ , and they form a hypersurface in  $\widetilde{\mathcal{M}}_{DV}$  that maps onto the Heegner divisor  $D_{2e}$ . Among these values, the only ones for which there exist explicit geometric descriptions (Mukai models for polarized K3 surfaces) are 1, 3, 5, 9, 11, and 15 ([Muk88, Muk16, Muk06]). This is how we obtain the divisors in Theorem 1.1 (the case  $e = 11$  corresponds to the singular degenerations of the trivector  $\sigma$  mentioned above and does not produce an HLS divisor; our analysis of the case  $e = 15$  is still incomplete (see Section 1.1.5) and we do not know whether  $D_{30}$  is an HLS divisor).

At this point, one may make a couple of general remarks:

- all known HLS divisors are obtained from blowing up single points in the moduli space;
- all known HLS divisors are Heegner divisors.

We have no general explanation for these remarkable facts.

Additionally, note that HLS divisors are by definition uniruled (since they are obtained as images of exceptional divisors of blow ups). They may correspond to periods of Hilbert squares of K3 surfaces of degree  $2e$  only if the corresponding moduli space of polarized K3 surfaces is uniruled, which, by [GHS07], may only happen for  $e \leq 61$  (many thanks to an anonymous referee for making this very interesting remark). Adding in the restrictions on  $e$  explained in Section 3, one finds that only 7 other Heegner divisors can be HLS divisors coming from K3 surfaces (Remark 3.5). Actually, we expect  $D_2, D_6, D_{10}, D_{18},$  and  $D_{30}$  to be the only HLS divisors (see Section 3.5).

We now describe the geometric situations encountered for  $e \in \{1, 3, 5, 9, 15\}$ .

**1.1. STABILIZERS AND K3 SURFACES.** — We list here the various special trivectors  $[\sigma_0] \in \mathbf{P}({}^3V_{10})$  that we consider, their (positive-dimensional) stabilizers for the  $SL(V_{10})$ -action, and the corresponding limits of Debarre-Voisin varieties (which are all birationally isomorphic to Hilbert squares of K3 surfaces with suitable polarizations) along general 1-parameter degenerations to  $\sigma_0$ . In most cases, the associated Plücker hypersurface  $X_{\sigma_0}$  is singular and the singular locus of  $X_{\sigma_0}$  gives rise to a component of  $K_{\sigma_0}$ , as explained in Proposition 4.4(b).

**1.1.1. The group  $SL(3)$  and K3 surfaces of degree 2 (Section 7).** — A general degree-2 K3 surface  $(S, L)$  is a double cover of  $\mathbf{P}^2$  branched along a smooth sextic curve.

The Hilbert square  $S^{[2]}$  is birationally isomorphic to the moduli space  $M_S(0, L, 1)$  of sheaves on  $S$  defined in Remark 3.6.

We take  $V_{10} := \text{Sym}^3 W_3$ , so that  ${}^3V_{10}$  is an  $\text{SL}(W_3)$ -representation, and we let  $\sigma_0 \in {}^3V_{10}$  be a generator of the 1-dimensional space of  $\text{SL}(W_3)$ -invariants.

The Debarre-Voisin variety  $K_{\sigma_0}$  is described in Proposition 7.8: it has two 4-dimensional irreducible components  $K_L$  and  $K_M$  and is nonreduced along  $K_L$ . The Plücker hypersurface  $X_{\sigma_0}$  is singular along a surface (Proposition 7.2) and the component  $K_L$  of  $K_{\sigma_0}$  is obtained from this surface by the procedure described in Proposition 4.4(b) (see Proposition 7.7(a)).

Our main result is the following (Theorem 7.20).

**THEOREM 1.2.** — *Under a general 1-parameter deformation  $(\sigma_t)_{t \in \Delta}$ , the Debarre-Voisin fourfolds  $K_{\sigma_t}$  specialize, after a finite base change, to a scheme which is isomorphic to  $M_S(0, L, 1)$ , where  $S$  is a general K3 surface of degree 2.*

This case is the most difficult: the limit fourfold  $M_S(0, L, 1)$  does not sit naturally in the Grassmannian  $\text{Gr}(6, V_{10})$  but maps 4-to-1 to it.

The limit on  $M_S(0, L, 1)$  of the Plücker line bundles on  $K_{\sigma_t}$  is the ample line bundle of square 22 and divisibility 2 described in Table 1. We show that it is globally generated for a general degree-2 K3 surface  $S$ , but not very ample (Remark 3.6).

1.1.2. *The group  $\text{Sp}(4)$  and K3 surfaces of degree 6 (Section 5.1).* — Let  $V_4$  be a 4-dimensional vector space equipped with a nondegenerate skew-symmetric form  $\omega$ . The hyperplane  $V_5 \subset {}^2V_4$  defined by  $\omega$  is endowed with the nondegenerate quadratic form  $q$  defined by wedge product, and  $\text{SO}(V_5, q) \subset \text{Sp}(V_4, \omega)$ . The form  $q$  defines a smooth quadric  $Q_3 \subset \mathbf{P}(V_5)$  and general degree-6 K3 surfaces are complete intersections of  $Q_3$  and a cubic in  $\mathbf{P}(V_5)$ .

There is a natural trivector  $\sigma_0$  on the vector space  $V_{10} := {}^2V_5$ : view elements of  $V_{10}$  as endomorphisms of  $V_5$  which are skew-symmetric with respect to  $q$  and define

$$(4) \quad \sigma_0(a, b, c) = \text{Tr}(a \circ b \circ c).$$

The associated Debarre-Voisin variety  $K_{\sigma_0} \subset \text{Gr}(6, V_{10})$  was described by Hivert in [Hiv11]: it is isomorphic to  $Q_3^{[2]}$ . In fact, the Plücker hypersurface  $X_{\sigma_0}$  is singular along a copy of  $Q_3$  (Lemma 5.1) and the whole of  $K_{\sigma_0}$  is obtained from  $Q_3$  by the procedure described in Proposition 4.4(b) (see Theorem 5.2).

The excess bundle analysis shows the following (Theorem 5.5).

**THEOREM 1.3.** — *Under a general 1-parameter deformation  $(\sigma_t)_{t \in \Delta}$ , the Debarre-Voisin fourfolds  $K_{\sigma_t}$  specialize to a smooth subscheme of  $K_{\sigma_0} \subset Q_3^{[2]}$  which is isomorphic to  $S^{[2]}$ , where  $S \subset Q_3$  is a general degree-6 K3 surface.*

The restriction of the Plücker line bundle to  $S^{[2]} \subset Q_3^{[2]} \subset K_{\sigma_0} \subset \text{Gr}(6, V_{10})$  is the ample line bundle of square 22 and divisibility 2 (see Section 2.1 for the definition of divisibility) described in Table 1. It is therefore very ample for a general degree-6 K3 surface  $S$ .

1.1.3. *The group  $\mathrm{SL}(2)$  and K3 surfaces of degree 10 (Section 6).* — The subvariety  $X \subset \mathrm{Gr}(2, V_5^-) \subset \mathbf{P}(\wedge^2 V_5^-)$  defined by a general 3-dimensional space  $W_3 \subset \wedge^2 V_5^-$  of linear Plücker equations is a degree-5 Fano threefold. General degree-10 K3 surfaces are quadratic sections of  $X$  ([Muk88]).

The spaces  $V_5^-$  and  $W_3$  and the variety  $X$  carry  $\mathrm{SL}(2)$ -actions and there is an  $\mathrm{SL}(2)$ -invariant decomposition  $V_{10} := \wedge^2 V_5^- = V_7^- \oplus W_3$ . Among the  $\mathrm{SL}(2)$ -invariant trivectors, there is a natural one,  $\sigma_0$ , defined in Proposition 6.3, and the neutral component of its stabilizer is  $\mathrm{SL}(2)$ .

The Debarre-Voisin  $K_{\sigma_0}$  has one component  $K_1$  which is generically smooth and birationally isomorphic to  $X^{[2]}$ . In fact, the Plücker hypersurface  $X_{\sigma_0}$  is singular along a copy of the threefold  $X$  and  $K_1$  is obtained from  $X$  by the procedure described in Proposition 4.4(b) (see Proposition 6.5).

We obtain the following (Proposition 6.8 and Theorem 6.14).

**THEOREM 1.4.** — *Under a general 1-parameter deformation  $(\sigma_t)_{t \in \Delta}$ , the Debarre-Voisin fourfolds  $K_{\sigma_t}$  specialize, after finite base change, to a smooth subscheme of  $K_{\sigma_0}$  which is isomorphic to  $S^{[2]}$ , where  $S \subset X$  is a general K3 surface of degree 10.*

The limit on  $S^{[2]}$  of the Plücker line bundles on  $K_{\sigma_t}$  is the ample line bundle of square 22 and divisibility 2 described in Table 1. We show that it is not globally generated.

1.1.4. *The group  $G_2 \subset \mathrm{SL}(3)$  and K3 surfaces of degree 18 (Section 5.2)*

The group  $G_2$  is the subgroup of  $\mathrm{GL}(V_7)$  leaving a general 3-form  $\alpha$  invariant. There is a  $G_2$ -invariant Fano 5-fold  $X \subset \mathrm{Gr}(2, V_7)$  which has index 3, and general K3 surfaces of degree 18 are obtained by intersecting  $X$  with a general 3-dimensional space  $W_3 \subset \wedge^2 V_7^-$  of linear Plücker equations ([Muk88]).

The vector space  $V_{10} := V_7^- \oplus W_3$  is acted on diagonally by the group  $G_2 \subset \mathrm{SL}(W_3)$  and we consider  $G_2 \subset \mathrm{SL}(W_3)$ -invariant trivectors  $\sigma_0 = \alpha + \beta$ , where  $\beta$  spans  $\wedge^3 W_3^-$ . The corresponding points  $[\sigma_0]$  of  $\mathbf{P}(\wedge^3 V_{10}^-)$  are all in the same  $\mathrm{SL}(V_{10}^-)$ -orbit and the corresponding Debarre-Voisin variety  $K_{\sigma_0}$  splits as a product of a smooth variety of dimension 8 and of  $\mathbf{P}(W_3^-)$  (Corollary 5.12).

The excess bundle analysis shows the following (Theorem 5.15).

**THEOREM 1.5.** — *Under a general 1-parameter deformation  $(\sigma_t)_{t \in \Delta}$ , the Debarre-Voisin fourfolds  $K_{\sigma_t}$  specialize to a smooth subscheme of  $K_{\sigma_0}$  isomorphic to  $S^{[2]}$ , where  $S \subset X$  is a general K3 surface of degree 18.*

The limit on  $S^{[2]}$  of the Plücker line bundles on  $K_{\sigma_t}$  is the ample line bundle of square 22 and divisibility 2 described in Table 1. It is therefore very ample for a general K3 surface  $S$  of degree 18 (Lemma 5.10).

1.1.5. *K3 surfaces of degree 30 (Section 8).* — This is the last case allowed by the numerical conditions of Section 3.3 where a projective model of a general K3 surface  $S$  is known. It corresponds to the last column of Table 1. However, the current geometric

knowledge for those K3 surfaces (see [Muk16]) is not as thorough as in the previous cases and we were not able to map (nontrivially)  $S^{[2]}$  to a Debarre-Voisin variety nor to decide whether  $D_{30}$  is an HLS divisor.

In some cases (divisors  $D_6$  and  $D_{18}$ ), we first constructed a rank-4 vector bundle on  $S^{[2]}$  that defined a rational map  $S^{[2]} \dashrightarrow \text{Gr}(6, 10)$  and then found a (nonzero) trivector vanishing on the image. In Section 8.1, we complete the first step by constructing, for a general K3 surface  $S$  of degree 30, a canonical rank-4 vector bundle on  $S^{[2]}$  with the same numerical invariants as the restriction of the tautological quotient bundle of  $\text{Gr}(6, 10)$  to a Debarre-Voisin variety. We also obtain a geometric interpretation of the image of the rational map  $S^{[2]} \dashrightarrow \text{Gr}(6, 10)$  that it defines. Such a vector bundle is expected to be unique; it is modular in the sense of [O’G19].

2. MODULI SPACES AND PERIOD MAP

2.1. POLARIZED HYPERKÄHLER FOURFOLDS OF DEGREE 22 AND DIVISIBILITY 2 AND THEIR PERIOD MAP. — Let  $X$  be a hyperkähler fourfold of K3<sup>[2]</sup>-type. The abelian group  $H^2(X, \mathbf{Z})$  is free abelian of rank 23 and it carries a nondegenerate integral-valued quadratic form  $q_X$  (the Beauville-Bogomolov-Fujiki form) that satisfies

$$8\alpha \in H^2(X, \mathbf{Z}) \implies \int_X \alpha^4 = 3q_X(\alpha)^2.$$

The lattice  $(H^2(X, \mathbf{Z}), q_X)$  is isomorphic to the lattice

$$(\Lambda, q_\Lambda) := U \oplus^3 E_8 \oplus (-1)^2 \oplus I_1(-2),$$

where  $U$  is the hyperbolic plane,  $E_8$  the unique positive definite even rank-8 unimodular lattice, and  $I_1(-2)$  the rank-1 lattice whose generators have square  $-2$ .

The divisibility  $\text{div}(\alpha)$  of a nonzero element  $\alpha$  of a lattice  $(L, q_L)$  is the positive generator of the subgroup  $q_L(\alpha, L)$  of  $\mathbf{Z}$ . There is a unique  $O(\Lambda)$ -orbit of primitive elements  $h \in \Lambda$  such that  $q_\Lambda(h) = 22$  and  $\text{div}(h) = 2$  ([GHS10, Cor. 3.7 & Ex. 3.10]) and we fix one of these elements  $h$ .

We consider pairs  $(X, H)$ , where  $X$  is a hyperkähler fourfold of K3<sup>[2]</sup>-type and  $H$  is an ample line bundle on  $X$  such that  $q_X(H) = 22$  and  $\text{div}(H) = 2$ . It follows from Viehweg’s work [Vie90] that there is a quasi-projective 20-dimensional coarse moduli space  $M$  for these pairs and Apostolov proved in [Apo14] that  $M$  is irreducible.

The domain

$$D(h^2) := \{[\alpha] \in \mathbf{P}(\Lambda \otimes \mathbf{C}) \mid q_\Lambda(\alpha, \alpha) = q_\Lambda(\alpha, h) = 0, q_\Lambda(\alpha, \bar{\alpha}) > 0\}$$

has two connected components, both isomorphic to the 20-dimensional bounded symmetric domain of type IV associated with the lattice  $h^2 \perp \Lambda$ . It is acted on properly and discontinuously by the isometry group  $O(h^2)$  and the quotient

$$F := O(h^2) \backslash D(h^2)$$

is, by Baily-Borel’s theory, an irreducible 20-dimensional quasi-projective variety.

The Torelli theorem takes the following form for our hyperkähler fourfolds ([Ver13], [GHS13, Th. 3.14], [Mar11, Th. 8.4], [Huy12, Th. 1.3]).



**THEOREM 2.1** (Verbitsky, Markman, Huybrechts). — *The period map*

$$p: M \dashrightarrow F$$

*is an (algebraic) open embedding.*

Let us describe its image. Given an element  $v \in h^2$  of negative square, we define the associated *Heegner divisor* as the image by the quotient map  $D(h^2) \dashrightarrow F$  of the hypersurface

$$f[\alpha] \in D(h^2) \text{ if } q_\Lambda(\alpha, v) = 0g.$$

It is an irreducible algebraic divisor in  $F$  that only depends on the even negative integer  $-2e := \text{disc}(v^2)$  ([DM19, Prop. 4.1(2)(c)]). We denote it by  $D_{2e}$ ; it is nonempty if and only if  $e$  is positive and a square modulo 11 (see the end of the proof of [DM19, Prop. 4.1]). The following result is [DM19, Th. 6.1].

**PROPOSITION 2.2** (Debarre-Macri). — *The image of the period map  $p: M \dashrightarrow F$  is the complement of the irreducible divisor  $D_{22}$ .*

**2.2. DEBARRE-VOISIN VARIETIES.** — We now relate this material with the constructions in [DV10]. Let  $V_{10}$  be a 10-dimensional vector space. As in (1), one can associate with a nonzero  $\sigma \in {}^3V_{10}$  a subscheme  $K_\sigma \subset \text{Gr}(6, V_{10})$  which, for  $\sigma$  general, is a hyperkähler fourfold of K3<sup>[2]</sup>-type; the polarization  $H$  induced by this embedding then satisfies  $q_{K_\sigma}(H) = 22$  and  $\text{div}(H) = 2$ .

We defined in the introduction the GIT coarse moduli space

$$M_{\text{DV}} = \mathbf{P}({}^3V_{10}) // \text{SL}(V_{10})$$

for Debarre-Voisin varieties.

**PROPOSITION 2.3.** — *Let  $[\sigma] \in \mathbf{P}({}^3V_{10})$ . If  $K_\sigma$  is smooth of dimension 4, the point  $[\sigma]$  is  $\text{SL}(V_{10})$ -semistable.*

*Proof.* — Let  $\mathbf{P}({}^3V_{10})^{\text{sm}} \subset \mathbf{P}({}^3V_{10})$  be the open subset of points  $[\sigma]$  such that  $K_\sigma$  is smooth of dimension 4. The map

$$\tilde{p}: \mathbf{P}({}^3V_{10})^{\text{sm}} \dashrightarrow F$$

that sends  $[\sigma]$  to the period of  $K_\sigma$  is *regular*. Let  $[\sigma] \in \mathbf{P}({}^3V_{10})^{\text{sm}}$ . Let  $D$  be a nonzero effective divisor on the quasi-projective variety  $F$  such that  $\tilde{p}([\sigma]) \notin D$ . The closure of  $\tilde{p}^{-1}(D)$  in  $\mathbf{P}({}^3V_{10})$  is the divisor of a  $\text{SL}(V_{10})$ -invariant section of some power of  $\mathcal{O}_{\mathbf{P}({}^3V_{10})}(1)$  which does not vanish at  $[\sigma]$ , hence  $[\sigma]$  is  $\text{SL}(V_{10})$ -semistable.

There is a modular map

$$m: M_{\text{DV}} \dashrightarrow \mathcal{M}, \quad [\sigma] \mapsto [K_\sigma]$$

which is regular on the open subset  $M_{\text{DV}}^{\text{sm}} \subset M_{\text{DV}}$  corresponding to points  $[\sigma]$  such that  $K_\sigma$  is a smooth fourfold. In the diagram (3) from the introduction, the map  $q$  is  $p \circ m$ .

### 3. HILBERT SQUARES OF K3 SURFACES AS SPECIALIZATIONS OF DEBARRE-VOISIN VARIETIES

In this section, we exhibit, in the period domain  $F$  for Debarre-Voisin varieties, infinitely many Heegner divisors whose general points are periods of polarized hyperkähler fourfolds that are birationally isomorphic to Hilbert squares of polarized K3 surfaces. We will prove in the next sections that some of these divisors are HLS divisors. The whole section is devoted to the proof of the following theorem. It is based on results and techniques from [BM14, DM19, HT09].

**THEOREM 3.1.** — *In the moduli space  $\mathcal{M}$  for hyperkähler fourfolds of  $K3^{[2]}$ -type with a polarization of square  $22$  and divisibility  $2$ , there are countably many irreducible hypersurfaces whose general points correspond to polarized hyperkähler fourfolds that are birationally isomorphic to Hilbert squares of polarized K3 surfaces. Among them, we have*

- fourfolds that are isomorphic to  $(M_S(0, L, 1), \varpi(6L - 5\delta))$ , where  $(S, L)$  is a general polarized K3 surface of degree  $2$ ;<sup>(1)</sup>
- fourfolds that are isomorphic to  $(S^{[2]}, 2L - (2m + 1)\delta)$ , where  $(S, L)$  is a general polarized K3 surface of degree  $2(m^2 + m + 3)$  (for any  $m > 0$ ).

*In the first case, the periods dominate the Heegner divisor  $D_2$ . In the second case, the periods dominate the Heegner divisor  $D_{2(m^2+m+3)}$ .*

#### 3.1. THE MOVABLE CONES OF HILBERT SQUARES OF VERY GENERAL POLARIZED K3 SURFACES

Let  $(S, L)$  be a polarized K3 surface with  $\text{Pic}(S) = \mathbf{Z}L$  and  $L^2 = 2e$ . We have

$$\text{NS}(S^{[2]}) = \mathbf{Z}L + \mathbf{Z}\delta,$$

where  $L$  is the line bundle on the Hilbert square  $S^{[2]}$  induced by  $L$  and  $2\delta$  is the class of the exceptional divisor of the Hilbert-Chow morphism  $S^{[2]} \rightarrow S^{(2)}$  (see Section 4.1). One has

$$q_{S^{[2]}}(L) = 2e, \quad q_{S^{[2]}}(\delta) = -2, \quad q_{S^{[2]}}(L, \delta) = 0.$$

Let  $(X, H)$  correspond to an element of  $\mathcal{M}$ . If there is a birational isomorphism  $\varpi: S^{[2]} \dashrightarrow X$ , one can write  $\varpi^*H = 2bL + a\delta$ , where  $a$  and  $b$  are positive integers (the coefficient of  $L$  is even because  $H$  has divisibility  $2$ ). Since  $q_X(H) = 22$ , they satisfy the quadratic equation

$$(5) \quad a^2 - 4eb^2 = -11.$$

Moreover, the class  $2bL + a\delta$  is movable.

The closed movable cone  $\overline{\text{Mov}}(S^{[2]})$  was determined in [BM14] (see also [DM19, Ex. 5.3]): one extremal ray is spanned by  $L$  and the other by  $L + \mu_e\delta$ , where the rational number  $\mu_e$  is determined as follows:

- if  $e$  is a perfect square,  $\mu_e = \sqrt{e}$ ;

<sup>(1)</sup>See Remark 3.6 for the notation.

– if  $e$  is not a perfect square,  $\mu_e = eb_1/a_1$ , where  $(a_1, b_1)$  is the minimal positive (integral) solution of the Pell equation  $x^2 - ey^2 = 1$ .

The next proposition explains for which integers  $e$  there is a movable class of square 22 and divisibility 2 on  $S^{[2]}$ .

**PROPOSITION 3.2.** — *Let  $e$  be a positive integer such that the equation (5) has a solution and let  $(a_2, b_2)$  be the minimal positive solution. The numbers  $e, a, b$  such that the class  $2bL - a\delta$  is movable on  $S^{[2]}$  and of square 22 are precisely the following:*

- $e = 1$  and  $(a, b) = (5, 3)$ ;
- $e = 9$  and  $(a, b) = (5, 1)$ ;
- $e$  is not a perfect square,  $b_1$  is even, and  $(a, b)$  is

$$\text{either } (a_2, b_2) \text{ or } (2eb_1b_2 - a_1a_2, a_1b_2 - \frac{1}{2}a_2b_1)$$

(these pairs are equal if and only if  $11 \mid e$ );

- $e$  is not a perfect square,  $b_1$  is odd, and  $(a, b) = (a_2, b_2)$ .

*Proof.* — Assume first that  $m := \frac{\rho_e}{e}$  is an integer. The equation (5) is then

$$(a - 2bm)(a + 2bm) = 11,$$

with  $a + 2bm > ja - 2bmj$ , hence  $a + 2bm = 11$  and  $a - 2bm = -1$ , so that  $a = 5$  and  $bm = 3$ . The only two possibilities are  $e = 1$  and  $(a, b) = (5, 3)$ , and  $e = 9$  and  $(a, b) = (5, 1)$ . In both cases, one has indeed  $a/2b < \frac{\rho_e}{e}$ , hence the class  $2bL - a\delta$  is movable.

Assume that  $e$  is not a perfect square. Set  $x_2 := a_2 + b_2 \frac{\rho_e}{e} \in \mathbf{Z}[\frac{\rho_e}{e}]$  and  $\bar{x}_2 := a_2 - b_2 \frac{\rho_e}{e}$ , so that  $x_2\bar{x}_2 = 11$  and  $0 < \bar{x}_2 < \frac{11}{x_2} < x_2$ .

We also set  $x_1 := a_1 + b_1 \frac{\rho_e}{e}$  and  $\bar{x}_1 := a_1 - b_1 \frac{\rho_e}{e}$ , so that  $x_1\bar{x}_1 = 1$  and  $0 < \bar{x}_1 < 1 < x_1$ .

Let  $(a_1^0, b_1^0)$  be the minimal positive solution of the Pell equation  $x^2 - 4ey^2 = 1$  and set  $x_1^0 := a_1^0 + b_1^0 \frac{\rho_e}{4e}$ . If  $b_1$  is even, we have  $x_1^0 = x_1$  and  $b_1^0 = b_1/2$ . If  $b_1$  is odd, we have  $x_1^0 = x_1^2$  and  $b_1^0 = a_1b_1$ .

By [Nag64, Th. 110], all the solutions of the equation (5) are given by  $x_2x_1^{2n}$  and  $\bar{x}_2x_1^{2n}$ , for  $n \in \mathbf{Z}$ . Since  $x_1^0 > 1$ , we have  $0 < \frac{x_2x_1^{0-1}}{11} < x_2$ . Since  $x_2$  corresponds to a minimal solution, this implies  $x_2x_1^{0-1} < \frac{\rho_e}{11}$ , hence  $\bar{x}_2x_1^0 > \frac{\rho_e}{11}$ . By minimality of  $x_2$  again, we get  $\bar{x}_2x_1^0 > x_2$ . It follows that the positive solutions of the equation (5) correspond to the following increasing sequence of elements of  $\mathbf{Z}[\frac{\rho_e}{e}]$ :

$$(6) \quad \frac{\rho_e}{11} < x_2 < \bar{x}_2x_1^0 < x_2x_1^0 < \bar{x}_2x_1^{02} < x_2x_1^{02} < \dots$$

By [Nag64, Th. 110] again, we have  $x_2 = \bar{x}_2x_1^0$  if and only if  $11 \mid \frac{\rho_e}{e}$ .

Since the function  $x \mapsto x - 11/x$  is increasing on the interval  $(\frac{\rho_e}{11}, +\infty)$ , the corresponding positive solutions  $(a, b)$  have increasing  $a$  and  $b$ , hence increasing “slopes”  $a/2b = \sqrt{e/(1 + 11/a^2)}$ .

We want to know for which of these positive solutions  $a + b \frac{\rho_e}{4e}$  the corresponding class  $2bL - a\delta$  is movable, that is, satisfies  $a/2b < \mu_e = eb_1/a_1$ .

Assume first that  $b_1$  is even, so that  $x_1^0 = x_1$ . The inequality  $x_2 \leq \bar{x}_2 x_1^0$  translates into  $a_2 \leq a_2 a_1 + 2eb_2 b_1$ , hence

$$(7) \quad \frac{a_2}{2b_2} \leq \frac{eb_1}{a_1 + 1}.$$

The class corresponding to the solution  $\bar{x}_2 x_1^0 = 2eb_1 b_2 - a_1 a_2 + (2a_1 b_2 - a_2 b_1) \rho_{\bar{e}}$  is movable if and only if we have

$$\begin{aligned} & \frac{2eb_1 b_2 - a_1 a_2}{2a_1 b_2 - a_2 b_1} \leq \frac{eb_1}{a_1} \\ (\ ) \quad & a_1(2eb_1 b_2 - a_1 a_2) \leq eb_1(2a_1 b_2 - a_2 b_1) \\ (\ ) \quad & a_2(eb_1^2 - a_1^2) \leq 0, \end{aligned}$$

which holds since  $eb_1^2 - a_1^2 = 1$ . This class is therefore movable and so is the class corresponding to the minimal solution since it has smaller slope.

The class corresponding to the next solution  $x_2 x_1 = a_1 a_2 + 2eb_2 b_1 + (a_2 b_1 + 2a_1 b_2) \rho_{\bar{e}}$  is movable if and only if we have

$$\begin{aligned} & \frac{a_1 a_2 + 2eb_2 b_1}{a_2 b_1 + 2a_1 b_2} \leq \frac{eb_1}{a_1} \\ (\ ) \quad & a_1(a_1 a_2 + 2eb_2 b_1) \leq eb_1(a_2 b_1 + 2a_1 b_2) \\ (\ ) \quad & a_2(a_1^2 - eb_1^2) \leq 0, \end{aligned}$$

which does not hold since  $a_1^2 - eb_1^2 = 1$ . This class is therefore not movable.

Assume now that  $b_1$  is odd, so that  $x_1^0 = x_1^2 = 2a_1^2 - 1 + 2a_1 b_1 \rho_{\bar{e}}$ . The inequality  $x_2 \leq \bar{x}_2 x_1^0$  translates into  $a_2 \leq 4ea_1 b_1 b_2 - a_2(2a_1^2 - 1)$ , hence

$$(8) \quad \frac{a_2}{2b_2} \leq \frac{eb_1}{a_1},$$

which means exactly that the class corresponding to the minimal solution  $x_2 = a_2 + 2b_2 \rho_{\bar{e}}$  is movable (and it is on the boundary of the movable cone if and only if  $11 \mid j e$ ).

The class corresponding to the next solution

$$\begin{aligned} \bar{x}_2 x_1^0 &= (a_2 + 2b_2 \rho_{\bar{e}})(2a_1^2 - 1 + 2a_1 b_1 \rho_{\bar{e}}) \\ &= a_2(2a_1^2 - 1) + 4ea_1 b_1 b_2 + (2b_2(2a_1^2 - 1) - 2a_1 a_2 b_1) \rho_{\bar{e}} \end{aligned}$$

is movable if and only if

$$\begin{aligned} & \frac{a_2(2a_1^2 - 1) + 4ea_1 b_1 b_2}{2b_2(2a_1^2 - 1) - 2a_1 a_2 b_1} \leq \frac{eb_1}{a_1} \\ (\ ) \quad & a_1 a_2(2a_1^2 - 1) + 4ea_1^2 b_1 b_2 \leq 2eb_1 b_2(2a_1^2 - 1) - 2ea_1 a_2 b_1^2 \\ (\ ) \quad & 2eb_1 b_2 \leq a_1 a_2. \end{aligned}$$

It follows that the class is not movable unless there is equality in (8), which happens exactly when  $\bar{x}_2 x_1^0 = x_2$ . Finally, one checks that the next solution  $x_2 x_1^0$  never corresponds to a movable class.

3.2. THE NEF CONES OF HILBERT SQUARES OF VERY GENERAL POLARIZED K3 SURFACES

Let again  $(S, L)$  be a polarized K3 surface with  $\text{Pic}(S) = \mathbf{Z}L$  and  $L^2 = 2e$ . The nef cone  $\text{Nef}(S^{[2]})$  was determined in [BM14] (see also [DM19, Ex. 5.3]): one extremal ray is spanned by  $L$ , and  $\text{Nef}(S^{[2]}) = \overline{\text{Mov}}(S^{[2]})$ , unless the equation  $x^2 - 4ey^2 = 5$  has integral solutions; if the minimal positive solution of that equation is  $(a_5, b_5)$ , the other extremal ray of  $\text{Nef}(S^{[2]})$  is then spanned by  $L - \nu_e \delta$ , where  $\nu_e = 2eb_5/a_5 < \mu_e$ .

Furthermore, in the latter case, in the decomposition ([HT09, Th. 7])

$$(9) \quad \overline{\text{Mov}}(S^{[2]}) = \overline{\bigcup_{\substack{\varpi: S^{[2]} \dashrightarrow X \\ X \text{ hyperkähler}}} \text{Nef}(X)}$$

into cones which are either equal or have disjoint interiors, there are only two cones (this means that there is a unique nontrivial birational map  $\varpi: S^{[2]} \dashrightarrow X$ ), unless  $b_1$  is even and  $5 - e$ , in which case there are three cones ([Deb18, Ex. 3.18]).

3.3. MOVABLE AND NEF CLASSES OF SQUARE 22 AND DIVISIBILITY 2. — We put together the results of Sections 3.1 and 3.2 and determine all positive integers  $e \in 22$  for which there exist movable or ample classes of square 22 and divisibility 2 on the Hilbert square of a very general polarized K3 surface of degree  $2e$ .

For that, the quadratic equation (5) needs to have solutions (and we denote by  $(a_2, b_2)$  its minimal positive solution). Table 1 also indicates the minimal positive solution  $(a_1, b_1)$  of the Pell equation  $x^2 - ey^2 = 1$  (which is used to compute the slope  $\mu_e$  of the nef cone) and the slope  $\nu_e$  of the ample cone (computed as explained in Section 3.2).

$e$	1	3	5	9	11	15
$(a_1, b_1)$	—	(2, 1)	(9, 4)	—	(10, 3)	(4, 1)
$\mu_e$ (slope of movable cone)	1	3/2	20/9	3	33/10	15/4
$(a_2, b_2)$	(5, 3)	(1, 1)	(3, 1)	(5, 1)	(33, 5)	(7, 1)
movable classes of square 22 and div. 2	$6L - 5\delta$	$2L - \delta$	$2L - 3\delta$ $6L - 13\delta$	$2L - 5\delta$	$10L - 33\delta$	$2L - 7\delta$
$\nu_e$ (slope of ample cone)	2/3	3/2	2	3	22/7	15/4
ample classes of square 22 and div. 2	—	$2L - \delta$	$2L - 3\delta$	$2L - 5\delta$	—	$2L - 7\delta$

TABLE 1. Movable and nef classes of square 22 and divisibility 2 in  $S^{[2]}$  for  $e \in 22$

REMARK 3.3. — When  $e = 5$ , the decomposition (9) has two cones and  $S^{[2]}$  has a unique nontrivial birational automorphism. It is an involution  $\varpi$  which was described geometrically in [Deb18, Prop. 4.15, Ex. 4.16]. One has  $\varpi(2L - 3\delta) = 6L - 13\delta$  and  $S^{[2]}$  has no nontrivial hyperkähler birational models.

REMARK 3.4. — A consequence of Proposition 3.2 is that there are always one or two movable classes of square 22 and divisibility 2 as soon as the equation (5) has a solution. As Table 1 shows, it can happen that some of these classes are not ample. It can also happen that both of these classes are ample (this is the case when  $e = 45$ ).

REMARK 3.5. — We mentioned in the introduction that HLS divisors coming from polarized K3 surfaces of degree  $2e$  may only occur if the corresponding moduli space of polarized K3 surfaces is uniruled. This may only happen for  $e \in \{1, 2, \dots, 45, 47, 48, 49, 51, 53, 55, 56, 59, 61\}$  by [GHS07]. One can continue Table 1 for those values of  $e$  and find that only  $D_{46}, D_{54}, D_{66}, D_{90}, D_{94}, D_{106},$  and  $D_{118}$  may be HLS divisors coming from polarized K3 surfaces.

3.4. PROOF OF THEOREM 3.1. — Let again  $(S, L)$  be a polarized K3 surface with  $\text{Pic}(S) = \mathbf{Z}L$  and  $L^2 = 2e$ .

When  $e = 1$ , the decomposition (9) has two cones and  $S^{[2]}$  has a unique nontrivial hyperkähler birational model; it is the moduli space  $X_S := \mathcal{M}_S(0, L, 1)$  of  $L$ -semistable pure sheaves on  $S$  with Mukai vector  $(0, L, 1)$ . As we see from Table 1, the square-22 class  $H := 6L - 5\delta$  is ample on  $X_S$ . The pair  $(X_S, H)$  therefore defines an element of the moduli space  $\mathcal{M}$  and this proves the first item of the theorem.

Assume now  $e = m^2 + m + 3$ , where  $m$  is a nonnegative integer, so that  $(a_2, b_2) = (2m + 1, 1)$ . By Proposition 3.2, the class  $2L - (2m + 1)\delta$  is always movable. One checks that its slope  $(2m + 1)/2$  is always smaller than the slope  $\nu_e$  of the nef cone, hence this class is in fact always ample. This proves the second item of the theorem.

Finally, in the general case, the orthogonal of  $\text{NS}(S^{[2]})$  in the lattice  $\Lambda$  is isomorphic to the orthogonal of  $L$  in the (unimodular) K3 lattice  $H^2(S, \mathbf{Z})$ . Its discriminant is therefore  $-2e$  and, whenever  $H$  is an ample class of of square 22 and divisibility 2, the period of  $(S^{[2]}, H)$  is a general point of the Heegner divisor  $D_{2e}$ . Note also that although we only worked with very general polarized K3 surfaces, ampleness being an open condition still holds when  $S$  is a general polarized K3 surface. This finishes the proof of the theorem.

REMARK 3.6. — Going back to the case  $e = 1$  with the notation introduced in the proof above, a general element of  $X_S$  corresponds to a sheaf  $\iota_* \xi$ , where  $C \in |L|$ , the map  $\iota: C \hookrightarrow S$  is the inclusion, and  $\xi$  is a degree-2 invertible sheaf on  $C$  ([Muk84, Ex. 0.6]). The birational map  $\varpi: S^{[2]} \dashrightarrow X_S$  takes a general  $Z \in S^{[2]}$  to the sheaf  $\iota_* \mathcal{O}_C(Z)$ , where  $C$  is the unique element of  $|L|$  that contains  $Z$ . It is the Mukai flop of  $S^{[2]}$  along the image of the map  $\mathbf{P}^2 \dashrightarrow S^{[2]}$  induced by the canonical double cover  $\pi: S \rightarrow \mathbf{P}^2$ .

The line bundle  $L - \delta$  is base-point free on  $X_S$  and defines the Lagrangian fibration  $f: X_S \rightarrow \mathbf{P}^2$  that takes the class in  $X_S$  of a sheaf on  $S$  to its support. The line bundle  $3L - 2\delta$  is base-point free and not ample on both  $S^{[2]}$  and  $X_S$  ([Deb18, Exer. 3.13], [vdD12, Lem. 2.1.12]). The ample line bundle  $H = 6L - 5\delta$  is therefore also base-point free on  $X_S$ . It restricts to a general fiber  $F = \text{Pic}^2(C)$  of  $f$  (where  $C \cong \mathcal{O}_S(1)$ ) as  $L|_F$ , and this is twice the canonical principal polarization on  $F$ . In particular, the morphism that  $H$  defines factors through the involution of  $X_S$  induced by the involution of  $S$  attached to  $\pi$  and  $H$  is not very ample.

REMARK 3.7. — When  $\sigma \in {}^3V_{10}$  is a general trivector such that the hypersurface  $X_\sigma$  is singular, the variety  $K_\sigma$  becomes singular, but, with its Plücker line bundle, birationally isomorphic to  $(S^{[2]}, 10L - 33\delta)$ , where  $(S, L)$  is a general polarized K3 surface of degree 22 ([DV10, Prop. 3.4]). As indicated in Table 1 above, the line bundle  $10L - 33\delta$  is on the boundary of the movable cone of  $S^{[2]}$ ; it defines the birational map  $S^{[2]} \dashrightarrow K_\sigma \subset \text{Gr}(6, V_{10}) \subset \mathbf{P}({}^6V_{10})$ . The corresponding “periods” cover the Heegner divisor  $D_{22}$ .

3.5. VECTORS OF MINIMAL NORM AND HLS DIVISORS. — The Heegner divisor  $D_{2e}$  was defined in Section 2.1 starting from a primitive  $v \in h^\tau$  of negative square. The relation between  $e$  and  $v$  was worked out at the end of the proof of [DM19, Prop. 4.1]:

- either  $11 \mid e, v^2 = -2e/11$ , and  $v$  has divisibility 1 in  $h^\tau$ ;
- or  $11 \nmid e, v^2 = -22e$ , and  $v$  has divisibility 11 in  $h^\tau$ .

The discriminant group  $D(h^\tau)$  is isomorphic to  $\mathbf{Z}/11\mathbf{Z}$ . In the first case, one has  $v := v/\text{div}(v) = 0$  in  $D(h^\tau)$ ; in the second case,  $v$  is  $a \in \mathbf{Z}/11\mathbf{Z}$ , where  $a^2 \equiv e \pmod{11}$  (recall that  $v$  and  $-v$  define the same Heegner divisor).

Let us say that a vector  $v \in h^\tau$  with divisibility  $> 1$  (that is, such that  $v \notin 0$ ) and negative square has *minimal norm* if  $w^2 > v^2$  for all vectors  $w \in h^\tau$  with  $v = w$  and  $w^2 < 0$ . For each nonzero class  $a \in \mathbf{Z}/11\mathbf{Z}$ , one can work out the vectors  $v$  with minimal norm such that  $v = a$  (by Eichler’s lemma, they form a single  $O(h^\tau)$ -orbit, characterized by  $a$  and  $v^2$ ). We obtain the following table (if  $v$  has minimal norm and  $v = a$ , then  $v$  has minimal norm and  $(v) = a$ ).

$a$	1	2	3	4	5
$e = -v^2/22$	1	15	9	5	3

TABLE 2.

The values of  $e$  that appear in this table are exactly those for which we prove that the Heegner divisor  $D_{2e}$  is an HLS divisor. They are also the five smallest values of  $e$  for which a general element of  $D_{2e}$  comes from the Hilbert square of a K3 surface (see Table 1). Of course, there might be other HLS divisors which we have not found, but, as mentioned in the introduction, in the case of cubic fourfolds, there is a unique HLS divisor and it corresponds to the unique pair of orbits of vectors with minimal norm

(the discriminant group is  $\mathbf{Z}/3\mathbf{Z}$  in this case); in the case of double EPW sextics, there are three known HLS divisors and they correspond to the three orbits of vectors with minimal norm (the discriminant group is  $(\mathbf{Z}/2\mathbf{Z})^2$  in this case).

#### 4. PRELIMINARY RESULTS

We collect in this section a few results that will be used repeatedly in the rest of the article.

4.1. TAUTOLOGICAL BUNDLES ON HILBERT SQUARES. — Let  $X$  be a smooth projective variety. Consider the blow up  $\tau: X^\wedge \rightarrow X \times X$  of the diagonal and its restriction  $\tau_E: E \rightarrow X$  to its exceptional divisor  $E$ . The (smooth projective) *Hilbert square* of  $X$  is the quotient

$$p: X^\wedge \rightarrow X \times X \rightarrow X^{[2]}$$

by the lift  $\iota$  of the involution that exchanges the two factors. It is simply ramified along  $E$  and there is a divisor class  $\delta \in \text{Pic}(X^{[2]})$  such that  $p^* \delta = E$ . We will use the composed maps  $q_i: X^\wedge \rightarrow X \times X \xrightarrow{\text{pr}_i} X$ .

Let  $F$  be a vector bundle of rank  $r$  on  $X$ . We write  $F \times F := q_1^* F \oplus q_2^* F$  and  $F \otimes F := q_1^* F \otimes q_2^* F$ ; these are vector bundles on  $X^\wedge \rightarrow X$  of respective ranks  $2r$  and  $r^2$ . If  $L$  is an invertible sheaf on  $X$ , the invertible sheaf  $L \otimes L$  is  $\iota$ -invariant and descends to an invertible sheaf on  $X^{[2]}$  that we still denote by  $L$ . This gives an injective group morphism

$$(10) \quad \text{Pic}(X) \times \mathbf{Z} \rightarrow \text{Pic}(X^{[2]}), \quad (L, m) \mapsto L + m\delta.$$

The *tautological bundle*

$$T_F := p^*(q_1^* F)$$

is locally free of rank  $2r$  on  $X^{[2]}$  and there is an exact sequence ([Dan01, Prop. 2.3], [Wan14, (3)])

$$0 \rightarrow p^* T_F \rightarrow F \otimes F \rightarrow \tau_E^* F \rightarrow 0$$

of sheaves on  $X^\wedge \rightarrow X$ . In the notation of (10), we have

$$(11) \quad \det(T_F) = \det(F) \otimes r\delta$$

and there is an isomorphism

$$H^0(X^{[2]}, T_F) \cong H^0(X, F).$$

REMARK 4.1. — When  $X = \mathbf{P}(V)$ , there is a morphism  $f: X^{[2]} \rightarrow \text{Gr}(2, V)$  that sends a length-2 subscheme of  $X$  to the projective line that it spans in  $\mathbf{P}(V)$ . The rank-2 vector bundle  $T_{O_X(1)}$  is then the pullback by  $f$  of the tautological subbundle  $S_2$  on  $\text{Gr}(2, V)$ . It is in particular generated by global sections.

We now present an analogous construction that will be used in Section 6. There is a surjective morphism

$$\text{ev}^+: F \otimes F \rightarrow \tau_E^* \text{Sym}^2 F$$



obtained by evaluating along the exceptional divisor  $E$  and then projecting onto the symmetric part of  $(F \otimes F)_{j_E} = \tau_E(F \otimes F)$ .

LEMMA 4.2. — *There is a locally free sheaf  $K_F$  of rank  $r^2$  on  $X^{[2]}$  and an exact sequence*

$$(12) \quad 0 \rightarrow p^* K_F \rightarrow F \otimes F \xrightarrow{\text{ev}^+} \tau_E \text{Sym}^2 F \rightarrow 0.$$

Moreover,  $\det(K_F) = r \det(F) - \frac{1}{2}r(r+1)\delta$  and  $H^0(X^{[2]}, K_F) \cong H^0(X, F)$ .

*Proof.* — Let  $\widetilde{K}_F$  be the kernel of  $\text{ev}^+$ . It is locally free on  $X^\wedge \rightarrow X$  and we need to show that it descends to a vector bundle on  $X^{[2]}$ . For that, it is enough to prove that the involution  $\iota$  on  $X^\wedge \rightarrow X$  lifts to an involution  $\widetilde{\iota}$  on  $\widetilde{K}_F$  that acts by  $\text{Id}$  on  $\widetilde{K}_F \otimes j_E^*$ .

The statement is local over the diagonal of  $X$ . We can thus assume that  $F$  is trivial on  $X$  with basis  $(s_1, \dots, s_r)$  and that we have local coordinates  $x_1, \dots, x_n$  on  $X$  near  $O \in X$ . On  $X^\wedge \rightarrow X$ , we have coordinates  $x_1, \dots, x_n, y_1, \dots, y_n$  and the bundle  $F \otimes F$  has basis  $(s_i \otimes s_j)_{1 \leq i, j \leq r}$ , where  $(s_i \otimes s_j)(x_1, \dots, x_n, y_1, \dots, y_n) = s_i(x_1, \dots, x_n)s_j(y_1, \dots, y_n)$ . The involution  $\widetilde{\iota}$  on  $F \otimes F$  maps  $s_i \otimes s_j$  to  $s_j \otimes s_i$ .

Consider a point in  $X^{[2]}$  over  $(O, O)$ . Without loss of generality, we can assume that it corresponds to the tangent vector  $\partial/\partial x_1$ . At the corresponding point of the blow up  $X^\wedge \rightarrow X$ , there are then local coordinates  $\widetilde{x}_1, \dots, \widetilde{x}_n, \widetilde{y}_1, u_2, \dots, u_n$  in which the morphism  $\tau$  is given by

$$\tau(x_i) = \widetilde{x}_i, \quad \tau(y_1) = \widetilde{y}_1, \quad \tau(y_i - x_i) = u_i(\widetilde{y}_1 - \widetilde{x}_1) \text{ for } i > 2.$$

The equation of the exceptional divisor  $E$  is then  $e := \widetilde{y}_1 - \widetilde{x}_1$  and the involution on  $X^\wedge \rightarrow X$  is given by

$$\iota(\widetilde{x}_1) = \widetilde{y}_1, \quad \iota(\widetilde{x}_i) = \widetilde{x}_i + u_i(\widetilde{y}_1 - \widetilde{x}_1), \quad \iota(u_i) = u_i \text{ for } i > 2,$$

and satisfies  $\iota(e) = -e$ . The bundle  $\widetilde{K}_F$  is thus locally generated by the sections

$$s_i \otimes s_j - s_j \otimes s_i, \quad e(s_i \otimes s_j + s_j \otimes s_i),$$

for all  $i \neq j$ . This shows that  $\widetilde{\iota}$  acts by  $\text{Id}$  on  $\widetilde{K}_F \otimes j_E^*$ .

The vector bundle  $\widetilde{K}_F$  therefore descends to a vector bundle  $K_F$  on  $X^{[2]}$  whose determinant can be computed from the exact sequence (12).

Going back to the global situation, we see that the space of  $\widetilde{\iota}$ -antiinvariant sections of  $F \otimes F$  on  $X^\wedge \rightarrow X$  that are sections of  $\widetilde{K}_F$  is  $H^0(X, F)$ . These sections correspond exactly to the sections of  $K_F$  on  $X^{[2]}$ . This proves the lemma.

4.2. ZERO-LOCI OF EXCESSIVE DIMENSIONS AND EXCESS FORMULA. — We describe in a general context an excess computation that we will use in the proofs of Theorems 5.5, 5.15, and 6.14. Let  $M$  be a smooth variety of dimension  $n$ , let  $E$  be a vector bundle of rank  $r$  on  $M$ , and let  $\sigma_0$  be a section of  $E$ , with zero-locus  $Z \subset M$ . The differential of  $\sigma_0$  defines a morphism  $d\sigma_0: T_M|_Z \rightarrow E|_Z$ . If  $Z$  is smooth, of codimension  $s \leq r$  in  $M$ , the kernel of  $d\sigma_0$  is  $T_Z$  and we define the *excess bundle*  $F$  to be its cokernel. It has rank  $r - s$  on  $Z$  and is isomorphic to the quotient  $E|_Z/N_{Z/M}$ .

Assume now that  $E$  is generated by global sections and let  $(\sigma_t)_{t \in \Delta}$  be a general 1-parameter deformation of  $\sigma_0$ . For  $t \in \Delta$  general, the zero-locus  $Z_t$  of the section  $\sigma_t$  is smooth of pure codimension  $r$  or empty. The bundle  $F_t$ , as a quotient of  $E|_{Z_t}$ , is also generated by its sections and the zero-locus of the section  $\bar{\sigma}^0$  defined as the image of  $\partial\sigma_t/\partial t|_{t=0} \in H^0(M, E)$  in  $H^0(Z, F)$  is smooth of pure codimension  $r - s$  in  $Z$  or empty.

Consider the closed subset

$$(13) \quad W = \{x, t \in M \times \Delta \mid j_{\sigma_t}(x) = 0\}.$$

The general fibers of the second projection  $\pi: W \rightarrow \Delta$  are smooth of pure dimension  $n - r$  or empty, and the central fiber is  $Z$ . Let  $W^0$  be the union of the components of  $W$  that dominate  $\Delta$  and assume that it is nonempty, hence of pure dimension  $n + 1 - r$ . The central fiber of the restricted map  $\pi^0: W^0 \rightarrow \Delta$  is contained in  $Z$ .

**PROPOSITION 4.3.** — *For a general 1-parameter deformation  $(\sigma_t)_{t \in \Delta}$ , the map*

$$\pi^0: W^0 \rightarrow \Delta$$

*is smooth and its central fiber is the zero-locus of  $\bar{\sigma}^0$  in  $Z$ .*

*Proof.* — We view the family  $(\sigma_t)_{t \in \Delta}$  of sections of  $E$  as a section  $\tilde{\sigma}$  of the vector bundle  $\tilde{E} := \text{pr}_M^* E$  on  $M \times \Delta$  whose zero-locus is  $W$ . We can write  $\tilde{\sigma} = \tilde{\sigma}_0 + t\tilde{\sigma}^0 + O(t^2)$  as sections of  $\tilde{E}$ , where  $\tilde{\sigma}_0 = \text{pr}_M^* \sigma_0$  and

$$(14) \quad \tilde{\sigma}^0|_{M \times 0} = \frac{\partial \sigma_t}{\partial t} \Big|_{t=0}.$$

Along  $Z \times \{0\} \subset W$ , we have

$$(15) \quad d\tilde{\sigma} = d\sigma_0 + \tilde{\sigma}^0 dt: T_{M \times \Delta}|_{Z \times 0} \rightarrow \tilde{E}|_{Z \times 0}.$$

Let  $z \in Z$  be a point where  $\bar{\sigma}^0$  does not vanish. We deduce from (14) and (15) that  $Z \times \{0\}$  and  $W$  coincide schematically around  $(z, 0)$ . Indeed, as  $Z \times \{0\}$  is smooth and contained in  $W$ , this is equivalent to saying that their Zariski tangent spaces coincide. If they do not, since  $Z \times \{0\}$  is the fiber of  $W$  at 0, some tangent vector at  $W$  at 0 is of the type  $(v, \partial/\partial t)$ . By (15), we have  $d\sigma_{0,z}(v) + \tilde{\sigma}^0(z) = 0$ , so that  $\tilde{\sigma}^0(z)$  belongs to  $\text{Im}(d\sigma_{0,z})$ . By (14), this means that the image  $\bar{\sigma}^0(z)$  of  $\partial\sigma_t/\partial t|_{t=0}(z)$  vanishes in  $F$ , contradiction.

We thus proved that the central fiber of  $W^0 \rightarrow \Delta$  is contained set-theoretically in the zero-locus  $Z^0$  of  $\bar{\sigma}^0$ . To prove that the inclusion is scheme-theoretic, we proceed as follows. Since  $Z \rightarrow M$  is smooth of codimension  $s$ , we can trivialize  $E$  locally along  $Z$  in such a way that in the corresponding decomposition  $\sigma = (\sigma_1, \dots, \sigma_r)$ , the first  $s$  functions have independent differentials, hence define  $Z \rightarrow M$ . We can write  $\tilde{\sigma} = (\tilde{\sigma}_1, \dots, \tilde{\sigma}_r)$  and replace  $M \times \Delta$  by the vanishing locus  $M^0$  of  $(\tilde{\sigma}_1, \dots, \tilde{\sigma}_s)$  which is smooth of codimension  $s$  in  $M \times \Delta$  and smooth over  $\Delta$ . The central fiber of the restricted map  $\pi^0: M^0 \rightarrow \Delta$  is  $Z$  (or rather the relevant open set of  $Z$ ), which means that the section  $\tilde{\sigma}|_{M^0}$  vanishes along its central fiber. We then have

$$(16) \quad \tilde{\sigma}|_{M^0} = t\overline{\tilde{\sigma}^0|_{M^0}},$$

where  $\overline{\tilde{\sigma}^\theta j_{M^0}}$  is the projection of  $\tilde{\sigma}^\theta j_{M^0}$  onto the  $r - s$  remaining components of  $E$ . The decomposition of  $W$  into irreducible components is (near the given point of  $Z$ )

$$W = M^0 \cup \overline{\tilde{\sigma}^\theta j_{M^0}} = 0g,$$

so that  $W^0$  is locally the zero-locus of the section  $\overline{\tilde{\sigma}^\theta j_{M^0}}$ . Finally, we observe that the restriction to  $Z \cap M^0$  of the locally defined section  $\overline{\tilde{\sigma}^\theta j_{M^0}}$  is nothing but  $\overline{\sigma^\theta}$ . As we assumed that  $\overline{\sigma^\theta}$  is general, hence transverse, it follows that  $W^0$  is smooth of codimension  $r - s$  in  $M^0$ , with central fiber the zero-locus of  $\overline{\sigma^\theta}$ .

**4.3. GEOMETRY OF SINGULAR TRIVECTORS.** — Given a nonzero trivector  $\sigma \in {}^3V_{10}$ , we relate singular points on the hypersurface  $X_\sigma$  to points on the Debarre-Voisin variety  $K_\sigma$  (see (2) and (1) for definitions). This geometric observation will allow us to describe, for the degenerate trivectors  $\sigma_0$  considered in the next sections, the Debarre-Voisin varieties (or one of their irreducible components), as Hilbert squares of subvarieties of  $\text{Sing}(X_{\sigma_0})$ .

**PROPOSITION 4.4.** — *Let  $\sigma \in {}^3V_{10}$  be a nonzero trivector and let  $[U_3]$  be a singular point of the hypersurface  $X_\sigma \subset \text{Gr}(3, V_{10})$ .*

(a) *The variety  $\Sigma_{U_3} := \{ [W_6] \in K_\sigma \mid W_6 \cap U_3 = g \}$  is nonempty of dimension everywhere at least 2 and for all  $[W_6] \in \Sigma_{U_3}$ , one has  $\dim(T_{K_\sigma, [W_6]}) > 4$ . In particular, if  $K_\sigma$  has (expected) dimension 4 at  $[W_6]$ , it is singular at that point.*

(b) *If  $[U_3^0]$  is another singular point of  $X_\sigma$  such that  $W_6 := U_3 + U_3^0$  has dimension 6, the point  $[W_6]$  is in  $K_\sigma$ .*

*Proof.* — Let us prove (a). Let  $[U_3] \in \text{Sing}(X_\sigma)$  and let  $[W_6] \in \Sigma_{U_3}$ . We will show that the differential  $d\tilde{\sigma}$  of the section  $\tilde{\sigma}$  of  ${}^3E_6$  defining  $K_\sigma$  does not have maximal rank at  $[W_6]$ .

As explained in the proof of [DV10, Prop. 3.1], this differential

$$d\tilde{\sigma}: T_{\text{Gr}(6, V_{10}), [W_6]} = \text{Hom}(W_6, V_{10}/W_6) \rightarrow {}^3W_6^-$$

maps  $u \in \text{Hom}(W_6, V_{10}/W_6)$  to the 3-form

$$d\tilde{\sigma}(u)(w_1, w_2, w_3) = \sigma(u(w_1), w_2, w_3) + \sigma(w_1, u(w_2), w_3) + \sigma(w_1, w_2, u(w_3)).$$

Since  $[U_3]$  is singular on  $X_\sigma$ , the trivector  $\sigma$  vanishes on  ${}^2U_3 \wedge V_{10}$  ([DV10, Prop. 3.1]), hence  $d\tilde{\sigma}(u)$  vanishes on  ${}^3U_3$ . The composite

$$(17) \quad \text{Hom}(W_6, V_{10}/W_6) \xrightarrow{d\tilde{\sigma}} {}^3W_6^- \rightarrow {}^3U_3^-$$

is therefore zero, hence  $d\tilde{\sigma}$  does not have maximal rank.

It remains to prove that the variety  $\Sigma_{U_3}$  is nonempty of dimension everywhere  $> 2$ . This follows from the fact that it is defined in the smooth 12-dimensional variety

$$\{ [W_6] \in \text{Gr}(6, V_{10}) \mid W_6 \cap U_3 = g \} \subset \text{Gr}(3, V_{10}/U_3)$$

as the zero-locus of a section of the rank-10 vector bundle  $(U_3^- \wedge {}^2E_3) \rightarrow {}^3E_3$ , whose top Chern class is nonzero.

Let us prove (b). Since  $[U_3]$  and  $[U_3^0]$  are singular points of  $X_\sigma$ , the trivector  $\sigma$  vanishes on  ${}^2U_3 \wedge V_{10}$  and  ${}^2U_3^0 \wedge V_{10}$ , hence also on  ${}^3(U_3 + U_3^0)$ . In particular, if  $U_3 + U_3^0$  has dimension 6, it defines a point of  $K_\sigma$ .

The proof above also gives the following information which will be useful when we compute the excess bundles of Section 4.2 in our specific situations.

LEMMA 4.5. — *In Proposition 4.4(a), the restriction map  ${}^3W_{\bar{6}} \twoheadrightarrow {}^3U_{\bar{3}}$  vanishes on  $\text{Im}(d\tilde{\sigma})$ .*

*In Proposition 4.4(b), the restriction map  ${}^3W_{\bar{6}} \twoheadrightarrow {}^3U_{\bar{3}} \oplus {}^3U_{\bar{3}}^0$  vanishes on  $\text{Im}(d\tilde{\sigma})$ .*

REMARK 4.6. — In Sections 5.1 and 6.2, we will work with a generically smooth component  $K_0$  of a Debarre-Voisin variety  $K_{\sigma_0}$  of excessive dimension 6, so that the image of  $d\tilde{\sigma}_0$  has codimension 2 along its smooth locus. In each case, we will see that a general point of  $K_0$  is of the form  $[U_3 \quad U_3^0]$ , with  $[U_3], [U_3^0]$  in some smooth subvariety  $W$  of  $\text{Sing}(X_{\sigma_0})$ , so that there is a rational dominant map

$$f: W^{[2]} \dashrightarrow K_0$$

$$([U_3], [U_3^0]) \mapsto [U_3 + U_3^0]$$

(see Proposition 4.4(b)). Lemma 4.5 then tells us that the image of  $d\tilde{\sigma}_0$  vanishes in the two-dimensional space  ${}^3U_{\bar{3}} \oplus {}^3U_{\bar{3}}^0$ . This identifies, on a Zariski open subset of  $W^{[2]}$ , the pullback by  $f$  of the excess bundle on  $K_0$  with the tautological bundle  $T_{O_W(1)}$ , where  $O_W(1)$  is the Plücker line bundle on  $W \subset \text{Gr}(3, V_{10})$ . By Remark 4.1, it is generated by its global sections.

### 5. THE HLS DIVISORS $D_6$ AND $D_{18}$

We describe in this section two polystable (semistable with closed orbit in the semistable locus) trivectors in the moduli space  $M_{DV} = \mathbf{P}({}^3V_{10}) // \text{SL}(V_{10})$  whose total images<sup>(2)</sup> by the moduli map

$$m: M_{DV} \dashrightarrow M$$

are the hypersurfaces in  $M$  whose general points are pairs  $(S^{[2]}, 2L - \delta)$ , where  $(S, L)$  is a general polarized K3 surface of degree 6 (resp. pairs  $(S^{[2]}, 2L - 5\delta)$ , where  $(S, L)$  is a general polarized K3 surface of degree 18) (see Table 1). As explained in Section 3, their total images by the composition

$$p \circ m: M_{DV} \dashrightarrow F$$

are therefore the Heegner divisors  $D_6$  (resp.  $D_{18}$ ). A common feature of these two specific trivectors  $\sigma_0$ , which makes the specialization analysis quite easy, is that the corresponding Debarre-Voisin varieties  $K_{\sigma_0}$  are smooth but of larger-than-expected dimension. The limit of the Debarre-Voisin varieties along a 1-parameter degeneration

<sup>(2)</sup>The *total image* of a point  $p \in X$  by a rational map  $f: X \dashrightarrow Y$  is the projection in  $Y$  of the inverse image of  $p$  in  $\Gamma$ , where  $\Gamma \subset X \times Y$  is the (closure) of the graph of  $f$ .

to  $\sigma_0$  is then a smooth fourfold obtained as the zero-locus of a general section of the excess bundle on  $K_{\sigma_0}$  associated with this situation (see Section 4.2).

5.1. THE HLS DIVISOR  $D_6$ . — We construct a trivector  $\sigma_0$  whose Debarre-Voisin variety  $K_{\sigma_0}$  is smooth but has excessive dimension 6. The neutral component of the stabilizer of  $\sigma_0$  is  $\mathrm{Sp}(4)$  and the point  $[\sigma_0]$  of  $\mathbf{P}({}^3V_{10})$  is polystable for the  $\mathrm{SL}(V_{10})$ -action (Proposition 5.3). The total image in  $F$  of the point  $[\sigma_0]$  is the Heegner divisor  $D_6$ . The main result of this section is Theorem 5.5.

5.1.1. *The  $\mathrm{Sp}(4)$ -invariant trivector.* — Let  $V_4$  be a 4-dimensional vector space equipped with a symplectic form  $\omega$  and let  $V_5 = {}^2V_4$  be the hyperplane defined by  $\omega$ , endowed with the nondegenerate quadratic form  $q$  defined by  $q(x, y) = (\omega \wedge \omega)(x \wedge y)$ . The form  $q$  defines a smooth quadric  $Q_3 \subset \mathbf{P}(V_5)$ .

The 10-dimensional vector space  $V_{10} := {}^2V_5 \otimes \mathrm{Sym}^2 V_4$  can be identified with the space of endomorphisms of  $V_5$  which are skew-symmetric with respect to  $q$  and we define a trivector  $\sigma_0$  on  $V_{10}$  as in (4) by  $\sigma_0(a, b, c) = \mathrm{Tr}(a \wedge b \wedge c)$ . It is invariant for the canonical action of the group  $\mathrm{Sp}(V_4, \omega) = \mathrm{SO}(V_5, q)$  on  ${}^3V_{10}$ .

This is a particular case of a general situation studied by Hivert, who proved in particular that the Debarre-Voisin variety  $K_{\sigma_0}$  is smooth of dimension 6 ([Hiv11, Def. 1.2 & Th. 4.1]). He moreover gave a very concrete description of this variety. We will use the hypersurface  $X_{\sigma_0} \subset \mathrm{Gr}(3, V_{10})$  defined in (2).

**PROPOSITION 5.1**

(a) *The image of the morphism*

$$j: Q_3 \rightarrow \mathrm{Gr}(3, V_{10}) \\ x \mapsto [x \wedge x^{\perp q}]$$

*is contained in the singular locus of the hypersurface  $X_{\sigma_0} \subset \mathrm{Gr}(3, V_{10})$ .*

(b) *The morphism  $j$  is an embedding and  $j^* \mathcal{O}_{\mathrm{Gr}(3, V_{10})}(1) \cong \mathcal{O}_{Q_3}(3)$ .*

*Proof.* — Let  $x \in Q_3$ . If  $z \in x^{\perp q}$ , the skew-symmetric endomorphism  $a_z$  of  $V_5$  associated with  $x \wedge z$  is defined by

$$\forall u \in V_5 \quad a_z(u) = q(x, u)z - q(z, u)x,$$

and thus, if  $z, z^\perp \in x^{\perp q}$ , we have

$$a_{z^\perp} a_z(u) = q(x, u)q(x, z)z^\perp - q(z, u)q(x, x)z^\perp - q(x, u)q(z^\perp, z)x + q(z, u)q(z^\perp, x)x \\ = q(x, u)q(z^\perp, z)x,$$

which is symmetric in  $z$  and  $z^\perp$ , proving that  $a_z$  and  $a_{z^\perp}$  commute. The endomorphism  $a_{z^\perp} a_z$  is then symmetric, hence  $\mathrm{Tr}(a_{z^\perp} a_z - c) = 0$  for any skew-symmetric endomorphism  $c \in V_{10}$ . By [DV10, Prop. 3.1], this implies item (a).

We now prove (b). The morphism  $j$  is injective because  $x^{\perp q}$  is the tangent space to  $Q_3$  at  $[x]$  and this hyperplane is tangent only at  $[x]$ . Since  $j$  is  $\mathcal{O}(V_5, q)$ -equivariant,

it is an embedding. Consider now the exact sequence

$$0 \rightarrow K \rightarrow V_5 \otimes_{O_{Q_3}} \mathcal{O}_{Q_3}(1) \rightarrow 0$$

defining the rank-4 vector bundle  $K \otimes \Omega_{\mathbf{P}(V_5)}(1)|_{Q_3}$  with fiber  $x^{2q}$  at  $[x]$  and the exact sequence

$$0 \rightarrow \mathcal{O}_{Q_3}(-2) \rightarrow K \otimes_{O_{Q_3}} \mathcal{O}_{Q_3}(1) \rightarrow j_* S_3 \rightarrow 0,$$

which implies  $j_* S_3 \cong \Omega_{Q_3}$ . We obtain the desired isomorphism  $j_* \mathcal{O}_{\text{Gr}(3, V_{10})}(1) \cong \mathcal{O}_{Q_3}(3)$  by taking determinants.

By Propositions 4.4 and 5.1, we have a rational map  $f: Q_3^{[2]} \dashrightarrow K_{\sigma_0}$  which is  $\text{Sp}(4)$ -equivariant. The following result is [Hiv11, Th. 6.3].

**THEOREM 5.2 (Hivert).** — *The map  $f: Q_3^{[2]} \dashrightarrow K_{\sigma_0}$  is an isomorphism.*

*Proof.* — Any point in  $Q_3^{[2]}$  spans a line in  $\mathbf{P}(V_5)$ , hence defines an element of  $\text{Gr}(2, V_5)$ . The corresponding morphism  $\varepsilon: Q_3^{[2]} \rightarrow \text{Gr}(2, V_5)$  has a rational inverse: the intersection of a line in  $\mathbf{P}(V_5)$  with  $Q_3$  is a subscheme of length 2 of  $Q_3$ , except when the line is contained in  $Q_3$ . The morphism  $\varepsilon$  is therefore the blow up of the scheme of lines contained in  $Q_3$  (which is the image of the Veronese embedding  $v_2: \mathbf{P}(V_4) \hookrightarrow \mathbf{P}(\text{Sym}^2 V_4) = \mathbf{P}(^2 V_5)$ ; see [Hiv11, §6.2]).

Hivert moreover proved that the linear system  $|j^* v_2(\mathbf{P}(V_4))(3)|$  embeds  $Q_3^{[2]}$  into the linear span of  $K_{\sigma_0}$  in the Plücker embedding of  $\text{Gr}(6, V_{10})$  and that its image coincides with  $K_{\sigma_0}$ .

5.1.2. *Orbit and stabilizer.* — The decomposition of  ${}^3 V_{10}$  into irreducible  $\text{Sp}(4)$ -representations is

$$(18) \quad {}^3 V_{10} = V_{4\omega_1} \oplus V_{3\omega_2} \oplus V_{2\omega_1 + \omega_2} \oplus V_{2\omega_2} \oplus V_{\omega_2} \oplus \mathbf{C},$$

where  $V_{a_1\omega_1 + a_2\omega_2}$  denotes the irreducible representation of  $\text{Sp}(4)$  with highest weight  $a_1\omega_1 + a_2\omega_2$ , where  $\omega_1$  and  $\omega_2$  are the fundamental weights ([Hiv11, §6.2], [Bou90]). The last term is the space of  $\text{Sp}(4)$ -invariants; it is generated by our trivector  $\sigma_0$  defined in (4). The first term is  $\text{Sym}^4 V_4$  and the second term is  $H^0(Q_3, \mathcal{O}_{Q_3}(3))$ . Since  $\mathfrak{sp}(4) = \text{Sym}^2 V_4 = V_{2\omega_1}$  and

$$\text{End}(V_{10}) = V_{4\omega_1} \oplus V_{2\omega_1} \oplus V_{2\omega_1 + \omega_2} \oplus V_{2\omega_2} \oplus V_{\omega_2} \oplus \mathbf{C},$$

there is an exact sequence

$$0 \rightarrow \mathfrak{sp}(4) \rightarrow \text{End}(V_{10}) \rightarrow {}^3 V_{10} \rightarrow H^0(Q_3, \mathcal{O}_{Q_3}(3)) \rightarrow 0.$$

We prove that the tangent space to the stabilizer of  $\sigma_0$  is  $\mathfrak{sp}(4)$ , hence the normal space to the  $\text{GL}(V_{10})$ -orbit of  $\sigma_0$  is  $H^0(Q_3, \mathcal{O}_{Q_3}(3))$ .

**PROPOSITION 5.3.** — *The neutral component of the stabilizer of  $\sigma_0$  for the  $\text{SL}(V_{10})$ -action is  $\text{Sp}(V_4) = \text{SO}(V_5)$  and the point  $[\sigma_0]$  of  $\mathbf{P}({}^3 V_{10})$  is polystable for the  $\text{SL}(V_{10})$ -action.*

*Proof.* — The neutral component of the stabilizer acts on the Debarre-Voisin variety  $K_{\sigma_0}$ , which is isomorphic to  $Q_3^{[2]}$ . Since it is connected, it acts trivially on the Néron-Severi group, hence preserves the exceptional divisor of the Hilbert-Chow morphism  $Q_3^{[2]} \rightarrow Q_3^{(2)}$ . It therefore acts on  $Q_3^{(2)}$ , hence on  $Q_3$ . It is therefore in  $\mathrm{SO}(V_5)$ .

To show that  $[\sigma_0]$  is polystable, we will use a result of Luna. By Proposition 5.4 below, the stabilizer  $\mathrm{SO}(V_5)$  has finite index in its normalizer in  $\mathrm{SL}(V_{10})$ . By [Lun75, Cor. 3] (applied to the group  $\mathrm{SL}(V_{10})$  acting on  ${}^3V_{10}$ ), the orbit of  $\sigma_0$  is closed in  ${}^3V_{10}$ , hence  $[\sigma_0]$  is polystable.

We prove the classical result used in the proof above.

**PROPOSITION 5.4.** — *Let  $G$  be a semisimple algebraic group with a faithful irreducible representation  $G \curvearrowright \mathrm{SL}(V)$ . The group  $G$  has finite index in its normalizer in  $\mathrm{SL}(V)$ .*

*Proof.* — According to the discussion after [Spr09, Lem. 16.3.8], the group of outer automorphisms of  $G$  is finite. The kernel of the action  $N := N_{\mathrm{SL}(V)}(G) \curvearrowright \mathrm{Aut}(G)$  of the normalizer by conjugation is contained in the centralizer  $C := C_{\mathrm{SL}(V)}(G)$  and the kernel of the induced morphism  $N/G \rightarrow \mathrm{Out}(G)$  is contained in the image of  $C$  in  $N/G$ . It is therefore sufficient to show that  $C$  is a finite group. But this follows from Schur's lemma: any eigenspace of an element of  $C$  is stable by  $G$ , hence equal to  $V$ . Therefore,  $C$  consists of homotheties, hence is finite.

5.1.3. *Degenerations and excess bundles.* — Consider a general 1-parameter deformation  $(\sigma_t)_{t \in \Delta}$ . The derivative  $\partial \sigma_t / \partial t|_{t=0}$  provides, by the discussion in Section 5.1.2, a general section of  $\mathcal{O}_{Q_3}(3)$  which defines a general K3 surface  $S \subset Q_3 \subset \mathbf{P}(V_5)$  of degree 6.

**THEOREM 5.5.** — *Let  $(\sigma_t)_{t \in \Delta}$  be a general 1-parameter deformation. Let  $K \rightarrow \Delta$  be the associated family of Debarre-Voisin varieties and let  $K^0$  be the irreducible component of  $K$  that dominates  $\Delta$ . Then  $K^0 \rightarrow \Delta$  is smooth and its central fiber is isomorphic to  $S^{[2]}$ , embedded in  $\mathrm{Gr}(6, 10)$  as  $S^{[2]} \subset Q_3^{[2]} \subset K_{\sigma_0} \subset \mathrm{Gr}(6, V_{10})$ , where  $S$  is a general K3 surface of degree 6.*

The proof of the theorem will be based on the excess computation presented in Section 4.2: we want to apply Proposition 4.3 with  $M = \mathrm{Gr}(6, {}^2V_5)$  and  $E = {}^3E_6$ , where  $E_6$  is the dual of the tautological rank-6 subbundle on  $\mathrm{Gr}(6, {}^2V_5)$ . For this, we need to identify the rank-2 excess bundle  $F$  on  $K_{\sigma_0} \subset Q_3^{[2]}$ . We use the notation of Section 4.1.

**PROPOSITION 5.6.** — *The excess bundle  $F$  on  $Q_3^{[2]}$  is isomorphic to the tautological bundle  $T_{\mathcal{O}_{Q_3}(3)}$ .*

*Proof.* — By definition,  $F$  is a rank 2-quotient bundle of  ${}^3E_6|_{Q_3^{[2]}}$ , hence of  ${}^3V_{10} \otimes \mathcal{O}_{Q_3^{[2]}}$ .

Since  $j$  is an embedding (Proposition 5.1), the rank-2 vector bundle  $T_{\mathcal{O}_{Q_3}(3)}$  is generated by the space  ${}^3V_{10}$  of global sections by Remark 4.6. More precisely, on the

dense open set  $U \subset Q_3^{[2]}$  of pairs  $(f, g)$  such that  $(x \wedge x^{\otimes 2}) \wedge (y \wedge y^{\otimes 2}) = f \otimes g$ , the evaluation map

$$(19) \quad {}^3V_{10} \rightarrow O_{Q_3^{[2]}} \rightarrow T_{L^3}$$

factors through the composite map

$$(20) \quad {}^3V_{10} \rightarrow O_{Q_3^{[2]}} \rightarrow {}^3E_6 \rightarrow F.$$

The bundles  $F$  and  $T_{L^3}$  therefore coincide as quotients of  ${}^3V_{10} \rightarrow O_{Q_3^{[2]}}$ : the morphisms  $Q_3^{[2]} \rightarrow \text{Gr}(2, {}^3V_{10})$  that they define coincide on the dense set  $U$ , hence they are the same.

*Proof of Theorem 5.5.* — We apply Proposition 4.3: by Theorem 5.2, the locus  $Z = K_{\sigma_0}$  is smooth of codimension 18 in  $M$ , isomorphic to  $Q_3^{[2]}$ , and, by Proposition 5.6, the rank-2 excess bundle  $F$  on  $Q_3^{[2]}$  is isomorphic to  $T_{O_{Q_3}(3)}$ . The 5-dimensional variety  $K^0$  is therefore smooth with fiber over 0 the smooth zero-locus of the section  $\bar{\sigma}^0$  of  $F$ .

More precisely, the proof of Proposition 5.6 shows that the composite map (20) can be identified with the map (19) induced by the (composed) evaluation map

$${}^3V_{10} \rightarrow O_{Q_3} \xrightarrow{a} H^0(Q_3, O_{Q_3}(3)) \rightarrow O_{Q_3} \rightarrow O_{Q_3}(3).$$

The derivative  $\partial\sigma_t/\partial t|_{t=0}$  provides via the surjective map  $a$  a section of  $O_{Q_3}(3)$  that defines a general K3 surface  $S \subset Q_3$  of degree 10 and the zero-locus of  $\bar{\sigma}^0$  can be identified with  $S^{[2]} \subset Q_3^{[2]}$ .

**5.2. THE HLS DIVISOR  $D_{18}$ .** — We now construct a trivector  $\sigma_0$  whose Debarre-Voisin variety  $K_{\sigma_0}$  is smooth but has excessive dimension 10 (Corollary 5.12). The space  $V_{10}$  decomposes as  $V_7 \oplus W_3$  and  $\sigma_0$  as  $\alpha + \beta$ , with  $\alpha \in {}^3V_{\overline{7}}$  and  $\beta \in {}^3W_{\overline{3}}$ . For the  $\text{SL}(V_{10})$ -action, the point  $[\sigma_0]$  of  $\mathbf{P}({}^3V_{10})$  has stabilizer  $G_2 \times \text{SL}(3)$  and is polystable (Corollary 5.13). The main result of this section is Theorem 5.15.

**5.2.1. K3 surfaces of degree 18.** — A general polarized K3 surface  $(S, L)$  of degree 18 carries a unique rank-2 Lazarsfeld-Mukai bundle  $E_2$  (that is, stable and rigid) that satisfies  $\det(E_2) = L$  and  $c_2(E_2) = 6$ . The vector space  $V_7 := H^0(S, E_2)$  has dimension 7, the sections of  $E_2$  embed  $S$  into  $\text{Gr}(2, V_7)$ , and via this embedding,  $S$  can be described as follows ([Muk88]).

Let  $\alpha \in {}^3V_{\overline{7}}$  be general. The 7-dimensional space  $I_X \subset {}^2V_{\overline{7}}$  of Plücker linear sections given by  $u \wedge \alpha$ , for  $u \in V_7$ , cuts out a smooth fivefold  $X \subset \text{Gr}(2, V_7)$ . We have  $K_X = O_X(-3)$  and one gets a general K3 surface  $S$  of degree 18 by intersecting  $X$  with a projective space  $\mathbf{P}(W_3)$  cut out by three extra general Plücker linear sections. The subspace  $I_S = I_X \oplus W_3 \subset {}^2V_{\overline{7}}$  of Plücker linear sections vanishing on  $S$  has dimension 10.

Recall from Section 3 that we are looking for a rank-6 vector bundle  $S_6$  with determinant  $2L + 5\delta$  on  $S^{[2]}$ , in order to embed  $S^{[2]}$  in a Debarre-Voisin variety



in  $\text{Gr}(6, 10)$ . We will construct it as a direct sum

$$S_6 = S_4 \oplus S_2.$$

We first construct the vector bundle  $S_4$  as follows. The surjective evaluation map  $V_7 \otimes \mathcal{O}_S \twoheadrightarrow E_2$  induces, with the notation of Section 4.1, a surjective evaluation map

$$\text{ev}: V_7 \otimes \mathcal{O}_{S^{[2]}} \twoheadrightarrow T_{E_2}.$$

Indeed, the nonsurjectivity of  $\text{ev}$  at a point  $([V_2], [V_2^\theta])$  of  $S^{[2]}$  means that the subspace  $V_3 := \langle V_2, V_2^\theta \rangle$  of  $V_7$  has dimension 3. Then,  $S \setminus \text{Gr}(2, V_3)$  contains a subscheme of length 2. Since  $S$  is defined by linear Plücker equations in  $\text{Gr}(2, 7)$ , it contains a line, which contradicts the fact that it is general.

Set

$$(21) \quad S_4 := T_{E_2} \otimes V_7 \otimes \mathcal{O}_{S^{[2]}}.$$

The following lemma will be used later on.

**LEMMA 5.7.** — *The morphism  $S^{[2]} \rightarrow \text{Gr}(4, V_7)$  associated with the bundle  $S_4$  takes values in the set of 4-dimensional vector subspaces that are totally isotropic for the 3-form  $\alpha$  on  $V_7$ .*

*Proof.* — It is enough to check the conclusion at a general point  $([V_2], [V_2^\theta])$  of  $S^{[2]}$ . Then  $V_2$  and  $V_2^\theta$  are transverse vector subspaces of  $V_7$  which belong to  $X$ , hence satisfy  $(\wedge^2 V_2) \wedge \alpha = (\wedge^2 V_2^\theta) \wedge \alpha = 0$  in  $V_7$ . The space  $V_4 := \langle V_2, V_2^\theta \rangle \subset V_7$  is the fiber of  $S_4$  at  $([V_2], [V_2^\theta])$ . The restriction  $\alpha^\theta := \alpha|_{V_4}$  is a 3-form which is either decomposable with one-dimensional kernel or 0. If it is nonzero, all the elements  $[U_2] \in \text{Gr}(2, V_4)$  that satisfy  $U_2 \wedge \alpha^\theta = 0$  must contain the kernel of  $\alpha^\theta$  and this contradicts the equality  $V_2 \wedge V_2^\theta = \theta \wedge g$ .

Turning to the construction of  $S_2$ , we now show the following.

**LEMMA 5.8.** — *Let  $z$  be a point of  $S^{[2]}$  and set  $V_4 := S_{4,z} \subset V_7$ . Consider the composition*

$$r_z: I_S \hookrightarrow \wedge^2 V_7 \hookrightarrow \wedge^2 V_4.$$

Then,

- (a) *the kernel of  $r_z$  intersects  $I_X$  along a 4-dimensional vector space;*
- (b) *the map  $r_z$  has rank 4;*
- (c) *the cokernel of  $r_z$  can be identified with the fiber  $T_{L,z}$ .*

*Proof.* — We know from the proof of Lemma 5.7 that  $\alpha|_{V_4} = 0$ , which implies that the 2-forms  $u \wedge \alpha$ , for  $u \in V_4$ , vanish on  $V_4$ . They all belong to  $I_X$ , so we have  $\dim(\text{Ker}(r_z) \cap I_X) > 4$ . If the inequality is strict, there is a 5-dimensional subspace  $V_5$  of  $V_7$ , containing  $V_4$  such that  $u \wedge \alpha$  vanishes on  $V_4$  for  $u \in V_5$ . But  $\alpha$  then vanishes identically on  $V_5$ , which contradicts the fact that  $\alpha \in \wedge^3 V_7$  is general so has no 5-dimensional totally isotropic subspace. This proves (a).

Turning to the proof of (b) and (c), the image of  $r_z$  is contained in the space of sections of the Plücker line bundle on  $\text{Gr}(2, V_4)$  vanishing on the length-2 subscheme  $z$ ,

and this space is 4-dimensional. It remains to see that the rank of  $r_z$  is at least 4. By (a), the restriction of  $r_z$  to  $I_X \otimes I_S$  has rank 3. The image  $r_z(I_X)$  defines a conic in  $\text{Gr}(2, V_4) \times \text{Gr}(2, V_7)$  which is contained in  $X$  by definition. If  $r_z$  has rank only 3, this conic is contained in  $S$ , which contradicts the fact that  $S$  is general.

By Lemma 5.8, we have an exact sequence

$$(22) \quad 0 \rightarrow S_6^\theta \rightarrow I_S \otimes O_{S^{[2]}} \xrightarrow{r} {}^2S_4 \rightarrow T_L \rightarrow 0$$

of vector bundles on  $S^{[2]}$ . The rank-6 vector bundle  $S_6^\theta$  that it defines contains the rank-4 bundle  $S_4 \otimes I_X \otimes O_{S^{[2]}}$  (see (21)) and we thus get a rank-2 bundle

$$S_2 := S_6^\theta / S_4 \otimes W_3 \otimes O_{S^{[2]}}.$$

LEMMA 5.9. — *The vector bundle  $S_2$  has determinant  $L + 3\delta$ , the vector bundle  $S_4$  has determinant  $L + 2\delta$ , and the vector bundle  $S_6^\theta$  has determinant  $2L + 5\delta$ .*

Proof. — By (11), the determinant of  $S_4 \otimes I_X = T_{E_2}$  equals  $L - 2\delta$ , hence  $\det({}^2S_4) = 3L - 6\delta$ , while  $\det(T_L) = L - \delta$ . Together with the exact sequence (22), this implies

$$(23) \quad \det(S_6^\theta) = L - \delta + (3L - 6\delta) = 2L + 5\delta.$$

We then get

$$\det(S_2) = \det(S_6^\theta) - \det(S_4) = 2L + 5\delta - (L + 2\delta) = L + 3\delta,$$

which proves the lemma.

Set  $S_6 := S_4 \otimes S_2$ . It is a subbundle of the trivial rank-10 bundle on  $S^{[2]}$  with fiber  $I_X \otimes W_3$ , and this defines a morphism

$$(24) \quad \varphi = (\varphi_1, \varphi_2): S^{[2]} \rightarrow \text{Gr}(4, V_7) \times \text{Gr}(2, W_3) \times \text{Gr}(6, V_7 \otimes W_3).$$

LEMMA 5.10. — *If the surface  $S$  is general, the morphism  $\varphi$  is injective and the Plücker line bundle restricts to  $2L - 5\delta$  on  $S^{[2]}$ .*

Proof. — It suffices to show that the first component  $\varphi_1$  of  $\varphi$  is injective. Let  $z \in S^{[2]}$  and let  $[V_4] := \varphi_1(z) = S_{4,z} \otimes V_7$ . As we saw in the proof of Lemma 5.8, the data  $V_4 \otimes V_7$  determine a (possibly singular) conic  $C$  in  $\text{Gr}(2, V_4) \times X$  and the image of the map  $I_S \otimes H^0(C, O_C(2))$  has rank at least 1, as otherwise the rank of the map  $I_S \otimes {}^2V_4$  would be only 3. A nonzero linear form on a conic vanishes on a line contained in the conic or along a subscheme of length 2. Since a general  $S$  contains no lines, there is at most one length-2 subscheme of  $S$  on this conic.

The pullback of the Plücker line bundle to  $S^{[2]}$  was computed in Lemma 5.9.

We will see in Proposition 5.16 that  $\varphi$  is actually an embedding.

The tautological quotient bundle on the Grassmannian  $\text{Gr}(6, V_7 \otimes W_3)$  pulls back via  $\varphi$  to a rank-4 vector bundle on  $S^{[2]}$  generated by 10 sections and with determinant  $2L - 5\delta$  (Lemma 5.9).

5.2.2. *The  $G_2$ – $\mathrm{SL}(3)$ -invariant trivector.* — We let  $V_{10} := V_7 \oplus W_3$  and we take as before  $\alpha \in {}^3V_7$  general. If  $\beta$  is a generator of  ${}^3W_3$ , we let  $\sigma_0 := \alpha + \beta$ .

If  $S$  is a K3 surface as above, the image  $\varphi(S^{[2]})$  (see (24)) is, by Lemma 5.7 and the fact that any 2-dimensional subspace of  $W_3$  is totally isotropic for  $\beta$ , contained in the Debarre-Voisin variety  $K_{\sigma_0}$ . We first determine this variety.

**PROPOSITION 5.11.** — *Let  $V_{10}$  and  $\sigma_0 = \alpha + \beta$  be as above. Any 6-dimensional subspace  $W_6 \subset V_{10}$  which is totally isotropic for  $\sigma_0$  is of the form  $W_4 \oplus W_2$ , where  $W_4 \subset V_7$  is totally isotropic for  $\alpha$  and  $W_2 \subset W_3$  is of dimension 2 (hence totally isotropic for  $\beta$ ).*

*Conversely, any such space is totally isotropic for  $\sigma_0$ .*

*Proof.* — Denote by  $p_1: W_6 \rightarrow V_7$  and  $p_2: W_6 \rightarrow W_3$  the two projections. We first claim that  $\mathrm{rank}(p_1) \leq 5$ . Indeed, on  $W_6$ , we have  $p_1\alpha = p_2\beta$  and, as  $\beta$  is decomposable,  $p_2\beta$  vanishes on a hyperplane of  $W_6$ . But  $\alpha$  does not vanish on any 5-dimensional subspace of  $V_7$ , which shows that  $p_1$  must have a nontrivial kernel.

We next claim that  $p_1$  cannot have rank 5. Indeed, if it does,  $p_1\alpha$  is nonzero, so  $p_2\beta$  is nonzero. But the kernel of  $p_2\beta$  is then  $\mathrm{Ker}(p_2)$  and it must be equal to the kernel of  $p_1\alpha$ , that is,  $p_1^{-1}(\mathrm{Ker}(\alpha|_{\mathrm{Im}(p_1)}))$ . As  $p_1$  has rank  $\leq 5$ , it follows that there is a nonzero  $u$  in  $\mathrm{Ker}(p_1) \setminus \mathrm{Ker}(p_2)$ , which is absurd. From these two facts, we conclude that  $p_1$  has rank at most 4. A similar argument shows that  $p_2$  has rank at most 2, that is,  $p_2\beta = 0$ , and thus  $p_1\alpha = 0$ , that is,  $\alpha|_{\mathrm{Im}(p_1)} = 0$ . Finally, as  $W_6 = p_1(W_6) + p_2(W_6)$ , we conclude that we must have equality.

**COROLLARY 5.12.** — *The Debarre-Voisin variety  $K_{\sigma_0}$  is smooth of dimension 10 and splits as a product  $K_{\alpha}^0 \times \mathbf{P}(W_3)$ .*

*Proof.* — Let  $K_{\alpha}^0 \subset \mathrm{Gr}(4, V_7)$  be the variety of subspaces  $V_4 \subset V_7$  that are totally isotropic for  $\alpha$ . It is the zero-locus of a general section of the globally generated, rank-4, bundle  ${}^3E_4$ , hence it is smooth of dimension 8. Finally, Proposition 5.11 implies  $K_{\sigma_0} = K_{\alpha}^0 \times \mathbf{P}(W_3)$ .

5.2.3. *Stabilizer.* — The computation of the stabilizer of our trivector  $\sigma_0$  is a consequence of Proposition 5.11.

**COROLLARY 5.13.** — *The stabilizer of the trivector  $\sigma_0 = \alpha + \beta$  in  $\mathrm{SL}(V_{10})$  is  $G_2 \times \mathrm{SL}(3)$ , where  $G_2$  is the stabilizer of  $\alpha$  and  $\mathrm{SL}(3)$  is the stabilizer of  $\beta$ , and the point  $[\sigma_0]$  of  $\mathbf{P}({}^3V_{10})$  is polystable for the  $\mathrm{SL}(V_{10})$ -action.*

*Proof.* — The stabilizer  $G_{\sigma_0}$  of  $[\sigma_0]$  obviously contains  $G_2 \times \mathrm{SL}(3)$ . For the reverse inclusion, it suffices to show that  $G_{\sigma_0}$  preserves the decomposition

$$(25) \quad V_{10} = V_7 \oplus W_3.$$

Now  $G_{\sigma_0}$  acts on  $\mathrm{Gr}(6, V_{10})$  preserving the Debarre-Voisin variety  $K_{\sigma_0}$ , which is a product  $K_{\alpha}^0 \times \mathbf{P}(W_3)$  by Proposition 5.11. But the connected component of the automorphism group of a product of projective varieties is the product of the connected

components of its factors. Thus  $G_{\sigma_0}$  acts on both factors  $K_\alpha^0$  and  $\mathbf{P}(W_{\bar{3}})$ . This implies that it preserves the direct sum decomposition (25).

To prove the polystability of  $[\sigma_0]$ , we invoke as before Luna’s results. By [Lun75, Cor. 1], the  $\mathrm{SL}(V_{10})$ -orbit of  $\sigma_0$  in  ${}^3V_{10}$  is closed if and only if its orbit under the normalizer in  $\mathrm{SL}(V_{10})$  of its stabilizer  $G_{\sigma_0} = G_2 \times \mathrm{SL}(3)$  is closed. Any element of this normalizer must preserve the direct sum decomposition  $V_{10} = V_7 \oplus W_3$ , hence can be written as  $\lambda g \oplus \lambda^0 g^0$ , with  $g \in N_{\mathrm{SL}(V_7)}(G_2)$ ,  $g^0 \in \mathrm{SL}(3)$ , and  $\lambda^7 \lambda^{03} = 1$ . The group  $G_2$  having finite index in its normalizer  $N_{\mathrm{SL}(V_7)}(G_2)$  (Proposition 5.4), the closedness of the  $\mathrm{SL}(V_{10})$ -orbit is equivalent to the closedness of the orbit for the  $\mathbf{C}^*$ -action  $t \cdot (\alpha + \beta) = t^3 \alpha + t^{-7} \beta$ . This holds because neither  $\alpha$  nor  $\beta$  is 0. This proves that  $[\sigma_0]$  is polystable.

5.2.4. *Degenerations and excess bundles.* — The Debarre-Voisin variety  $K_{\sigma_0}$  is, by Corollary 5.12, smooth of codimension 14 in  $\mathrm{Gr}(6, V_{10})$  and isomorphic to  $K_\alpha^0 \times \mathbf{P}(W_{\bar{3}})$ . It is the zero-locus of a section of the rank-20 vector bundle  ${}^3E_6$  on  $\mathrm{Gr}(6, V_{10})$ , hence it carries an excess bundle  $F$  of rank 6, described in the following proposition.

PROPOSITION 5.14. — *One has an isomorphism  $F \simeq \mathcal{O}_2 \otimes (({}^2E_4)/\mathcal{O}_3)$  between vector bundles on  $K_{\sigma_0} \simeq K_\alpha^0 \times \mathbf{P}(W_{\bar{3}})$ , where*

- the bundle  $\mathcal{O}_2$  is the pullback of the rank-2 quotient bundle on  $\mathbf{P}(W_{\bar{3}})$ ,
- the bundle  $E_4$  is the pullback of the dual of the tautological rank-4 subbundle on  $K_\alpha^0 \times \mathrm{Gr}(4, V_7)$ ,
- the bundle  $\mathcal{O}_3$  is the pullback of the rank-3 quotient bundle on  $K_\alpha^0 \times \mathrm{Gr}(4, V_7)$ ,
- the injective map  $\mathcal{O}_3 \hookrightarrow {}^2E_4$  is induced by the composite map

$$V_7 \otimes_{\mathcal{O}_{K_{\sigma_0}}} \alpha \cdot \gamma \hookrightarrow {}^2V_{\bar{7}} \otimes_{\mathcal{O}_{K_{\sigma_0}}} \gamma \hookrightarrow {}^2E_4.$$

Proof. — The excess bundle  $F$  is by definition the cokernel of

$$d\sigma_0: T_{\mathrm{Gr}(6, V_{10})} \hookrightarrow {}^3E_6.$$

Along  $K_{\sigma_0}$ , Proposition 5.11 tells us that  $E_6 = E_4 \oplus \mathcal{O}_2$ , so that

$$(26) \quad {}^3E_6 = {}^3E_4 \oplus ({}^2E_4 \oplus \mathcal{O}_2) \oplus (E_4 \oplus {}^2\mathcal{O}_2).$$

On the other hand, the tangent bundle  $T_{\mathrm{Gr}(6, V_{10})}$  is isomorphic to  $E_6 \oplus E_4$  and  $d\sigma_0$  is the composition

$$(27) \quad E_6 \oplus E_4 \hookrightarrow E_6 \oplus {}^2E_6 \hookrightarrow {}^3E_6,$$

where the second map is the wedge product map and the first map is induced by the factorization

$$E_4 \hookrightarrow {}^2E_6$$

of  $(\sigma_0) \cdot \gamma: V_{10} \otimes_{\mathcal{O}_{K_{\sigma_0}}} \gamma \hookrightarrow {}^2E_6$ . We now decompose  $T_{\mathrm{Gr}(6, V_{10})} = E_6 \oplus E_4$  along  $K_{\sigma_0}$  as

$$(28) \quad T_{\mathrm{Gr}(6, V_{10})} = (E_4 \oplus \mathcal{O}_2) \oplus (\mathcal{O}_3 \oplus E_1) = (E_4 \oplus \mathcal{O}_3) \oplus (\mathcal{O}_2 \oplus \mathcal{O}_3) \oplus (E_4 \oplus E_1) \oplus (\mathcal{O}_2 \oplus E_1).$$

The composite map (27) maps (28) to (26) preserving the decompositions and it is easy to see that the only piece with a nontrivial quotient is

$$\mathcal{O}_2 \otimes \mathcal{O}_3 \rightarrow {}^2E_4 \otimes \mathcal{O}_2,$$

where the map is induced by  $\alpha \gamma$ . This completes the proof.

The following theorem is the main result of this section.

**THEOREM 5.15.** — *Let  $(\sigma_t)_{t \in \Delta}$  be a general 1-parameter deformation. Let  $K \rightarrow \Delta$  be the associated family of Debarre-Voisin varieties and let  $K^0$  be the irreducible component of  $K$  that dominates  $\Delta$ . Then  $K^0 \rightarrow \Delta$  is smooth and its central fiber is isomorphic to  $S^{[2]}$ , embedded in  $\text{Gr}(6, 10)$  as in Lemma 5.10, where  $S$  is a general K3 surface of degree 18.*

*Proof.* — The proof follows the same line as the proof of Theorem 5.5. We apply Proposition 4.3 and conclude that the central fiber is the zero-locus of a general section of the excess bundle  $F$  on  $K_{\sigma_0}$ . It is in particular smooth since the excess bundle is generated by its sections. The proof is completed using Proposition 5.14 and the following proposition.

**PROPOSITION 5.16.** — *Let  $S \subset X \subset \text{Gr}(2, V_7)$  be a general K3 surface of degree 18. The morphism  $\varphi$  from Lemma 5.10 induces an isomorphism between  $S^{[2]}$  and the zero-locus in  $K_{\sigma_0}$  of a general section of the excess bundle  $F = \mathcal{O}_2 \otimes (({}^2E_4)/\mathcal{O}_3)$ .*

*Proof.* — The space of global sections of  $F$  is equal to  $W_{\bar{3}} \otimes ({}^2V_{\bar{7}}/V_7)$ . We identify  $V_7$  with  $I_X$ . Choosing a general section  $s$  of  $F$ , we thus get a K3 surface  $S \subset X$  defined by the three-dimensional space of sections  $\text{Im}(W_3 \rightarrow H^0(X, \mathcal{O}_X(1)))$ .

Lemma 5.10 and the lemma below imply that  $\varphi$  is a bijective morphism between  $S^{[2]}$  and the smooth zero-locus of  $s$ . By Zariski's Main Theorem, it is an isomorphism, which proves the proposition.

**LEMMA 5.17.** — *The zero-locus of  $s$  coincides with the image  $\varphi(S^{[2]}) \subset K_{\sigma_0}$ .*

*Proof.* — Let  $[V_4] \subset K_{\alpha}^0$  and let  $W_2 \subset W_3$  be of dimension 2. Assume that the section  $s$  of  $F$  vanishes at  $([V_4], [W_2])$ . Lifting  $s$  to an element of  $\text{Hom}(W_3, {}^2V_{\bar{7}})$ , this means by the description of  $F$  given in Proposition 5.14 that the image of the two-dimensional space  $s(W_2) \subset {}^2V_{\bar{7}}$  in  ${}^2V_{\bar{4}}$  is contained in the image  $V_3 \subset {}^2V_{\bar{4}}$  of the natural map  $\alpha \gamma : V_7/V_4 \rightarrow {}^2V_{\bar{4}}$ .

The intersection of  $X$  with the Grassmannian  $\text{Gr}(2, V_4)$  is defined by the three Plücker equations given by  $V_3$ . The existence of  $W_2$  as above is equivalent to saying that  $V_3$  and  $W_3$  span only a subspace of dimension 4 of  ${}^2V_{\bar{4}}$ , or, equivalently, that the length of the subscheme of  $\text{Gr}(2, V_4)$  defined by  $V_3$  and  $W_3$  is at least 2. This subscheme is equal to  $S \setminus \text{Gr}(2, V_4)$ . Furthermore, the space  $W_2$  is contained in the subspace of  $W_3$  vanishing on the conic defined by  $X \setminus \text{Gr}(2, V_4)$ . Looking at the construction of the injective morphism  $\varphi: S^{[2]} \rightarrow K_{\sigma_0}$  given in Lemma 5.10, we conclude that  $\varphi(S^{[2]})$  is

contained in the vanishing locus of  $s$ . As both are fourfolds of the same degree, they must agree. This proves the lemma.

### 6. THE HLS DIVISOR $D_{10}$

Let  $(S, L)$  be a general K3 surface of degree 10. As we saw in Section 3, the Hilbert square  $S^{[2]}$  with the polarization  $2L - 3\delta$  is a limit of Debarre-Voisin varieties. We will first construct a rank-4 vector bundle on  $S^{[2]}$  mapping it to  $\text{Gr}(6, 10)$  and then construct a trivector  $\sigma_0$  vanishing on the image. It turns out that  $\sigma_0$  is  $\text{SL}(2)$ -invariant and that the Debarre-Voisin variety  $K_{\sigma_0}$  only depends on a certain  $\text{SL}(2)$ -invariant Fano threefold  $X \subset \text{Gr}(2, 5)$  in which  $S$  naturally sits. The rank-4 vector bundle is not globally generated and  $K_{\sigma_0}$  is not irreducible in this case, but we nevertheless conclude in Theorem 6.14 that a 1-parameter degeneration to  $\sigma_0$  expresses a general pair  $(S^{[2]}, 2L - 3\delta)$  as a limit of Debarre-Voisin varieties.

**6.1. THE FANO THREEFOLD  $X$  AND K3 SURFACES OF DEGREE 10.** — Let  $V_5$  be a 5-dimensional vector space and let  $W_3 \subset V_5$  be a general 3-dimensional vector subspace. Let  $X \subset \text{Gr}(2, V_5)$  be the Fano threefold of index 2 and degree 5 defined by the Plücker equations in  $W_3$ . It has no moduli, the variety of lines contained in  $X$  is a smooth surface isomorphic to  $\mathbf{P}^2$  ([Isk77, Cor. (6.6)(ii)]), and the automorphism group of  $X$  is  $\text{PGL}(2)$ . In fact, if  $U_2$  is the standard self-dual irreducible representation of  $\text{SL}(2)$  and  $V_5 := \text{Sym}^4 U_2$ , there is a direct sum decomposition

$$(29) \quad V_{10} := \text{Sym}^2 V_5 = V_7 \oplus W_3$$

into irreducible representations, with  $V_7 = \text{Sym}^6 U_2$  and  $W_3 = \text{Sym}^2 U_2$ , so that  $X$  is the unique  $\text{SL}(2)$ -invariant section of  $\text{Gr}(2, V_5)$  by a linear subspace of codimension 3 ([CS16, §7.1]).

A general polarized K3 surface  $(S, L)$  of degree 10 is obtained as a quadratic section of  $X$  ([Muk88]). Let  $E_2$  be the restriction to  $X$  of the dual of the tautological subbundle on  $\text{Gr}(2, V_5)$  (it is stable and rigid). Lemma 4.2 gives us a rank-4 vector bundle  $K_{E_2}$  on  $X^{[2]}$  whose restriction  $\mathcal{O}_4$  to  $S^{[2]}$  satisfies  $H^0(S^{[2]}, \mathcal{O}_4) \cong V_5$  and  $\det(\mathcal{O}_4) = 2L - 3\delta$ .

**REMARK 6.1.** — Using the package Schubert2 of Macaulay2 ([GS]; the code can be found in [Han]), one checks that the vector bundle  $\mathcal{O}_4$  has the same Segre numbers

$$s_1^4 = 1452, \quad s_1^2 s_2 = 825, \quad s_1 s_3 = 330, \quad s_2^2 = 477, \quad s_4 = 105$$

as the rank-4 tautological quotient bundle on Debarre-Voisin varieties  $K_\sigma \subset \text{Gr}(6, 10)$ , computed in [DV10, (11)]. The pair  $(S^{[2]}, \mathcal{O}_4)$  is therefore a candidate to be a limit of Debarre-Voisin varieties (as a subvariety of  $\text{Gr}(6, 10)$ ). One difficulty in the present case is that the vector bundle  $\mathcal{O}_4$  is not generated by its sections (Proposition 6.2(b)). This explains why in Theorem 1.4, the central fiber is only birationally isomorphic to  $S^{[2]}$ .

Since  $W_3$  has no rank-2 elements, for all  $[x] \in \mathbf{P}(V_5)$ , the subspace

$$x \wedge W_3 \subset {}^3V_5 \subset {}^2V_5^-$$

has dimension 3. Set

$$V_{4,[x]} := x \wedge V_5 \subset {}^2V_5.$$

We have  $hV_{4,[x]}, x \wedge W_3 i = 0$ . Setting

$$V_{7,[x]} := (x \wedge W_3)^\perp \subset {}^2V_5,$$

we thus have  $V_{4,[x]} \perp V_{7,[x]} \subset {}^2V_5$ . Finally, we set

$$(30) \quad K_1 := \pi[W_6] \subset \text{Gr}(6, {}^2V_5) \times \mathcal{G}[x] \subset \mathbf{P}(V_5) \times V_{4,[x]} \times W_6 \times V_{7,[x]} \mathcal{G}.$$

We observe that  $K_1$  is smooth of dimension 6.

### PROPOSITION 6.2

(a) *The space  ${}^2V_5$  of global sections of the rank-4 vector bundle  $K_{E_2}$  on  $X^{[2]}$  induces a birational map*

$$\varphi: X^{[2]} \dashrightarrow K_1 \subset \text{Gr}(6, {}^2V_5)$$

*which is regular outside the 4-dimensional locus in  $X^{[2]}$  consisting of length-2 subschemes contained in a line contained in  $X$ .*

(b) *If  $S$  is general, the restriction of  $\varphi$  to  $S^{[2]}$  is the map induced by the global sections of  $\mathcal{O}_4$  and it is regular outside a smooth surface isomorphic to the surface of lines in  $X$ .*

*Proof.* — At a point of  $X^{[2]}$  corresponding to different vector subspaces  $V_2, V_2^\theta \subset V_5^-$ , the evaluation map of  $K_{E_2}$  is the restriction

$${}^2V_5 \rightarrow V_2^- \oplus V_2^{\theta-}.$$

It is surjective if and only if  $V_2 \setminus V_2^\theta = \pi \mathcal{O}_g$ , which means exactly that the line joining  $[V_2]$  and  $[V_2^\theta]$  is not contained in  $\text{Gr}(2, V_5^-)$  or, equivalently, in  $X$ .

At a nonreduced point  $z = ([V_2], u)$ , where  $u \in \text{Hom}(V_2, V_5^-/V_2)$ , the fiber  $K_{E_2,z}$  appears in an extension

$$0 \rightarrow \text{Sym}^2 V_2^- \rightarrow K_{E_2,z} \xrightarrow{u} {}^2V_2^- \rightarrow 0.$$

The composition  $r: {}^2V_5 \rightarrow {}^2V_2^-$  of the evaluation map  ${}^2V_5 \rightarrow K_{E_2,z}$  at  $z$  with  $u$  is given by restriction, hence is surjective, and its kernel maps to  $\text{Sym}^2 V_2^-$  via the composite map

$$\text{Ker}(r) \rightarrow (V_5^-/V_2) \oplus V_2^- \xrightarrow{u} \text{Id} \oplus V_2^- \rightarrow \text{Sym}^2 V_2^-.$$

This composite map (hence also the evaluation map at  $z$ ) is surjective if and only if  $u$  has (maximal) rank 2, which means exactly that the line spanned by  $z$  is contained in  $\text{Gr}(2, V_5^-)$  or, equivalently, in  $X$ . This proves the first part of (a), and also (b), since a general  $S$  contains no lines.

It remains to prove that  $\varphi$  is birational onto  $K_1$ . Let  $[W_6] = \varphi([V_2], [V_2^\theta])$ . If  $V_2$  and  $V_2^\theta$  are complementary, they span a subspace  $V_4^- \subset V_5^-$  of dimension 4. Denoting

by  $x \in V_5$  a linear form defining  $V_{4^-}$ , one has  $V_{4,[x]} \perp W_6$ . Next,  $W_3/V_{4^-}$  vanishes on  ${}^2V_2$  and  ${}^2V_2^0$ , hence

$$W_3/V_{4^-} \perp V_{2^-} \perp V_{2^0}.$$

The vanishing of  $W_6$  in  $V_{2^-} \perp V_{2^0}$  thus implies that  $W_6/V_{4^-}$  is orthogonal to  $W_3/V_{4^-}$  for the natural pairing on  ${}^2V_4$ . Equivalently,  $W_6$  is orthogonal to  $x \wedge W_3$  for the pairing between  ${}^2V_5$  and  ${}^3V_5$ . This shows that  $\text{Im}(\varphi)$  is contained in  $K_1$ .

Conversely, let  $[W_6]$  be a general element of  $K_1$ . Then

$$V_{4,[x]} \perp W_6 \perp V_{7,[x]}$$

for some  $[x] \in \mathbf{P}(V_5)$ , so that  $W_6/V_{4^-}$  has dimension 2, where  $V_{4^-}$  is defined by  $x$ .

Since  $W_6$  is orthogonal to  $x \wedge W_3$ , it follows that  $W_6/V_{4^-}$  is orthogonal to  $W_3/V_{4^-}$ . The 3-dimensional space  $W_3/V_{4^-} \perp {}^2V_4$  defines a conic  $X \subset \text{Gr}(2, V_{4^-})$  in the Grassmannian  $\text{Gr}(2, V_{4^-})$  and it is easy to check that a 2-dimensional subspace  $W_2^0 \perp {}^2V_4$  cuts out two points on this conic if and only if  $W_2^0 \perp W_3/V_{4^-}$ . This shows that  $K_1$  is contained in  $\text{Im}(\varphi)$ .

The proof that  $\varphi$  is birational follows from the last argument. Indeed, pairs of points in the conic above correspond bijectively to two-dimensional subspaces of  $W_3/V_{4^-}$ , at least if the conic is nonsingular.

**6.2. THE  $\text{SL}(2)$ -INVARIANT TRIVECTOR.** — We now construct a trivector  $\sigma_0$  on  $V_{10} = {}^2V_5$  such that  $K_1$  is a generically smooth component of the Debarre-Voisin variety  $K_{\sigma_0}$ .

**PROPOSITION 6.3.** — *There exists a unique trivector  $\sigma_0 \in {}^3V_{10}$  such that, for any  $[x] \in \mathbf{P}(V_5)$ , the restriction  $\sigma_0|_{V_{7,[x]}}$  comes from a nonzero element of  ${}^3(V_{7,[x]}/V_{4,[x]})$ . This trivector is invariant under the  $\text{SL}(2)$ -action described in Section 6.1.*

*Proof.* — Let  $V_4$  be the rank-4 vector bundle on  $\mathbf{P}(V_5)$  image of the bundle map  $V_5 \otimes_{\mathbf{P}(V_5)} \mathcal{O}_{\mathbf{P}(V_5)}(-1) \rightarrow {}^2V_5 \otimes_{\mathbf{P}(V_5)} \mathcal{O}_{\mathbf{P}(V_5)}$  given by wedge product. We define another vector bundle  $V_7$  on  $\mathbf{P}(V_5)$  by the exact sequence

$$(31) \quad 0 \rightarrow V_7 \rightarrow {}^2V_5 \otimes_{\mathbf{P}(V_5)} \mathcal{O}_{\mathbf{P}(V_5)} \xrightarrow{a} W_{3^-} \otimes_{\mathbf{P}(V_5)} \mathcal{O}_{\mathbf{P}(V_5)}(1) \rightarrow 0,$$

where the map  $a$  at the point  $[x]$  is the wedge product map with  $x$  with value in  ${}^3V_5$ , followed by the natural map  ${}^3V_5 \rightarrow {}^2V_5 \otimes W_{3^-}$ . The fibers of  $V_4$  and  $V_7$  at  $[x] \in \mathbf{P}(V_5)$  are the vector subspaces

$$V_{4,[x]} \perp V_{7,[x]} \perp {}^2V_5$$

defined previously. There is an exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbf{P}(V_5)}(-2) \rightarrow V_5 \otimes_{\mathbf{P}(V_5)} \mathcal{O}_{\mathbf{P}(V_5)}(-1) \rightarrow V_4 \rightarrow 0$$

from which, together with (31), we deduce  $\det(V_4) \otimes \det(V_7) \otimes \mathcal{O}_{\mathbf{P}(V_5)}(-3)$ , hence

$$\det(V_7/V_4) = \mathcal{O}_{\mathbf{P}(V_5)}.$$

The line bundle  ${}^3(V_7/V_4)$  thus has a nowhere vanishing section  $\omega$ .



We set  $E_7 := V_7^-$ . Via the inclusion  ${}^3(V_7/V_4) \rightarrow {}^3E_7$ , the section  $\omega$  provides a section of  ${}^3E_7$ . By Lemma 6.4 below, this section defines a unique trivector  $\sigma_0$  with the desired properties, which proves the proposition.

LEMMA 6.4. — *The restriction map*

$${}^3({}^2V_5^-) \otimes_{\mathbf{P}(V_5)} \rightarrow {}^3E_7$$

*induces an isomorphism on global sections.*

*Proof.* — The dual

$$(32) \quad 0 \rightarrow W_3 \otimes_{\mathbf{P}(V_5)}(1) \rightarrow {}^2V_5^- \otimes_{\mathbf{P}(V_5)} \rightarrow E_7 \rightarrow 0$$

of the exact sequence (31) implies that the bundle  $G$  defined by the exact sequence

$$0 \rightarrow G \rightarrow {}^3({}^2V_5^-) \otimes_{\mathbf{P}(V_5)} \rightarrow {}^3E_7 \rightarrow 0$$

has a filtration with graded pieces

$$W_3 \otimes {}^2E_7(1), \quad {}^2W_3 \otimes E_7(1), \quad {}^3W_3 \otimes_{\mathbf{P}(V_5)}(3).$$

It thus suffices to show that these three bundles have vanishing  $H^0$  and  $H^1$ .

This is obvious for the last bundle. For the second bundle, this follows from (32). For the first bundle, we take the second exterior power of (32) tensored by  $\mathcal{O}_{\mathbf{P}(V_5)}(1)$  and get

$$0 \rightarrow G^\theta \rightarrow {}^2({}^2V_5^-) \otimes_{\mathbf{P}(V_5)}(1) \rightarrow ({}^2E_7)(1) \rightarrow 0,$$

where the bundle  $G^\theta$  is an extension

$$(33) \quad 0 \rightarrow {}^2W_3 \otimes_{\mathbf{P}(V_5)}(3) \rightarrow G^\theta \rightarrow W_3 \otimes E_7(2) \rightarrow 0.$$

We then get the desired vanishing

$$H^0(\mathbf{P}(V_5), {}^2E_7(1)) = 0 = H^1(\mathbf{P}(V_5), {}^2E_7(1))$$

from the vanishings  $H^1(\mathbf{P}(V_5), G^\theta) = H^2(\mathbf{P}(V_5), G^\theta) = 0$  which follow from (33) and the similar vanishings for  $E_7(2)$ .

The threefold  $X$  discussed in Section 6.1 embeds in  $\text{Gr}(3, {}^2V_5)$  as follows: a point  $[V_2] \in X$  parametrizes a vector subspace  $V_2 \subset V_5^-$  of dimension 2. Let  $V_3 \subset V_5$  be the kernel of the restriction map  $V_5 \rightarrow V_2^-$ . Then  $U_3 := {}^2V_3 \subset {}^2V_5$  has dimension 3 and it determines  $V_2$ .

PROPOSITION 6.5

(a) *The threefold  $X \subset \text{Gr}(3, {}^2V_5)$  is contained in the singular locus of the Plücker hypersurface  $X_{\sigma_0}$ .*

(b) *The rational map  $\varphi: X^{[2]} \rightarrow \text{Gr}(6, {}^2V_5)$  defined in Proposition 6.2 sends a general pair  $([V_2], [V_2^\theta])$  to the subspace  $\langle U_3, U_3^\theta \rangle \subset {}^2V_5$ .*

(c) *The variety  $K_1$  is contained in the Debarre-Voisin variety  $K_{\sigma_0}$ .*

*Proof.* — We first observe the following.

**LEMMA 6.6.** — *Let  $[V_2] \in X$  and let  $V_3$  and  $U_3 = {}^2V_3$  be as above. For any  $[x] \in \mathbf{P}(V_3)$ , we have  $U_3 \subset V_{7,[x]}$  and  $\dim(U_3 \setminus V_{4,[x]}) = 2$ .*

*Proof.* — We want to show that  $x \wedge W_3$  is orthogonal to  $U_3$ , which means that for any  $w \in W_3$  and any  $u \in U_3$ , one has  $x \wedge w \wedge u = 0$  in  ${}^5V_5$ . This is clear, since  $x \wedge u \in {}^3V_3$  and  $w$  vanishes on  $V_2$ , hence belongs to  $V_3 \wedge V_5$ . The second statement is obvious because  $U_3 \setminus V_{4,[x]} = x \wedge V_3$ .

We now show item (a) of the proposition. Let again  $[V_2] \in X$ , let  $V_3$  and  $U_3$  be as above, and let  $[x] \in \mathbf{P}(V_3)$ . As shown in the proof of [DV10, Prop. 3.1], the intersection  $X_{\sigma_0} \setminus \text{Gr}(3, V_{7,[x]})$  is singular at a point  $U_3^\theta \subset V_{7,[x]}$  if  $\sigma_0$  vanishes on  ${}^2U_3^\theta \wedge V_{7,[x]}$ . This happens if  $\dim(U_3^\theta \setminus V_{4,[x]}) > 2$  because, by construction, the 3-form  $\sigma_0|_{V_{7,[x]}}$  is the wedge product of 3 linear forms that vanish on  $V_{4,[x]}$ . Lemma 6.6 says that  $U_3 \subset V_{7,[x]}$  satisfies this condition.

We thus proved that  $X_{\sigma_0} \setminus \text{Gr}(3, V_{7,[x]})$  is singular at the point  $[U_3]$ , for any  $[x] \in \mathbf{P}(V_3)$ . This means that the Zariski tangent space  $T_{X_{\sigma_0}, [U_3]}$  contains  $T_{\text{Gr}(3, V_{7,[x]}), [U_3]}$  for any  $[x] \in \mathbf{P}(V_3)$ . We then use the following fact to conclude that  $X$  is contained in the singular locus of  $X_{\sigma_0}$ .

**LEMMA 6.7.** — *The vector subspaces  $T_{\text{Gr}(3, V_{7,[x]}), [U_3]} \subset T_{\text{Gr}(3, {}^2V_5), [U_3]}$ , for  $[x] \in \mathbf{P}(V_3)$ , span the tangent space  $T_{\text{Gr}(3, {}^2V_5), [U_3]}$ .*

*Proof.* — We have

$$T_{\text{Gr}(3, V_{7,[x]}), [U_3]} = \text{Hom}(U_3, V_{7,[x]}/U_3) \quad \text{and} \quad T_{\text{Gr}(3, {}^2V_5), [U_3]} = \text{Hom}(U_3, {}^2V_5/U_3),$$

so the lemma is equivalent to the fact that the  $V_{7,[x]}$ , for  $[x] \in \mathbf{P}(V_3)$ , span  ${}^2V_5$ . As  $V_{7,[x]} = x \wedge W_3^\perp$ , the statement is equivalent to  $\bigcap_{x \in V_3} (x \wedge W_3) = 0$ , which is obvious.

By Proposition 4.4(b), there is a rational map  $f: X^{[2]} \dashrightarrow K_{\sigma_0}$ . Let us compare  $\varphi$  and  $f$ . The map  $\varphi$  sends  $([V_2], [V_2^\theta])$  to the kernel of the map  ${}^2V_5 \rightarrow V_{2^-} \oplus V_{2^\theta}$ . Since  $V_3$  vanishes in  $V_{2^-}$ , the image of  $U_3 = {}^2V_3$  vanishes in  $V_{2^-} \oplus V_{2^\theta}$  and similarly for  $U_3^\theta$ . It follows that

$$U_3 + U_3^\theta = \text{Ker}({}^2V_5 \rightarrow V_{2^-} \oplus V_{2^\theta})$$

when both spaces have the same expected dimension 6. This proves items (b) and (c).

**6.3. STABILIZER, DEGENERATIONS, AND EXCESS BUNDLES.** — Recall that  $X = \text{Gr}(2, V_5^-)$  is a Fano threefold of index 2 and degree 5. We have defined a trivector  $\sigma_0$  on  $V_{10} = {}^2V_5$  such that the smooth sixfold  $K_1$  defined in (30) is contained in  $K_{\sigma_0}$  (Proposition 6.5(c)).

The birational map  $\varphi: X^{[2]} \dashrightarrow K_1$  defined in Proposition 6.2 induces an isomorphism between a dense open subset  $U \subset X^{[2]}$  and its open image. We identify  $U$  with  $\varphi(U)$ .

**PROPOSITION 6.8**

(a) *The Debarre-Voisin variety  $K_{\sigma_0}$  is smooth of dimension 6 along  $U$ , hence  $K_1$  is a generically smooth irreducible component of  $K_{\sigma_0}$ .*

(b) *On  $U$ , the excess bundle  $F$  and the tautological bundle  $T_{O_X(2)}$  coincide as quotients of  ${}^3V_{10} \otimes O_U$ .*

Before giving the proof, let us note the following consequence.

**COROLLARY 6.9.** — *The neutral component of the stabilizer of  $\sigma_0$  for the  $\mathrm{SL}(V_{10})$ -action is the group  $\mathrm{SL}(2)$ .*

We do not prove that the point  $[\sigma_0]$  is polystable.

*Proof.* — An element  $g$  of this stabilizer acts on the Debarre-Voisin variety  $K_{\sigma_0}$  and the neutral component acts preserving the irreducible components. By Proposition 6.8, it acts on  $K_1$ . But  $K_1$  is a  $\mathbf{P}^2$ -bundle over  $\mathbf{P}(V_5)$ , so  $g$  (via its action on  $\mathrm{Gr}(6, {}^2V_5)$ ) has to act on the base  $\mathbf{P}(V_5)$  and this action lifts to the projective bundle  $K_1$ . One easily concludes that  $g$  defines an automorphism of  $\mathbf{P}(V_5)$  whose induced action on  $\mathrm{Gr}(2, V_5^-)$  preserves  $X$ .

The proof of Proposition 6.8 will use a few more preparatory steps. We start with the following easy lemma.

**LEMMA 6.10.** — *For any  $[W_6] \in K_{\sigma_0}$  and any  $[x] \in \mathbf{P}(V_5)$ , the vector space  $W_6 \cap {}^2V_5$  intersects  $V_{4,[x]}$  nontrivially; it follows that  $\dim(\mathbf{P}(W_6) \setminus \mathrm{Gr}(2, {}^2V_5)) > 3$ .*

*Proof.* — The assumption is that  $\sigma_0$  vanishes on  $W_6$ . The space  $V := W_6 \setminus V_{7,[x]}$  is of dimension at least 3. By construction (see Proposition 6.3), the restriction of  $\sigma_0$  to  $V_{7,[x]}$  is a generator of  ${}^3(V_{7,[x]}/V_{4,[x]})^-$ , hence the vanishing of  $\sigma_0|_V$  means  $V \setminus V_{4,[x]} \not\subset \mathcal{F}0g$ . Hence  $W_6 \setminus V_{4,[x]} \not\subset \mathcal{F}0g$ . For the second statement, observe that the set of  $[x] \in \mathbf{P}(V_5)$  such that  $W_6 \setminus V_{4,[x]} \not\subset \mathcal{F}0g$  is the image in  $\mathbf{P}(V_5)$  of the universal  $\mathbf{P}^1$ -bundle over  $\mathbf{P}(W_6) \setminus \mathrm{Gr}(2, {}^2V_5)$ . Since all  $[x] \in \mathbf{P}(V_5)$  have this property, the dimension of this bundle must be at least 4.

Let us show the following consequence.

**COROLLARY 6.11.** — *Let  $K_1^0$  be an irreducible component of  $K_{\sigma_0}$  containing  $K_1$ . For any  $[W_6] \in K_1^0$ , there is a unique  $[x] \in \mathbf{P}(V_5)$  such that  $V_{4,[x]}$  is contained in  $W_6$ .*

*Proof.* — The uniqueness is clear, as  $x \wedge V_5 + y \wedge V_5$  has dimension 7 for nonproportional  $x, y$ . For the existence, we observe that for a general  $[V_6] \in K_1$ , the intersection  $\mathbf{P}(V_6) \setminus \mathrm{Gr}(2, {}^2V_5)$  is equal to  $\mathbf{P}(V_{4,[x]})$  with its reduced structure. We now deform  $[V_6]$  to a general element  $[W_6]$  of the component  $K_1^0$ , say along a family  $(V_{6,t})_{t \in \Delta} \subset {}^2V_5$  of 6-dimensional vector subspaces. By Lemma 6.10, we know that for any  $t \in \Delta$ ,

the intersection  $\mathbf{P}(V_{6,t}) \setminus \text{Gr}(2, {}^2V_5)$  remains of dimension  $> 3$ . Since for  $t = 0$  it is reduced, of dimension 3 and degree 1, the same holds for  $t \in \Delta$  general. As the only 3-dimensional projective subspaces of  $\text{Gr}(2, {}^2V_5)$  are of the form  $\mathbf{P}(V_{4,[x]})$ , we obtain that  $W_6 = V_{6,t}$ , for  $t$  general, contains a space  $V_{4,[x]}$ .

*Proof of Proposition 6.8(a).* — Let as above  $K_1^\theta$  be an irreducible component of  $K_{\sigma_0}$  containing  $K_1$  and let  $[W_6] \in K_1^\theta$ . By Corollary 6.11, there exists  $[x] \in \mathbf{P}(V_5)$  such that  $V_{4,[x]}$  is contained in  $W_6$ . We also note from the proof of Corollary 6.11 that the point  $[x] \in \mathbf{P}(V_5)$  is general. There is a short exact sequence

$$(34) \quad 0 \rightarrow V_{4,[x]} \rightarrow {}^2V_5 \rightarrow {}^2V_{4,[x]} \rightarrow 0.$$

Here,  $V_{4,[x]} = x \wedge V_5$  is seen on the left as a subspace of  ${}^2V_5$  and on the right as the quotient  $V_5/\mathbf{C}x$ .

The trivector  $\sigma_0 \in {}^3({}^2V_5)^\vee$  vanishes in the first quotient  ${}^3V_{4,[x]}^\vee$ , hence it has an image  $\bar{\sigma}_{0,x}$  in the next step of the filtration on  ${}^3({}^2V_5)^\vee$  associated with (34), namely  ${}^2V_{4,[x]}^\vee \rightarrow {}^2V_{4,[x]}^\vee$ . From the construction of  $\sigma_0$ , we know that  $\sigma_0|_{V_{7,[x]}}$  comes from  ${}^3(V_{7,[x]}/V_{4,[x]})^\vee$ , which implies that  $\bar{\sigma}_{0,[x]}$  vanishes in  $(V_{7,[x]}/V_{4,[x]})^\vee \rightarrow {}^2V_{4,[x]}^\vee$ , or equivalently belongs to  $(x \wedge W_3) \rightarrow {}^2V_{4,[x]}^\vee$ , where we consider  $x \wedge W_3 \rightarrow {}^2V_5^\vee$  as defining  $V_{7,[x]}$  (so that its image in  ${}^2V_{4,[x]}^\vee$  defines  $V_{7,[x]}/V_{4,[x]}$ ). Let us examine  $\bar{\sigma}_{0,x} \in (x \wedge W_3) \rightarrow {}^2V_{4,[x]}^\vee$ . We claim the following.

**LEMMA 6.12.** — *For  $[x] \in \mathbf{P}(V_5)$  general, the rank of  $\bar{\sigma}_{0,x}$  is 3.*

*Proof.* — Recall that  $V_5$  and  $W_3$  are irreducible representations of  $\text{SL}(2)$  (Section 6.1). The trivector  $\sigma_0$  is invariant under the induced  $\text{SL}(2)$ -action on  ${}^3V_{10}^\vee = {}^3({}^2V_5)^\vee$ .

From (31), we see that  $V_{4,[x]}$ , seen as a quotient of  $V_5$ , is the fiber at  $[x]$  of the vector bundle  $V_4^\theta := V_4(1)$ . Since  $H^0(\mathbf{P}(V_5), {}^2V_4^\theta) \rightarrow {}^2V_5$  and  $W_3 \rightarrow {}^2V_5$  is general, there is an injection

$$W_3 \rightarrow \mathcal{O}_{\mathbf{P}(V_5)} \rightarrow {}^2V_4^\theta$$

whose dual is a surjection  ${}^2V_4^{\theta\vee} \rightarrow W_3 \rightarrow \mathcal{O}_{\mathbf{P}(V_5)}$ . The tensors  $\bar{\sigma}_{0,x}$  globalize to a section  $\bar{\sigma}_0$  of the bundle  $W_3 \rightarrow {}^2V_4^{\theta\vee} \rightarrow \mathcal{O}_{\mathbf{P}(V_5)}(1)$ . Since  $\det(V_4^\theta) = \mathcal{O}_{\mathbf{P}(V_5)}(1)$ , we have

$${}^2V_4^{\theta\vee} \rightarrow \mathcal{O}_{\mathbf{P}(V_5)}(1) \rightarrow {}^2(V_4(1)),$$

hence  $\bar{\sigma}_0$  is a section of the bundle  $W_3 \rightarrow {}^2V_4^{\theta\vee}$ . We also have

$$H^0(\mathbf{P}(V_5), W_3 \rightarrow {}^2V_4^{\theta\vee}) = W_3 \rightarrow H^0(\mathbf{P}(V_5), {}^2V_4^{\theta\vee}) = W_3 \rightarrow {}^2V_5.$$

It follows that  $\bar{\sigma}_0$  provides an element of  $W_3 \rightarrow {}^2V_5$  which must be  $\text{SL}(2)$ -invariant. The decomposition (29) tells us that there is exactly one such element,  $\text{Id}_{W_3}$  (we use the isomorphism  $W_3 \rightarrow W_3$  given by the  $\text{SL}(2)$ -action). The conclusion of this analysis is that either  $\bar{\sigma}_0$  is 0 or the rank of  $\bar{\sigma}_{0,x}$  is 3.

To finish the proof of the lemma, we just have to exclude the case  $\bar{\sigma}_0 = 0$ . If this vanishing holds,  $\sigma_0$  vanishes on any 3-dimensional subspace of  ${}^2V_5$  that intersects

one  $x \wedge V_5$  along a 2-dimensional space. It is easy to exclude this possibility: the condition says that  $\sigma_0 \in {}^3({}^2V_5^\vee)$  vanishes on all elements of the form

$$(35) \quad (x \wedge y) \wedge (x \wedge z) \wedge (v \wedge w) \in {}^3({}^2V_5)$$

for  $x, y, z, v, w \in V_5$ . But this would force  $\sigma_0 = 0$ , because these elements span  ${}^3({}^2V_5)$ . Indeed, this space is generated by general decomposable elements of the form  $m = (x \wedge y) \wedge (t \wedge z) \wedge (v \wedge w)$ . By generality, we have  $v = \alpha x + \beta y + \gamma t + \delta z + \varepsilon w$  and expanding  $m$ , we get a sum of terms of type (35).

Let us go back to the point  $[W_6]$  of  $K_1^0$ , where  $W_6$  contains  $V_{4,[x]}$  for some general  $[x] \in \mathbf{P}(V_5)$ . Since  $\sigma_0|_{W_6} = 0$ , the tensor  $\bar{\sigma}_{0,x}$  vanishes in  $(W_6/V_{4,[x]})^\vee \otimes V_{4,[x]}^\vee$ . By Lemma 6.12, we conclude that  $x \wedge W_3$  has to vanish on  $W_6$ , that is  $W_6 \subset V_{7,[x]}$ . Thus  $[W_6] \in K_1$  and we proved that  $K_1$  is an irreducible component of  $K_{\sigma_0}$ .

In order to prove that  $K_1$  and  $K_{\sigma_0}$  are equal as schemes generically along  $K_1$ , we observe that the argument just given is of an infinitesimal nature, hence proves that  $K_1$  and  $K_{\sigma_0} \setminus \text{Gr}(6, x, {}^2V_5)$  are equal as schemes generically along  $K_1$ , where  $\text{Gr}(6, x, {}^2V_5) \subset \text{Gr}(6, {}^2V_5)$  is the set of  $W_6 \subset {}^2V_5$  such that  $x \wedge V_5 = V_{4,x} \subset W_6$  for some  $x \in \mathbf{P}(V_5)$ . In order to conclude, we thus just need to show that  $K_{\sigma_0}$  is schematically contained in  $\text{Gr}(6, x, {}^2V_5)$  generically along  $K_1$ . This is a consequence of the following infinitesimal version of Corollary 6.11.

**LEMMA 6.13.** — *Let  $[W_6] \in K_1$  be general and let  $x \in \mathbf{P}(V_5)$  be such that  $V_{4,x} \subset W_6$ . For any first order deformation  $[W_{6,\varepsilon}]$  of  $[W_6]$  in  $K_{\sigma_0}$ , there exists a first order deformation  $x_\varepsilon$  of  $x$  such that, at first order,  $V_{4,x_\varepsilon} = x_\varepsilon \wedge V_5 \subset W_{6,\varepsilon}$ .*

*Proof.* — Let  $x \wedge y \in \mathbf{P}(V_{4,x})$  be such that

$$(36) \quad W_6 \setminus (y \wedge V_5) = hx \wedge y i.$$

The proof of Lemma 6.10 shows that there exists a unique first order deformation  $y_\varepsilon \in \mathbf{P}(V_{4,y}) \subset \text{Gr}(2, V_5)$  such that  $W_{6,\varepsilon} \setminus (y_\varepsilon \wedge V_5) = hy_\varepsilon \wedge y_\varepsilon i$ . Since  $[W_6]$  is a general point of  $K_1$ , the set of points  $y$  satisfying (36) is the complement of a closed algebraic subset of codimension  $> 2$  in  $\mathbf{P}(V_{4,x})$ . The collection of  $y_\varepsilon$  thus extends to a first order deformation of  $\mathbf{P}(V_{4,x})$  in  $\text{Gr}(2, V_5)$ . But the latter are in bijection with the first order deformations of  $x \in \mathbf{P}(V_5)$ .

*Proof of Proposition 6.8(b).* — We are exactly in the setting of Lemma 4.5 and Remark 4.6: by Proposition 6.5(a), there is an embedding  $j: X \hookrightarrow \text{Sing}(X_{\sigma_0}) \subset \text{Gr}(3, V_{10})$ ; it induces a map  $\varphi: X^{[2]} \rightarrow K_1$ , where  $K_1$  is a generically reduced 6-dimensional component of  $K_{\sigma_0}$  (Proposition 6.8(a)). The map  $\varphi$  is birational by Proposition 6.2 and  $j^* \mathcal{O}_{\text{Gr}(3, V_{10})}(1) = \mathcal{O}_X(2)$ .

On  $U$ , the vector bundles  $T_j^* \mathcal{O}_{\text{Gr}(3, V_{10})}(1) = T_{\mathcal{O}_X(2)}$  and  $F$  both have rank 2 and are quotients of  ${}^3V_{10} \otimes \mathcal{O}_U$ ; furthermore, Lemma 4.5 says that the evaluation map

$$\text{ev}: {}^3V_{10} \otimes \mathcal{O}_U \rightarrow T_{\mathcal{O}_X(2)}$$

factors through  $F$ . This proves that they are the same.

We finally prove our main result.

**THEOREM 6.14.** — *Let  $(\sigma_t)_{t \in \Delta}$  be a very general 1-parameter deformation. Over a finite cover  $\Delta^0 \rightarrow \Delta$ , there is a family of smooth polarized hyperkähler fourfolds  $K^0 \rightarrow \Delta^0$  such that a general fiber  $K_t^0$  is isomorphic to  $K_{\sigma_t}$  and the central fiber is isomorphic to  $S^{[2]}$ , where  $(S, L)$  is a general K3 surface of degree 10, with the polarization  $2L = 3\delta$ .*

*Proof.* — Let  $K \rightarrow \Delta$  be the associated family of Debarre-Voisin varieties, let  $K^0$  be the irreducible component of  $K$  that dominates  $\Delta$ , and let  $U \subset K_{\sigma_0} = K_0$  be the Zariski open set of Proposition 6.8. Then  $K_0$  is smooth of dimension 6 along  $U$ , so that the analysis of Section 4.2 applies.

By Proposition 6.8(b), on  $U$ , the excess bundle  $F$  can be identified with  $T_{O_X(2)}$  as quotients of  ${}^3V_{10}$ . The element  $\overline{\sigma_0}$  thus gives a section  $f$  of  $O_X(2)$  and we conclude that if  $\overline{\sigma_0}$  is general enough, the zero-locus of  $\overline{\sigma_0}$  is equal to  $S^{[2]} \setminus U$ , where  $S \subset X$  is the K3 surface defined by  $f$ .

Moreover, the open subset  $S^{[2]} \setminus U$  is then dense in  $S^{[2]}$  and we thus proved that the central fiber of  $K^0$  has one reduced component which is birationally isomorphic to  $S^{[2]}$ . By [KLSV18], it follows that after base change  $\Delta^0 \rightarrow \Delta$  and shrinking, there exists a family  $\pi^0: K^0 \rightarrow \Delta^0$  that is fiberwise birationally isomorphic to  $K^0 \rightarrow \Delta^0$ , all of whose fibers are smooth hyperkähler fourfolds, with (smooth) central fiber birationally isomorphic to  $S^{[2]}$ . Since  $S^{[2]}$  has no nontrivial hyperkähler birational models (Section 3.3), the central fiber is in fact isomorphic to  $S^{[2]}$ .

The varieties  $K_t$ , for  $t$  very general, have Picard number 1, hence no nontrivial smooth hyperkähler birational models. It follows that  $K_t^0 \simeq K_t$  and this holds for all  $t \neq 0$ .

**REMARK 6.15.** — From the viewpoint of subvarieties of  $\text{Gr}(6, V_{10})$ , the situation is not completely explained. The varieties  $K_t$  are smooth subvarieties of  $\text{Gr}(6, V_{10})$  of degree 1452. The variety  $S^{[2]}$  is mapped to  $\text{Gr}(6, V_{10})$  via the rational map  $\varphi$  described in Proposition 6.2, but since this map is not regular, its image  $\varphi(S^{[2]}) \subset \text{Gr}(6, V_{10})$  has degree  $< 1452$ . The limit (in the Hilbert scheme) of the subvarieties  $K_t \subset \text{Gr}(6, V_{10})$  must therefore have another irreducible component.

### 7. THE HLS DIVISOR $D_2$

We describe a polystable point in the moduli space  $M_{DV} = \mathbf{P}({}^3V_{10}) // \text{SL}(V_{10})$  whose total image by the moduli map

$$m: M_{DV} \dashrightarrow \mathcal{M}$$

is the divisor whose general points are the fourfolds  $M_S(0, L, 1)$  described in Remark 3.6, where  $(S, L)$  is a general polarized K3 surface of degree 2. As explained in Section 3, this divisor is therefore the Heegner divisor  $D_2$ .

7.1. THE  $SL(3)$ -INVARIANT TRIVECTOR. — We take  $V_{10} := \text{Sym}^3 W_3$ . The  $SL(W_3)$ -representation  ${}^3V_{10}$  decomposes as

$$(37) \quad {}^3V_{10} = {}^3(\text{Sym}^3 W_3) = \Gamma_{0,6} \oplus \Gamma_{3,3} \oplus \Gamma_{2,2} \oplus \Gamma_{0,0},$$

where  $\Gamma_{a,b}$  is the irreducible representation given by the kernel of the contraction map  $\text{Sym}^a W_3 \otimes \text{Sym}^b W_3 \rightarrow \text{Sym}^{a-1} W_3 \otimes \text{Sym}^{b-1} W_3$ .<sup>(3)</sup> The first term is  $\text{Sym}^6 W_3 = H^0(\mathbf{P}(W_3), \mathcal{O}_{\mathbf{P}(W_3)}(6))$ . The last term is the (1-dimensional) space of  $SL(W_3)$ -invariants and we pick a generator  $\sigma_0$ .

This trivector  $\sigma_0$  can be constructed via the “symbolic method” as follows (thanks to Claudio Procesi). Choose a generator  $\eta$  for  ${}^3W_3$  and write  $a \wedge b \wedge c =: \det(a, b, c)\eta$  for all  $a, b, c \in W_3$ . Then  $\sigma_0$  is the unique trivector on  $V_{10}$  such that

$$\sigma_0(x, y, z) = \det(x, y, z)^3$$

(it is alternating and  $SL(W_3)$ -invariant because it is so when the entries are cubes). Let  $(x, y, z)$  be a basis for  $W_3$  and write  $\alpha \in \text{Sym}^3 W_3$  as

$$(38) \quad \alpha = \alpha_{300}x^3 + \alpha_{030}y^3 + \alpha_{003}z^3 + 3(\alpha_{210}x^2y + \alpha_{102}xz^2 + \alpha_{021}y^2z + \alpha_{120}xy^2 + \alpha_{201}x^2z + \alpha_{012}yz^2) + 6\alpha_{111}xyz.$$

A straightforward computation (umbral calculus) shows that

$$(39) \quad \begin{aligned} \sigma_0(\alpha, \beta, \gamma) = & \sum_{\tau \in 2P} \varepsilon(\tau) \alpha_{\tau(3,0,0)} \beta_{\tau(0,3,0)} \gamma_{\tau(0,0,3)} + 3 \sum_{\tau \in 2P} \varepsilon(\tau) \alpha_{\tau(3,0,0)} \beta_{\tau(0,2,1)} \gamma_{\tau(0,1,2)} \\ & + 3 \sum_{\tau \in 2P} \varepsilon(\tau) \alpha_{\tau(0,3,0)} \beta_{\tau(1,0,2)} \gamma_{\tau(2,0,1)} + 3 \sum_{\tau \in 2P} \varepsilon(\tau) \alpha_{\tau(0,0,3)} \beta_{\tau(2,1,0)} \gamma_{\tau(1,2,0)} \\ & + 3 \sum_{\tau \in 2P} \varepsilon(\tau) \alpha_{\tau(2,1,0)} \beta_{\tau(1,0,2)} \gamma_{\tau(0,2,1)} + 3 \sum_{\tau \in 2P} \varepsilon(\tau) \alpha_{\tau(1,2,0)} \beta_{\tau(2,0,1)} \gamma_{\tau(0,1,2)} \\ & + 6 \sum_{\tau \in 2P} \varepsilon(\tau) \alpha_{\tau(2,1,0)} \beta_{\tau(0,1,2)} \gamma_{\tau(1,1,1)} + 6 \sum_{\tau \in 2P} \varepsilon(\tau) \alpha_{\tau(1,0,2)} \beta_{\tau(1,2,0)} \gamma_{\tau(1,1,1)} \\ & + 6 \sum_{\tau \in 2P} \varepsilon(\tau) \alpha_{\tau(0,2,1)} \beta_{\tau(2,0,1)} \gamma_{\tau(1,1,1)}. \end{aligned}$$

In each sum above,  $P$  denotes the permutation group of the relevant subset of the family of indices. In particular, we get the following.

LEMMA 7.1. — For each  $r \in \{1, 2, 3\}$ , let  $x^{i_r} y^{j_r} z^{k_r}$  be a degree-3 monomial. Then

$$\sigma_0(x^{i_1} y^{j_1} z^{k_1}, x^{i_2} y^{j_2} z^{k_2}, x^{i_3} y^{j_3} z^{k_3}) \neq 0$$

if and only if  $i_1 + i_2 + i_3 = j_1 + j_2 + j_3 = k_1 + k_2 + k_3 = 3$  and not all monomials are equal to  $xyz$ .

<sup>(3)</sup>In the standard notation of [Bou90] explained in Section 5.1.2, the representation  $\Gamma_{a,b}$  is  $V_{a\omega_1 + b\omega_2}$ .

7.2. THE HYPERSURFACE  $X_{\sigma_0}$ . — The equation of the hypersurface

$$X_{\sigma_0} \subset \text{Gr}(3, \text{Sym}^3 W_3)$$

defined in (2) is given by (39). More precisely, order the multiindices as in Table 3 and denote the corresponding Plücker coordinates on  $\mathbb{P}^3(\text{Sym}^3 W_3)$  by  $q_{012}, \dots, q_{789}$ ;

3, 0, 0	0, 3, 0	0, 0, 3	2, 1, 0	1, 0, 2	0, 2, 1	1, 2, 0	2, 0, 1	0, 1, 2	1, 1, 1
0	1	2	3	4	5	6	7	8	9

TABLE 3. Ordering of multiindices

then  $X_{\sigma_0}$  is the intersection of  $\text{Gr}(3, \text{Sym}^3 W_3)$  with the hyperplane

$$(40) \quad q_{012} - 3(q_{058} + q_{147} + q_{236} + q_{345} + q_{678}) - 6(q_{389} + q_{469} + q_{579}) = 0.$$

7.2.1. *The singular locus of  $X_{\sigma_0}$ .* — We show in this section that the hypersurface  $X_{\sigma_0}$  is singular along a surface which we first describe. Let

$$v_3: \mathbb{P}(W_3) \hookrightarrow \mathbb{P}(\text{Sym}^3 W_3)$$

$$[x] \mapsto [x^3]$$

be the Veronese embedding and let  $V \subset \mathbb{P}(\text{Sym}^3 W_3)$  be its image. The projective tangent space to  $V$  at  $[x^3]$  is  $\mathbb{P}(x^2 \cdot W_3)$ , hence the Gauss map of  $V$  is

$$(41) \quad g: V \hookrightarrow \text{Gr}(3, \text{Sym}^3 W_3)$$

$$[x^3] \mapsto [x^2 \cdot W_3].$$

We have  $g^* \mathcal{O}_{\text{Gr}(1)} \cong \mathcal{O}_{\mathbb{P}(W_3)}(6)$  and  $g$  induces an isomorphism

$$(42) \quad H^0(\text{Gr}(3, \text{Sym}^3 W_3), \mathcal{O}_{\text{Gr}(1)}) \cong H^0(\mathbb{P}(W_3), \mathcal{O}_{\mathbb{P}(W_3)}(6)),$$

because the left side is a nonzero  $\text{SL}(W_3)$ -invariant linear subspace of the right side.

PROPOSITION 7.2. — *The singular locus of  $X_{\sigma_0}$  is equal to the surface  $g(V)$ .*

Proof. — We first prove one inclusion.

LEMMA 7.3. — *Let  $(x, y, z)$  be a basis of  $W_3$  and let  $U_3 \subset \text{Sym}^3 W_3$  be a 3-dimensional subspace spanned by monomials in  $x, y, z$ . Then  $[U_3]$  is a singular point of  $X_{\sigma_0}$  if and only if, after possibly renaming  $x, y, z$ , we have  $U_3 = \langle hx^3, x^2y, x^2z \rangle$ , that is,  $[U_3] \in 2g(V)$ .*

*In particular, the surface  $g(V)$  is contained in the singular locus of  $X_{\sigma_0}$ .*

Proof. — Let  $U_3 = \langle hm_1, m_2, m_3 \rangle$ , where  $m_1, m_2, m_3$  are monomials in  $x, y, z$ . By [DV10, Prop. 3.1], the point  $[U_3]$  is singular on  $X_{\sigma_0}$  if and only if  $\sigma_0(m_r \wedge m_s \wedge m) = 0$  for every distinct  $r, s \in \{1, 2, 3\}$  and every monomial  $m$  in  $x, y, z$ . Since  $m$  is arbitrary, it follows from Lemma 7.1 that at least one of the following inequalities holds

$$i_r + i_s > 3, \quad j_r + j_s > 3, \quad k_r + k_s > 3.$$

The above is true for any choice of distinct  $r, s \in \{1, 2, 3\}$ . It follows that, after possibly renaming  $x, y, z$ , we have  $U_3 = \langle hx^3, x^2y, x^2z \rangle$ .



We identify  $\mathbf{P}(V_{10}) = \mathbf{P}(\mathrm{Sym}^3 W_3)$  with  $j_{\mathbf{P}(W_3)}(3)j$ , the linear system of cubic curves in  $\mathbf{P}(W_3)$ . Given  $[\varphi] \in \mathbf{P}(\mathrm{Sym}^3 W_3)$ , we denote by  $V(\varphi) \subset \mathbf{P}(W_3)$  its zero-locus and, given a vector subspace  $U \subset \mathrm{Sym}^3 W_3$ , we let

$$(43) \quad \mathbf{L}(U) := \mathcal{F}V(\varphi) \subset \mathbf{P}(U) \subset j_{\mathbf{P}(W_3)}(3)j$$

be the associated linear system.

LEMMA 7.4. — *Let  $U_3 \subset \mathrm{Sym}^3 W_3$  be a 3-dimensional subspace. Suppose that one of the following holds:*

- (a) *there exists  $[\varphi] \in \mathbf{P}(U_3)$  such that  $V(\varphi)$  is singular at a point  $p \in \mathbf{P}(W_3)$  not contained in the base-locus of  $\mathbf{L}(U_3)$ ;*
- (b) *there exists an element of  $\mathbf{L}(U_3)$  with an ordinary node.*

*Then  $[U_3]$  is not a singular point of  $X_{\sigma_0}$ .*

*Proof.* — Assume that  $[U_3]$  is a singular point of  $X_{\sigma_0}$ . We will reach a contradiction in both cases. Suppose that (a) holds. Let  $(x, y, z)$  be a basis of  $W_3$  such that  $p = (0, 0, 1)$ . Then  $\varphi = f_2(x, y)z + f_3(x, y)$ , where  $f_2, f_3$  are homogeneous of respective degrees 2 and 3, not both zero. By assumption, there exists  $[\psi] \in \mathbf{P}(U_3)$  such that  $p \notin V(\psi)$ . Thus  $\psi = z^3 + f_1(x, y)z^2 + f_2(x, y)z + f_3(x, y)$ . Let  $\lambda$  be the 1-parameter subgroup of  $\mathrm{GL}(W_3)$  given (in the chosen basis) by

$$(44) \quad \lambda(t) = \mathrm{diag}(t^{n+1}, t^n, 1), \quad n > 3.$$

Let  $\overline{U}_3 := \lim_{t \rightarrow 0} \lambda(t)U_3$ . The hypersurface  $X_{\sigma_0}$  is mapped to itself by  $\mathrm{SL}(W_3)$ , hence it is singular at  $\lambda(t)U_3$  for all  $t \in \mathbf{C}^*$ , hence also at  $\overline{U}_3$ . A simple computation shows that if  $f_2 \neq 0$ , then  $\lim_{t \rightarrow 0} \lambda(t)[\varphi] = [x^i y^j z]$ , where  $x^i y^j$  is the monomial with highest power of  $y$  appearing in  $f_2$ , and that if  $f_2 = 0$ , then  $\lim_{t \rightarrow 0} \lambda(t)[\varphi] = [x^i y^j]$ , where  $x^i y^j$  is the monomial with highest power of  $y$  appearing in  $f_3$ .

On the other hand,  $\lim_{t \rightarrow 0} \lambda(t)[\psi] = [z^3]$ . The subspace  $\overline{U}_3$  is generated by monomials in  $x, y, z$ , because the weights of the action of  $\lambda$  on  $\mathrm{Sym}^3 W_3$  are pairwise distinct. Thus  $\overline{U}_3$  is generated by monomials in  $x, y, z$  and contains  $z^3$  and one of  $x^i y^j z, x^i y^j$ . By Lemma 7.3,  $\overline{U}_3$  is not contained in  $\mathrm{Sing}(X_{\sigma_0})$ . This is a contradiction.

Suppose now that (b) holds. By assumption, there exist a basis  $(x, y, z)$  of  $W_3$  and  $[\varphi] \in \mathbf{P}(U_3)$  such that  $\varphi = xyz + f_3(x, y)$ . Let  $\lambda$  be the 1-parameter subgroup in (44) and set  $\overline{U}_3 := \lim_{t \rightarrow 0} \lambda(t)U_3$ . Arguing as above, we get that  $X_{\sigma_0}$  is singular at  $\overline{U}_3$ . A simple computation shows that  $\lim_{t \rightarrow 0} \lambda(t)[xyz + f_3(x, y)] = [xyz]$ . Since  $\overline{U}_3$  is generated by monomials in  $x, y, z$ , this contradicts Lemma 7.3.

We now prove the reverse inclusion  $\mathrm{Sing}(X_{\sigma_0}) \subset \mathbf{g}(V)$ . Let  $[U_3] \in \mathrm{Sing}(X_{\sigma_0})$ . One of the following holds:

- (a) *there exists  $[\varphi] \in \mathbf{P}(U_3)$  such that  $V(\varphi)$  is singular at a point not contained in the base-locus of  $\mathbf{L}(U_3)$ ;*
- (b) *the base-locus of  $\mathbf{L}(U_3)$  is zero-dimensional and all curves in  $\mathbf{L}(U_3)$  are smooth outside the base-locus;*

(c) the base-locus of  $L(U_3)$  is one-dimensional and all curves in  $L(U_3)$  are smooth outside the base-locus.

If (a) holds,  $[U_3]$  is not a singular point of  $X_{\sigma_0}$  by Lemma 7.4. This is a contradiction.

Suppose that (b) holds. We claim that there exists  $p \in \mathbf{P}(W_{\overline{3}})$  such that all elements of  $L(U_3)$  are singular at  $p$ . The set

$$\Sigma := \overline{f(p, [\varphi])} \cap \mathbf{P}(W_{\overline{3}}) \cap L(U_3) \cap p$$

is a singular point of  $V(\varphi)g$

is the intersection of 3 divisors in  $j_{O_{\mathbf{P}(W_{\overline{3}})}(2)} \circ \mathcal{O}_{L(U_3)}(1)j$ . If  $\Sigma$  has (pure) dimension 1, its projection to  $\mathbf{P}(W_{\overline{3}})$  is a sextic curve, which contradicts (b). Hence  $\dim(\Sigma) > 1$  and there exists a point  $p$  such that all curves in  $L(U_3)$  are singular at  $p$ . By Lemma 7.4(b), no element of  $L(U_3)$  has an ordinary node at  $p$ . It follows that there are linearly independent  $[\varphi_1], [\varphi_2] \in \mathbf{P}(U_3)$  such that  $V(\varphi_1)$  and  $V(\varphi_2)$  have multiplicity 3 at  $p$ . Thus, there exists a nonzero linear combination  $c_1\varphi_1 + c_2\varphi_2$  such that  $V(c_1\varphi_1 + c_2\varphi_2)$  is singular along a line. This contradicts our assumption (b).

Lastly, suppose that (c) holds. The base-locus of  $L(U_3)$  is either a line or a conic (possibly degenerate). Assume that it is a line  $R$ . By Lemma 7.4(b), no element of  $L(U_3)$  has an ordinary node. This forces  $L(U_3)$  to be  $R + L_0$ , where  $L_0 = j_{O_{\mathbf{P}(W_{\overline{3}})}(2)}j$  is one of the following:

- ( $\alpha$ ) the linear system of conics tangent to  $R$  at a fixed  $p \in R$  and containing a fixed  $q \in \mathbf{P}(W_{\overline{3}}) \cap R$ ;
- ( $\beta$ ) the linear system of conics with multiplicity of intersection at least 3 with a fixed smooth conic tangent to  $R$  at a fixed  $p \in R$ ;
- ( $\gamma$ ) the linear system of conics singular at a fixed  $p \in R$ .

If ( $\alpha$ ) holds, there exists a basis  $(x, y, z)$  of  $W_3$  such that  $U_3 = hx^2y, xy^2, y^2zi$ . This contradicts Lemma 7.3.

If ( $\beta$ ) holds, there exists a basis  $(x, y, z)$  of  $W_3$  such that  $U_3 = hx^3 + y^2z, xy^2, y^3i$ . Let  $\lambda$  be the 1-parameter subgroup of  $GL(W_3)$  given by  $\lambda(t) = \text{diag}(t^{-1}, t^{-3}, 1)$ . Then  $\lim_{t \rightarrow 0} \lambda(t)U_3 = hx^3, xy^2, y^3i$ , which contradicts Lemma 7.3.

If ( $\gamma$ ) holds, there exists a basis  $(x, y, z)$  of  $W_3$  such that  $U_3 = hx^2y, xy^2, y^3i$  and this contradicts Lemma 7.3.

This proves that the base-locus of  $L(U_3)$  is not a line, hence it is a conic. If the conic has rank at least 2, there are elements of  $L(U_3)$  with an ordinary node and this contradicts Lemma 7.4. Hence the base-locus of  $L(U_3)$  is a double line, that is,  $[U_3] \in \mathfrak{g}(V)$ .

7.2.2. *The germ of  $X_{\sigma_0}$  at its singular points.* — The local structure of  $X_{\sigma_0}$  at its singular points will be needed in the proof of Theorem 7.20.

LEMMA 7.5. — *Let  $p$  be a singular point of  $X_{\sigma_0}$ . The (analytic) germ  $(X_{\sigma_0}, p)$  is isomorphic to the germ  $(\Delta^2 \cap \{\sum_{i=1}^{19} \xi_i^2 = 0\}, 0)$ .*

*Proof.* — Let  $p := [U_3]$  and let  $(x, y, z)$  be a basis of  $W_3$  such that  $U_3 = hx^3, x^2y, x^2zi$ . We write a local equation of  $X_{\sigma_0}$  in a neighborhood of  $p$ , adopting the notation in

Sections 7.1 and 7.2. In particular, coordinates on  $\text{Sym}^3 W_3$  are defined by (38) and we order them as in Table 3. Now  $p$  has coordinates  $q_{037} = 1$  and  $q_{ijk} = 0$  for  $\bar{i}, j, k \notin \bar{0}, 3, 7g$ . A line coordinates on the open subset

$$\text{Gr}(3, \text{Sym}^3 W_3)_{q_{037}} \subset \text{Gr}(3, \text{Sym}^3 W_3)$$

defined by  $q_{037} \neq 0$  are given by  $q_{ijk}^\circ := q_{ijk}/q_{037}$  for all  $0 \leq i < j < k \leq 9$  such that exactly two of the indices  $i, j, k$  belong to  $\bar{0}, 3, 7g$ . By (40),  $X_{\sigma_0} \setminus \text{Gr}(3, \text{Sym}^3 W_3)_{q_{037}}$  has equation

$$0 = q_{013}^\circ q_{027}^\circ - q_{017}^\circ q_{023}^\circ - 3(q_{035}^\circ q_{078}^\circ - q_{038}^\circ q_{057}^\circ + q_{017}^\circ q_{347}^\circ + q_{047}^\circ q_{137}^\circ - q_{023}^\circ q_{367}^\circ) + 3(q_{036}^\circ q_{237}^\circ - q_{034}^\circ q_{357}^\circ + q_{035}^\circ q_{347}^\circ + q_{067}^\circ q_{378}^\circ - q_{078}^\circ q_{367}^\circ) + \text{cubic term}.$$

The tangent cone of  $X_{\sigma_0}$  at  $p$  is defined by the vanishing (in  $\mathbb{C}^{21}$ ) of this quadratic term. A computation gives

$$T_{\text{g}(V), p} = \left\langle \frac{\partial}{\partial q_{039}^\circ} + 2 \frac{\partial}{\partial q_{067}^\circ}, 2 \frac{\partial}{\partial q_{034}^\circ} + \frac{\partial}{\partial q_{079}^\circ} \right\rangle.$$

Another computation shows

$$T_{\text{g}(V), p} = \text{Ker}(\varphi).$$

This proves the lemma.

7.3. THE VARIETY  $K_{\sigma_0}$ . — We describe in Proposition 7.8 the Debarre-Voisin variety  $K_{\sigma_0}$  associated with the trivector  $\sigma_0$  on  $V_{10} = \text{Sym}^3 W_3$  defined in Section 7.1.

7.3.1. Two distinguished subvarieties of  $K_{\sigma_0}$

DEFINITION 7.6

(a) Given  $[a] \in \mathbf{P}(W_{\bar{3}})$  and a codimension 1 subspace  $H \subset \text{Sym}^2(a^\perp)$ , let

$$I(a, H) := \text{image of } H \text{ via the inclusion } (\text{Sym}^2(a^\perp) \hookrightarrow \text{Sym}^2 W_3),$$

$$L(a, H) := (a \perp I(a, H)^\perp)^\perp \subset \text{Sym}^3 W_3.$$

Note that  $\dim(I(a, H)) = 2$  and  $\dim(L(a, H)) = 6$ .

(b) Given  $[a] \in \mathbf{P}(W_{\bar{3}})$  and  $[x] \in \mathbf{P}(W_3)$ , let

$$J(a, x) := x \perp \text{Ker}(a) \subset \text{Sym}^2 W_3,$$

$$M(a, x) := (a \perp J(a, x)^\perp)^\perp \subset \text{Sym}^3 W_3.$$

Note that  $\dim(J(a, x)) = 2$  and  $\dim(M(a, x)) = 6$ .

(c) Finally, define two irreducible subvarieties of  $\text{Gr}(6, V_{10})$  by setting

$$K_L := \{ [L(a, H)] \mid [a] \in \mathbf{P}(W_{\bar{3}}), H \subset \text{Sym}^2(a^\perp) \text{ hyperplane} \},$$

$$K_M := \{ [M(a, x)] \mid [a] \in \mathbf{P}(W_{\bar{3}}), [x] \in \mathbf{P}(W_3) \}.$$

We list the subspaces  $a \perp I(a, H)^\perp$  and  $a \perp J(a, x)^\perp$  up to isomorphism. First notice that there exist linearly independent  $x, y \in W_3$  such that  $H = \langle x^2, y^2 \rangle$  or  $H = \langle x^2, xy \rangle$ .

As is easily checked, there exists a basis  $(a, b, c)$  of  $W_3$  such that

$$(45) \quad \begin{aligned} a \quad I(a, H)^\circ &= \begin{cases} a \quad ha^2, ab, ac, bci & \text{if } H = hx^2, y^2i, \\ a \quad ha^2, ab, ac, c^2i & \text{if } H = hx^2, xyi, \end{cases} \\ a \quad J(a, H)^\circ &= \begin{cases} a \quad ha^2, b^2, bc, c^2i & \text{if } a(x) \notin 0, \\ a \quad ha^2, ab, ac, c^2i & \text{if } a(x) = 0. \end{cases} \end{aligned}$$

We now show that the varieties  $K_L$  and  $K_M$  are both contained in  $K_{\sigma_0}$ .

**PROPOSITION 7.7**

(a) *The subvariety of  $K_{\sigma_0}$  obtained from the surface  $g(V) \cap \text{Sing}(X_{\sigma_0})$  by the procedure described in Proposition 4.4(b) is  $K_L$ .*

(b) *The variety  $K_M$  is contained in  $K_{\sigma_0}$ .*

*Proof.* — By Proposition 4.4(b), for  $x, y \in W_3$  not collinear, the 6-dimensional subspace  $x^2 \in W_3 + y^2 \in W_3 \in \text{Sym}^3 W_3$  corresponds to a point of  $K_{\sigma_0}$ . This is exactly  $L(a, H)$ , where  $a^\circ = hx, yi$  and  $H = hx^2, y^2i$ . Since  $K_L$  is irreducible of dimension at most 4, this proves (a).

By (45), if  $a(x) \notin 0$ , then  $M(a, x) = hx^2y, x^2z, y^3, y^2z, yz^2, z^3i$  in a suitable basis  $(x, y, z)$  of  $W_3$ . By Lemma 7.1, this is a point of  $K_{\sigma_0}$ , which proves (b).

The rest of Section 7.3 will be devoted to the proof of the following result.

**PROPOSITION 7.8.** — *One has  $(K_{\sigma_0})_{\text{red}} = K_L \cup K_M$ .*

We also mention as an addition to this statement that  $K_{\sigma_0}$  is nonreduced along its component  $K_L$ : this follows from Propositions 7.7(a) and 4.4(a).

The following remark (which complements the description of  $K_L$  in Proposition 7.7(a)) will be useful in the proof of Theorem 7.20.

**REMARK 7.9.** — *If  $[U_6] \in K_{\sigma_0}$ , one of the following holds:*

- (a) *either  $[U_6] \in K_L \cap K_M$  and the scheme-theoretic intersection  $\text{Gr}(3, U_6) \cap g(V)$  is the union of two reduced (distinct) points;*
- (b) *or  $[U_6] \in K_M \cap K_L$  and  $\text{Gr}(3, U_6) \cap g(V) = \emptyset$ ;*
- (c) *or  $[U_6] \in K_L \setminus K_M$  and the scheme-theoretic intersection  $\text{Gr}(3, U_6) \cap g(V)$  has length 2.*

**REMARK 7.10.** — *Let  $F_I \subset \text{Gr}(2, \text{Sym}^2 W_3)$  be the set of all  $I(a, H)$  and let  $F_J \subset \text{Gr}(2, \text{Sym}^2 W_3)$  be the set of all  $J(a, x)$ . The variety of lines on the chordal cubic in  $\mathbf{P}(\text{Sym}^2 W_3)$  is equal to  $F_I \cup F_J$ , both  $F_I$  and  $F_J$  are smooth of dimension 4, and their intersection is smooth of dimension 3 ([vdD12, Prop. 3.2.4]). Thus, by Proposition 7.8,  $K_{\sigma_0}$  is isomorphic to the variety of lines on the chordal cubic.*

7.3.2. *Elements of  $K_{\sigma_0}$  and 2-jets.* — Considering the definition of  $K_L$  and  $K_M$ , we must, in order to prove Proposition 7.8, examine  $U_6^?$  when  $[U_6] \geq K_{\sigma_0}$ . We prove in Proposition 7.12 that  $U_6^?$  satisfies a very strong condition.

LEMMA 7.11. — *Let  $U_3 \subset \text{Sym}^3 W_3 = H^0(\mathbf{P}(W_{\bar{3}}), \mathcal{O}_{\mathbf{P}(W_{\bar{3}})}(3))$  be a 3-dimensional subspace. Suppose that there exists  $p \in \mathbf{P}(W_{\bar{3}})$  such that  $U_3 \subset H^0(\mathbf{P}(W_{\bar{3}}), \mathfrak{m}_p^2(3))$  and the natural map  $U_3 \rightarrow (\mathfrak{m}_p^2/\mathfrak{m}_p^3) \otimes \mathcal{O}_{\mathbf{P}(W_{\bar{3}})}(3)$  is an isomorphism. Then  $[U_3] \notin X_{\sigma_0}$ .*

*Proof.* — We proceed by contradiction. Assume  $[U_3] \geq X_{\sigma_0}$  and let  $(x, y, z)$  be a basis of  $W_3$  such that the coordinates of  $p$  are  $(0, 0, 1)$ . Let  $r$  and  $s$  be integers such that  $\frac{3}{2}s > r > s > 0$  and let  $\lambda$  be the 1-parameter subgroup of  $\text{GL}(W_3)$  given (in the chosen basis) by

$$\lambda(t) = \text{diag}(t^r, t^s, 1).$$

Let  $\bar{U}_3 := \lim_{t \rightarrow 0} \lambda(t)U_3$ . Then  $X_{\sigma_0}$  contains  $[\bar{U}_3]$ , because it is mapped to itself by  $\text{GL}(W_3)$ . The representation  $\text{Sym}^3 \lambda: \mathbf{C}^* \rightarrow \text{Sym}^3 W_3$  has isotypic components of dimension 1. Generators of the isotypic components, ordered in increasing order, are

$$z^3, yz^2, xz^2, y^2z, xyz, x^2z, y^3, xy^2, x^2y, x^3.$$

It follows that  $\bar{U}_3 = \langle x^2z, xyz, y^2z \rangle$ . By Lemma 7.1, one gets  $[\bar{U}_3] \notin X_{\sigma_0}$ , a contradiction.

PROPOSITION 7.12. — *Let  $[U_6] \geq K_{\sigma_0}$ . For every  $[a] \in \mathbf{P}(W_{\bar{3}})$ , we have*

$$(46) \quad (a \in \text{Sym}^2 W_{\bar{3}}) \setminus U_6^? \neq \emptyset.$$

*Proof.* — We view  $U_6$  as a subspace of  $H^0(\mathbf{P}(W_{\bar{3}}), \mathcal{O}_{\mathbf{P}(W_{\bar{3}})}(3))$ . Let  $p \in \mathbf{P}(W_{\bar{3}})$ . If the natural map

$$(47) \quad U_6 \rightarrow (\mathcal{O}_{\mathbf{P}(W_{\bar{3}}), p}/\mathfrak{m}_p^3) \otimes \mathcal{O}_{\mathbf{P}(W_{\bar{3}})}(3)$$

is surjective, or equivalently bijective since both spaces have dimension 6, the kernel of the map  $U_6 \rightarrow (\mathcal{O}_{\mathbf{P}(W_{\bar{3}}), p}/\mathfrak{m}_p^2) \otimes \mathcal{O}_{\mathbf{P}(W_{\bar{3}})}(3)$  is a 3-dimensional subspace  $U_3 \subset U_6 \subset H^0(\mathbf{P}(W_{\bar{3}}), \mathfrak{m}_p^2(3))$  such that the natural map  $U_3 \rightarrow (\mathfrak{m}_p^2/\mathfrak{m}_p^3) \otimes \mathcal{O}_{\mathbf{P}(W_{\bar{3}})}(3)$  is an isomorphism. By Lemma 7.11,  $[U_3] \notin X_{\sigma_0}$ , but this is absurd because  $[U_6] \geq K_{\sigma_0}$ . The map (47) is therefore not surjective.

Assume first that  $p = [a]$  is not in the base-locus of the linear system  $\mathbf{P}(U_6)$ . The map  $\mathbf{P}(W_{\bar{3}}) \rightarrow \mathbf{P}(U_6)$  defined by  $\mathbf{P}(U_6)$  is the composition

$$\mathbf{P}(W_{\bar{3}}) \xrightarrow{v_3} \mathbf{P}(\text{Sym}^3 W_{\bar{3}}) \xrightarrow{\pi} \mathbf{P}(U_6)$$

of the Veronese map  $v_3$  and the projection with center  $\mathbf{P}(U_6^?)$ . If (46) does not hold, the second-order osculating plane  $\mathbf{P}(a \in \text{Sym}^2 W_{\bar{3}})$  to the Veronese surface  $v_3(\mathbf{P}(W_{\bar{3}}))$  does not meet the center of projection  $\mathbf{P}(U_6^?)$ , hence (47) is bijective, which we just prove does not hold. It follows that (46) holds if  $[a]$  is not in the base-locus of  $\mathbf{P}(U_6)$ . Since the property (46) is closed, it holds for all  $[a] \in \mathbf{P}(W_{\bar{3}})$ .

7.3.3. *Three-dimensional linear system of plane cubics containing many reducible cubics*

Let  $[U_6] \in K_{\sigma_0}$ . Then  $\mathbf{P}(U_6^?) = \mathbf{P}(\text{Sym}^3 W_{\overline{3}})$  is a 3-dimensional linear systems of cubics in  $\mathbf{P}(W_3)$ . By Proposition 7.12, given any line  $R \subset \mathbf{P}(W_3)$ , there exists a cubic in  $\mathbf{P}(U_6^?)$  containing  $R$ . We prove here the following result.

**PROPOSITION 7.13.** — *Let  $\Lambda \subset j_{\mathbf{O}_{\mathbf{P}^2}}(3)j$  be a 3-dimensional linear system such that, for each line  $R \subset \mathbf{P}^2$ , there exists a cubic in  $\Lambda$  containing  $R$ . One of the following holds:*

- (a) *the base-locus of  $\Lambda$  contains a line,*
- (b) *there exists a (possibly degenerate) conic  $C \subset \mathbf{P}^2$  such that  $\Lambda$  contains  $C + j_{\mathbf{O}_{\mathbf{P}^2}}(1)j$ ,*
- (c) *in a suitable basis  $(x, y, z)$  of  $H^0(\mathbf{P}^2, \mathcal{O}_{\mathbf{P}^2}(1))$ , one of the following holds:*
  - (1)  $\Lambda = \mathbf{P}(hx^3, y^3, z^3, xyz i)$ ,
  - (2)  $\Lambda = \mathbf{P}(hxz^2, yz^2, x^3, x^2y, xy^2, y^3 i)$ ,
  - (3)  $\Lambda = \mathbf{P}(hxyz, x^2y + yz^2, x^2z + y^2z, xy^2 + xz^2 i)$ ,
  - (4)  $\Lambda = \mathbf{P}(hxyz, x^2y + xz^2, xy^2 + yz^2, x^2z, y^2z i)$ ,
  - (5)  $\Lambda = \mathbf{P}(hx^2z, xyz, xy^2 + xz^2, y^2z, y^3 + yz^2 i)$ ,
  - (6)  $\Lambda = \mathbf{P}(hx^2z - xy^2, y^3, y^2z, yz^2, z^3 i)$ .

Here is the corollary of interest to us.

**COROLLARY 7.14.** — *Let  $[U_6] \in K_{\sigma_0}$ . One of the following holds:*

- ( $\alpha$ )  $U_6^? = f_1 U_4$ , where  $f_1 \in W_{\overline{3}}$  and  $U_4 \subset \text{Sym}^2 W_{\overline{3}}$  is a 4-dimensional subspace;
- ( $\beta$ )  $U_6^? = f_2 W_{\overline{3}}$ , where  $f_2 \in \text{Sym}^2 W_{\overline{3}}$ .

*Proof.* — As noted above,  $\Lambda := \mathbf{P}(U_6^?)$  is a 3-dimensional linear system of cubics satisfying the hypothesis of Proposition 7.13. Hence one of items (a), (b), (c) of that proposition holds. If (a) holds, then ( $\alpha$ ) holds; if (b) holds, then ( $\beta$ ) holds. One checks that if (c) holds,  $[U_6]$  is not in  $K_{\sigma_0}$ . For example, suppose that (c6) holds and let  $(a, b, c)$  be the basis of  $W_3$  dual to the basis  $(x, y, z)$  of  $W_{\overline{3}}$ . Then  $U_6 = ha^2c + ab^2, a^3, a^2b, abc, ac^2 i$  and this is absurd, because  $\sigma_0(a^2c + ab^2, abc, ac^2) \notin 0$  by Lemma 7.1.

Before proving Proposition 7.13, we go through two preliminary results. The first is an easy exercise which we leave to the reader.

**LEMMA 7.15.** — *Let  $\Lambda \subset j_{\mathbf{O}_{\mathbf{P}^2}}(3)j$  be a linear system all of whose elements are reducible. Then, either  $\Lambda$  has a 1-dimensional base-locus or all cubics in  $\Lambda$  have multiplicity 3 at a fixed point.*

**PROPOSITION 7.16.** — *Let  $\Lambda \subset j_{\mathbf{O}_{\mathbf{P}^2}}(3)j$  be a 2-dimensional linear system. Suppose that, given an arbitrary line  $R \subset \mathbf{P}^2$ , there exists a cubic in  $\Lambda$  containing  $R$ . Then, there exists a conic  $C$  such that  $\Lambda = C + j_{\mathbf{O}_{\mathbf{P}^2}}(1)j$ .*

*Proof.* — By our hypothesis, the variety of reducible cubics in  $\Lambda$  has dimension 2, hence every cubic in  $\Lambda$  is reducible. Since all cubics in  $\Lambda$  cannot have multiplicity 3 at a fixed point, Lemma 7.15 implies that the base-locus of  $\Lambda$  contains a line  $R$  or a conic  $C$ . If the latter holds, we are done because  $\dim(\Lambda) = 2$ . If the former holds,  $\Lambda = R + \Lambda^0$ , where  $\Lambda^0$  is a 2-dimensional linear system of conics such that, given any line  $R \subset \mathbf{P}^2$ , there exists a conic in  $\Lambda^0$  containing  $R$ . In particular, all conics in  $\Lambda^0$  are reducible. It follows that there exists a line  $R^0$  such that  $\Lambda^0 = R^0 + j_{\mathcal{O}_{\mathbf{P}^2}}(1)j$ . Thus  $\Lambda = (R + R^0) + j_{\mathcal{O}_{\mathbf{P}^2}}(1)j$ .

*Proof of Proposition 7.13.* — If the base-locus of  $\Lambda$  has dimension 1, item (a) holds. From now on, we assume that the base-locus of  $\Lambda$  is finite. Let  $f: \mathbf{P}^2 \dashrightarrow \Lambda \subset \mathbf{P}^3$  be the natural map. Let  $B \subset \mathbf{P}^2$  be the (schematic) base-locus of  $\Lambda$ , so that  $\Lambda = j_{B(3)}j$ . Let  $f_B: \mathbf{P}^2 \dashrightarrow j_{B(3)}j$  be the natural rational map. Then  $f$  is the composition  $\pi \circ f_B$ , where  $\pi: j_{B(3)}j \dashrightarrow \Lambda$  is a projection whose center does not intersect the (closed) image  $f_B(\mathbf{P}^2)$ .

The (closed) image  $f(\mathbf{P}^2)$  is either a curve or a surface. If it is a curve,  $\Lambda$  is the linear system of cubics in  $\mathbf{P}^2$  which have multiplicity 3 at a fixed point. This contradicts our hypothesis. Hence  $f$  has finite positive degree onto the surface  $\Sigma := f(\mathbf{P}^2)$ . As one easily checks,

- (i) either  $B$  is the complete intersection of a (possibly degenerate) conic  $C$  and a cubic,
- (ii) or the restriction of  $f_B$  to a subscheme  $Z \subset \mathbf{P}^2 \cap B$  of length 2 is *not* injective if and only if the schematic intersection  $hZi \setminus B$  has length 3.

If (i) holds,  $\Lambda = j_{B(3)}j$ , hence  $\Lambda = C + j_{\mathcal{O}_{\mathbf{P}^2}}(1)j$ . Thus item (b) of Proposition 7.13 holds. From now on, we assume that (ii) holds.

Assume first that  $f$  has degree 1 onto its image. If  $R \subset \mathbf{P}^2 \cap B$  is a line,  $f_B(R)$  is a twisted cubic by item (ii). A dimension count shows that

- ( $\alpha$ ) either  $f(R)$  is also a twisted cubic for a general line  $R \subset \mathbf{P}^2 \cap B$ ,
- ( $\beta$ ) or the projection  $\pi: j_{B(3)}j \dashrightarrow \Lambda$  maps to the same point  $f_B(R_1 \cap B)$  and  $f_B(R_2 \cap B)$ , where  $R_1, R_2 \subset \mathbf{P}^2$  are distinct lines such that  $\text{length}(R_i \setminus B) = 3$ ,
- ( $\gamma$ ) or the differential of  $f$  vanishes at all points of  $R \cap B$ , where  $R \subset \mathbf{P}^2$  is a line such that  $\text{length}(R \setminus B) = 3$ .

If ( $\alpha$ ) holds, no cubics in  $\Lambda$  contain a general line  $R \subset \mathbf{P}^2$ , because  $f(R) \subset \Lambda$  is a twisted cubic, and this contradicts the hypothesis of Proposition 7.13. If ( $\beta$ ) holds,  $\dim(\Lambda) = 4$ ,  $\text{length}(B) = 5$ , and  $B$  is a subscheme of  $R_1 \cup R_2$ . It follows that  $\Lambda = R_1 + R_2 + j_{\mathcal{O}_{\mathbf{P}^2}}(1)j$ , hence item (b) of Proposition 7.13 holds. If ( $\gamma$ ) holds,  $\Lambda = 2R + j_{\mathcal{O}_{\mathbf{P}^2}}(1)j$  and item (b) holds again.

Assume now that  $f$  has degree greater than 1 onto its image. Suppose that the surface  $\Sigma \subset \Lambda$  has degree 2. Let  $\widehat{\mathbf{P}}^2 \rightarrow \mathbf{P}^2$  be a smooth blow up such that  $\widehat{f}: \widehat{\mathbf{P}}^2 \rightarrow \mathbf{P}^2$  is a morphism. Let  $V \subset \Sigma$  be the union of the set of singular points of the branch divisor of  $\widehat{f}$  (this includes the points over which the fiber is not finite) and the vertex of  $\Sigma$  if  $\Sigma$  is a cone.

The linear system  $\Lambda$  contains a 2-dimensional family of reducible cubics that contain a general line and these cubics correspond to planes in  $\Lambda \subset \mathbf{P}^3$  that either meet  $V$  or are tangent to  $\Sigma$  at a smooth point of  $\Sigma$ . If these planes all pass through a point of  $V$ , we can apply Proposition 7.16 and item (b) holds. Otherwise, given a general line  $R \subset \mathbf{P}^2$ , there exists a plane tangent to  $\Sigma$  at a smooth point such that the corresponding cubic contains  $R$ . If  $\Sigma$  is smooth, the cubics corresponding to tangent planes are of the form  $C_1 + C_2$ , where  $C_1$  and  $C_2$  belong to two fixed pencils of curves corresponding to the two pencils of lines on  $\Sigma$  and this is absurd because they do not contain a general line. If  $\Sigma$  is a cone, the set of tangent planes is the linear system of planes through the vertex and we are reduced to the first case.

We may therefore assume  $\text{deg}(\Sigma) > 3$ . We claim that the (schematic) base-locus  $B$  of  $\Lambda$  is curvilinear. It is not, there is a (single) point  $p$  in the support of  $B$  such that, in a neighborhood of  $p$ , we have  $I_B = \mathfrak{m}_p^2$ . This implies  $\text{deg}(f) \text{deg}(\Sigma) \leq 5$ , hence  $\text{deg}(\Sigma) = 2$ , which is absurd.

Since  $B$  is curvilinear, it is locally a complete intersection; therefore,

$$\text{deg}(f) \text{deg}(\Sigma) + \text{length}(O_B) = 9.$$

Since  $\text{deg}(f) > 2$  and  $\text{deg}(\Sigma) > 3$ , one of the following holds:

- (I)  $B$  is empty and  $\text{deg}(f) = \text{deg}(\Sigma) = 3$ ;
- (II)  $B$  is a single reduced point and  $\text{deg}(f) = 2$ ;
- (III)  $B$  has length 3 and  $\text{deg}(f) = 2$ .

Suppose that (I) holds. In particular,  $f: \mathbf{P}^2 \dashrightarrow \Sigma$  is regular. Let us show that item (c1) of Proposition 7.13 holds. First, we claim that  $\Sigma$  has isolated singularities. In fact, if  $\Sigma$  is a cone, one gets a contradiction arguing as in the proof that  $\Sigma$  cannot be a quadric. If  $\Sigma$  is a nonnormal cubic (and not a cone), its normalization  $\tilde{\Sigma}$  is the Hirzebruch surface  $\mathbf{F}_1$  and we get a contradiction because the dominant map  $\mathbf{P}^2 \dashrightarrow \Sigma$  lifts to a dominant map  $\mathbf{P}^2 \dashrightarrow \mathbf{F}_1$ , and  $\rho(\mathbf{F}_1) > \rho(\mathbf{P}^2)$ . We have proved that  $\Sigma$  has isolated singularities.

The map  $f: \mathbf{P}^2 \dashrightarrow \Sigma$  is finite and  $f^* \omega_\Sigma = \omega_{\mathbf{P}^2}$ , hence  $f$  is unramified in codimension 1. Hence, if  $C \in \Lambda$  is general, the map  $C \dashrightarrow f(C)$  is the quotient map for the action of a subgroup of  $\text{Pic}^0(C)$  of order 3. This action is the restriction of an automorphism  $\varphi_C$  of  $\mathbf{P}^2$  of order 3. We prove that  $\varphi_C$  does not depend on  $C$ . Let  $C^0 \in \Lambda$  be another general cubic and let  $H, H^0 \in \Lambda$  be the planes corresponding to  $C, C^0$ . The 9 points in  $C \setminus C^0$  are partitioned into the union of the three fibers (each of cardinality 3) of the three points of intersection of the line  $H \setminus H^0$  with  $\Sigma$ . It follows that  $\varphi_C$  and  $\varphi_{C^0}$  agree on the 9 points in  $C \setminus C^0$ , hence are equal. The upshot is that there exists an order 3 automorphism  $\varphi$  of  $\mathbf{P}^2$  such that  $f: \mathbf{P}^2 \dashrightarrow \Sigma$  is the corresponding quotient map and  $f^* \mathcal{O}_\Sigma(1) = \mathcal{O}_{\mathbf{P}^2}(3)$ . It follows that (c1) holds.

Suppose that (II) holds. Let  $\hat{\mathbf{P}}^2 \rightarrow \mathbf{P}^2$  be the blow up of the base-point of  $\Lambda$ . Then  $f$  induces a regular finite map  $\hat{f}: \hat{\mathbf{P}}^2 \rightarrow \Sigma$  of degree 2. Since the exceptional divisor of  $\hat{\mathbf{P}}^2 \rightarrow \mathbf{P}^2$  is the unique  $(-1)$ -curve of  $\hat{\mathbf{P}}^2$ , the covering involution of  $\hat{f}$  descends to an involution  $\iota: \mathbf{P}^2 \dashrightarrow \mathbf{P}^2$  leaving invariant the cubics in  $\Lambda$ . In suitable coordinates, we have  $\iota(x, y, z) = (x, y, -z)$ . Since the cubics in  $\Lambda$  are  $\iota$ -invariant, we have  $\Lambda = \mathbf{P}(hxz^2, yz^2, x^3, x^2y, xy^2, y^3)$  and (c2) holds.



Suppose that (III) holds. The blow up  $\text{Bl}_B \mathbf{P}^2$  of  $B$  is a weak Del Pezzo surface (the anticanonical bundle is big and nef) with Du Val singularities. The anticanonical system  $|j|_B(3)j$  defines a map  $\text{Bl}_B \mathbf{P}^2 \rightarrow |j|_B(3)j - \mathbf{P}^6$  whose image is a Del Pezzo surface  $S$  with DuVal singularities. The rational map  $f: \mathbf{P}^2 \dashrightarrow \Lambda$  is the composition of the natural rational map  $\mathbf{P}^2 \dashrightarrow S$  and the restriction to  $S$  of a projection  $|j|_B(3)j - \Lambda$  with center disjoint from  $S$ . The latter is a map  $\widehat{f}: S \rightarrow \Sigma$  which is finite, of degree 2. If  $\widehat{\iota}: S \rightarrow S$  is its covering involution,  $\Lambda$  is contained in the projectivization of the  $\widehat{\iota}$ -invariant subspace of  $H^0(S, \omega_S^{-1})$ .

If the involution  $\widehat{\iota}$  descends to a regular involution of  $\mathbf{P}^2$ , item (c2) holds by the argument given above. Thus we assume that  $\widehat{\iota}$  is a birational nonregular involution of  $\mathbf{P}^2$ ; in particular,  $B$  is not contained in a line and there exist coordinates  $x, y, z$  such that

(a) either

$$|j|_B(3)j = \mathbf{P}(hx^2y, x^2z, xy^2, xyz, xz^2, y^2z, yz^2i)$$

and

$$B = f(1, 0, 0), (0, 1, 0), (0, 0, 1)g,$$

(b) or  $|j|_B(3)j = \mathbf{P}(hx^2z, xy^2, xyz, xz^2, y^3, y^2z, yz^2i)$  and  $B$  is supported at  $(1, 0, 0)$  and  $(0, 0, 1)$ , and has length 2 at  $(1, 0, 0)$  with tangent line  $z = 0$ ,

(c) or  $|j|_B(3)j = \mathbf{P}(hx^2z - xy^2, xyz, xz^2, y^3, y^2z, yz^2, z^3i)$  and  $B$  is curvilinear (non-linear) supported at  $(1, 0, 0)$  with tangent line  $z = 0$ .

The standard Cremona quadratic map and the first and second standard degenerate quadratic maps (see [Dol12, Ex. 7.1.9]) provide examples of such an involution in each of these cases

$$\tau_a(x, y, z) = (yz, xz, yz), \quad \tau_b(x, y, z) = (xz, yz, y^2), \quad \tau_c(x, y, z) = (xz + y^2, yz, z^2).$$

Suppose that (a) holds. Every involution  $\tau$  of  $S$  that does not descend to  $\mathbf{P}^2$  is given by  $\tau_a \circ h$ , where  $h \in \text{PGL}(3)$  permutes the points of  $B$ . If  $h$  fixes the points of  $B$ , we get  $\tau = \tau_a$  (after rescaling  $x, y, z$ ), while if  $h$  defines a transposition of  $B$ , we have  $\tau([x, y, z]) = [xz, yz, xy]$  in suitable coordinates. The  $\tau$ -invariant subspace of  $H^0(S, \omega_S^{-1})$  is equal to  $hxyz, x^2y + yz^2, x^2z + y^2z, xy^2 + xz^2i$  if the former holds, and to  $hxyz, x^2y + xz^2, xy^2 + yz^2, x^2z, y^2zi$  if the latter holds. Hence if the former holds, (c3) holds; if the latter holds, (c4) holds.

Suppose that (b) holds. The relevant birational involutions of  $\mathbf{P}^2$  are given by  $\tau_b \circ h$ , where  $h \in \text{PGL}(3)$  is given by  $h(x, y, z) = (\alpha x + \beta y, \alpha y, \alpha^2 z)$  or by  $h(x, y, z) = (\alpha x, \alpha y, \alpha^2 z)$  with  $\alpha \in \mathbf{C}^*$  and  $\beta \in \mathbf{C}$ . In a suitable coordinate system,  $\tau$  is  $\tau_b$ . The  $\tau_b$ -invariant subspace of  $H^0(S, \omega_S^{-1})$  is  $hx^2z, xyz, xy^2 + xz^2, y^2z, y^3 + yz^2i$ , hence (c5) holds.

Lastly, suppose that (c) holds. The relevant birational involutions of  $\mathbf{P}^2$  are  $\tau_c \circ h$ , where  $h([x, y, z]) = [x + \beta y + \gamma z, y, z]$ . In a suitable coordinate system, such a birational involution is equal to  $\tau_c$ . The  $\tau_c$ -invariant subspace of  $H^0(S, \omega_S^{-1})$  is  $hx^2z - xy^2, y^3, y^2z, yz^2, z^3i$ , hence (c6) holds.

7.3.4. *Description of  $K_{\sigma_0}$ .* — Let  $[U_6] \subset K_{\sigma_0}$  and let  $T_4 := U_6^?$ . By Corollary 7.14, either  $T_4 = f_1 U_4$ , where  $U_4 \subset \text{Sym}^2 W_3^-$  is a 4-dimensional subspace, or  $T_4 = f_2 W_3^-$ ,

where  $f_2 \in \text{Sym}^2 W_{\overline{3}}$ . Hence, by (45), Propositions 7.17 and 7.18 below finish the proof of Proposition 7.8.

**PROPOSITION 7.17.** — *Let  $T_4 \subset \text{Sym}^3 W_{\overline{3}}$  be a 4-dimensional subspace such that  $T_4 = f_1 \cdot U_4$ , where  $0 \notin f_1 \subset W_{\overline{3}}$  and  $U_4 \subset \text{Sym}^2 W_{\overline{3}}$  is a 4-dimensional subspace. Then  $T_4 \not\subset K_{\sigma_0}$  if and only if there exists a basis  $(a, b, c)$  of  $W_{\overline{3}}$  such that*

$$T_4 = \begin{cases} a \cdot \{ha^2, ab, ac, bci\}, & \text{or} \\ a \cdot \{ha^2, ab, ac, c^2i\}, & \text{or} \\ a \cdot \{ha^2, b^2, bc, c^2i\}. \end{cases}$$

*Proof.* — Let  $R_2 := U_4 \subset \text{Sym}^2 W_3$ . Up to the action of  $\text{GL}(W_3)$ , there are 8 possibilities for  $R_2$ , described as follows in a basis  $(x, y, z)$  of  $W_3$ . In the case where the general conic (in  $\mathbf{P}(W_{\overline{3}})$ ) defined by  $\mathbf{P}(R_2)$  is smooth, hence the base-locus is a 0-dimensional curvilinear scheme, we have

- (1)  $R_2 = \langle hxy, (x + y + z)zi \rangle$ , that is, the base-locus of the pencil of conics defined by  $\mathbf{P}(R_2)$  consists of 4 distinct points;
- (2)  $R_2 = \langle hxy, (x + z)zi \rangle$ , that is, the base-locus of the pencil of conics defined by  $\mathbf{P}(R_2)$  consists of two reduced points and a point of multiplicity 2;
- (3)  $R_2 = \langle hxy, z^2i \rangle$ , that is, the base-locus of the pencil of conics defined by  $\mathbf{P}(R_2)$  consists of two points of multiplicity 2;
- (4)  $R_2 = \langle hxy, x^2 + yzi \rangle$ , that is, the base-locus of the pencil of conics defined by  $\mathbf{P}(R_2)$  consists of one point of multiplicity 3 and a reduced point;
- (5)  $R_2 = \langle hy^2, x^2 + yzi \rangle$ , that is, the base-locus of the pencil of conics defined by  $\mathbf{P}(R_2)$  consists of one point of multiplicity 4.

The remaining  $R_2$  are those for which all the conics parametrized by  $\mathbf{P}(R_2)$  are singular:

- (a)  $R_2 = \langle hy^2, z^2i \rangle$ ;
- (b)  $R_2 = \langle hy^2, yzi \rangle$ ;
- (c)  $R_2 = \langle hxy, xzi \rangle$ .

Correspondingly, we get the following lists of 4-dimensional subspaces  $U_4 \subset \text{Sym}^2 W_{\overline{3}}$ :

$$(48) \quad U_4 = \begin{cases} \langle ha^2, b^2, ac, c^2, bc, c^2i \rangle, \\ \langle ha^2, b^2, ac, c^2, bci \rangle, \\ \langle ha^2, b^2, ac, bci \rangle, \\ \langle hac, b^2, c^2, a^2, bci \rangle, \\ \langle hab, ac, c^2, a^2, bci \rangle, \end{cases} \quad \text{and} \quad (49) \quad U_4 = \begin{cases} \langle ha^2, ab, ac, bci \rangle, \\ \langle ha^2, ab, ac, c^2i \rangle, \\ \langle ha^2, b^2, bc, c^2i \rangle. \end{cases}$$

Every 4-dimensional  $U_4 \subset \text{Sym}^2 W_{\overline{3}}$  is equivalent modulo  $\text{GL}(W_3)$  to one and only one of the spaces  $U_4$  given above. Let  $f_1 \subset W_{\overline{3}}$  be nonzero and let  $U_4$  be one of the subspaces in (48).

We claim that  $(f_1 \cdot U_4) \not\subset K_{\sigma_0}$ . To see this, first note that there exists a 1-parameter subgroup of  $\text{GL}(W_3)$  such that  $\lim_{t \rightarrow 0} \lambda(t)U_4$  is equal to the

subspace in the last line of (48) (this is clear since  $U_4 = R_2^\perp$ ). Hence it suffices to prove that for  $U_4$  as in the last line of (48),  $(f_1 U_4)^\perp$  does not belong to  $K_{\sigma_0}$ . Next, by acting with a 1-parameter subgroup of  $\mathrm{GL}(W_3)$  given by  $\mathrm{diag}(t^q, t^r, t^s)$  (in the given basis), with  $2q = r + s$ , we may assume  $f_1 \in \bar{f}a, b, cg$ . An explicit computation then gives

$$\begin{aligned} (a \quad hab, ac, c^2, a^2 \quad bci)^\perp &= hab^2, b^3, b^2c, bc^2, c^3, a^3 + abci, \\ (b \quad hab, ac, c^2, a^2 \quad bci)^\perp &= ha^3, a^2c, ac^2, b^3, c^3, a^2b + b^2ci, \\ (c \quad hab, ac, c^2, a^2 \quad bci)^\perp &= ha^3, a^2b, ab^2, b^3, b^2c, a^2c + bc^2i. \end{aligned}$$

By Lemma 7.1, we have

$$\sigma_0(b^3, c^3, a^3 + abc) \neq 0, \quad \sigma_0(a^3, b^3, c^3) \neq 0, \quad \text{and} \quad \sigma_0(a^3, b^2c, ac^2 + bc^2) \neq 0.$$

It follows that the first, second, and third spaces are not in  $K_{\sigma_0}$ .

We are left with  $U_4$  as in (49). We know that  $(a U_4)^\perp \in K_{\sigma_0}$ . It remains to prove that if  $f_1 \notin hxi$ , then  $(f_1 U_4)^\perp \notin K_{\sigma_0}$ . Acting with a suitable 1-parameter subgroup of  $\mathrm{GL}(W_3)$ , we may assume  $f_1 \in fb, cg$ . An explicit computation similar to the one presented above finishes the proof.

**PROPOSITION 7.18.** — *Let  $T_4 \subset \mathrm{Sym}^3 W_{\bar{3}}$  be a 4-dimensional subspace. Suppose that there exists a nonzero  $f_2 \in \mathrm{Sym}^2 W_{\bar{3}}$  such that  $T_4 \subset (f_2 W_{\bar{3}})^\perp$ . Then  $[T_4^\perp] \in K_{\sigma_0}$  if and only if there exists a basis  $(a, b, c)$  of  $W_{\bar{3}}$  such that*

$$(50) \quad T_4 = \begin{cases} a \quad ha^2, ab, ac, bci, & \text{or} \\ a \quad ha^2, ab, ac, c^2i. \end{cases}$$

*Proof.* — There exists a basis  $(a, b, c)$  of  $W_{\bar{3}}$  and  $g \in \mathrm{Sym}^3 W_{\bar{3}}$  such that (according to the rank of  $f_2$ )

$$(51) \quad T_4 = \begin{cases} ha^2b + ac^2, ab^2 + bc^2, abc + c^3, gi, & \text{or} \\ ha^2b, ab^2, abc, gi, & \text{or} \\ ha^3, a^2b, a^2c, gi. \end{cases}$$

Suppose that  $T_4$  is as in the first line. Let  $\lambda$  be the 1-parameter subgroup, diagonal in the basis  $(a, b, c)$ , given by  $\mathrm{diag}(1, t^r, t^s)$ . Then  $\lim_{t \rightarrow 0} \lambda(t)T_4$  is as in the second line. We show that for  $T_4$  as in the second line, the orthogonal  $T_4^\perp$  is *not* in  $K_{\sigma_0}$ . Let  $\lambda$  be any 1-parameter subgroup diagonal in the basis  $(a, b, c)$ , with pairwise distinct weights of the action on  $\mathrm{Sym}^3 W_{\bar{3}}$ . Then  $\bar{T}_4 := \lim_{t \rightarrow 0} \lambda(t)T_4$  is monomial and it contains  $a^2b$ ,  $ab^2$ , and  $abc$ . Hence the orthogonal  $\bar{T}_4^\perp$  is monomial, of dimension 6, contained in

$$ha^3, a^2c, ac^2, b^3, b^2c, bc^2, c^3i.$$

A direct check shows that the above subspace contains no monomial subspaces of dimension 6 on which  $\sigma_0$  vanishes. It follows that  $[\bar{T}_4^\perp]$  is *not* in  $K_{\sigma_0}$ .

Suppose now that  $T_4$  is as in the third line. We prove by contradiction that  $a \notin jg$  (once that is known, we might need to rename  $b, c$ ). Let  $\lambda$  be a 1-parameter subgroup, diagonal in the basis  $(a, b, c)$ , given by  $\mathrm{diag}(1, t^r, t^s)$ , where  $r > 3s$ . Then

$\bar{T}_4 := \lim_{t \rightarrow 0} \lambda(t)T_4$  is monomial and by our assumption  $a \neq g$ , there exist  $i, j$  such that  $\bar{T}_4 = ha^3, a^2b, a^2c, b^i c^j i$ . Hence  $\bar{T}_4^2$  contains  $hab^2, abc, ac^2i$  and is therefore not in  $K_{\sigma_0}$ . It follows that  $[\bar{T}_4^2]$  is not in  $K_{\sigma_0}$ .

7.4. ORBIT AND STABILIZER. — Recall that  $V_{10} = \text{Sym}^3 W_3$ . Since  $\text{sl}(3) = \Gamma_{1,1}$  and

$$\text{End}(V_{10}) = \Gamma_{3,3} \oplus \Gamma_{2,2} \oplus \Gamma_{1,1} \oplus \Gamma_{0,0},$$

it follows from the decomposition (37) that there is an exact sequence

$$(52) \quad 0 \rightarrow \text{sl}(3) \rightarrow \text{End}(V_{10}) \rightarrow {}^3V_{10} \xrightarrow{a} \Gamma_{0,6} \rightarrow 0.$$

We prove below that the stabilizer of  $[\sigma_0]$  is  $\text{SL}(3)$ . The normal space at  $[\sigma_0]$  to the  $\text{SL}(V_{10})$ -orbit of  $[\sigma_0]$  is therefore  $\Gamma_{0,6} = H^0(\mathbf{P}(W_3), \mathcal{O}_{\mathbf{P}(W_3)}(6))$ . The map  $a$  was given a geometric interpretation in (42).

**PROPOSITION 7.19.** — *The stabilizer of  $[\sigma_0]$  in  $\text{SL}(V_{10})$  is equal to the image of  $\text{SL}(W_3) \rightarrow \text{SL}(V_{10})$  and the point  $[\sigma_0] \in \mathbf{P}({}^3V_{10})$  is polystable for the  $\text{SL}(V_{10})$ -action.*

*Proof.* — The stabilizer contains  $\text{SL}(W_3)$  by choice of  $\sigma_0$ . Conversely, if  $g \in \text{SL}(V_{10})$  stabilizes  $[\sigma_0]$ , it maps  $X_{\sigma_0}$  to itself, hence the singular locus of  $X_{\sigma_0}$  to itself. By Proposition 7.2, this singular locus is equal to  $\mathfrak{g}(V) \subset \mathbf{P}(\text{Sym}^3 W_3)$ . Thus  $g$  maps to itself the subvariety of  $\mathbf{P}(\text{Sym}^3 W_3)$  swept out by projective tangent planes to the Veronese surface  $V$ . Since the singular locus of this subvariety is  $V$ , the automorphism  $g$  maps  $V$  to itself, hence belongs to  $\text{SL}(W_3)$ .

It follows from Proposition 5.4 that this stabilizer has finite index in its normalizer, hence  $[\sigma_0]$  is polystable by [Lun75, Cor. 3].

7.5. DEGENERATIONS. — The following theorem is the main result of Section 7. We consider a general 1-parameter deformation  $(\sigma_t)_{t \in \Delta}$  of our trivector  $\sigma_0$ . By the exact sequence (52), we obtain a general element of  $H^0(\mathbf{P}(W_3), \mathcal{O}_{\mathbf{P}(W_3)}(6))$ , hence a double cover  $S \rightarrow \mathbf{P}(W_3)$  branched along the sextic curve that it defines, where  $S$  is a K3 surface of degree 2. The moduli space  $M_S(0, L, 1)$ , a hyperkähler fourfold birationally isomorphic to  $S^{[2]}$ , was defined in Remark 3.6.

**THEOREM 7.20.** — *Let  $(\sigma_t)_{t \in \Delta}$  be a general 1-parameter deformation. Over a finite cover  $\Delta^0 \rightarrow \Delta$ , there is a family of smooth polarized hyperkähler fourfolds  $K^0 \rightarrow \Delta^0$  such that a general fiber  $K_{t^0}^0$  is isomorphic to  $K_{\sigma_t}$  and the central fiber is isomorphic to  $M_S(0, L, 1)$ , where  $S$  is a general K3 surface of degree 2, with the polarization  $6L - 5\delta$ .*

The proof will be given at the very end of this section. Set  $G := \text{Gr}(3, V_{10}) \rightarrow \Delta$  and consider the blow up

$$\varphi: \tilde{G} := \text{Bl}_{\mathfrak{g}(V)} \tau_{0g} G \rightarrow G$$

(see (41) for the definition of the surface  $\mathfrak{g}(V)$ ). The exceptional divisor  $E \subset \tilde{G}$  is a bundle of 19-dimensional projective spaces. We view  $\tilde{G} \rightarrow \Delta$  as a degeneration of  $\text{Gr}(3, V_{10})$  with central fiber  $\text{Bl}_{\mathfrak{g}(V)} \text{Gr}(3, V_{10}) \setminus E$ .

Write the deformation in Theorem 7.20 as  $\sigma_t = \sigma_0 + t\sigma + O(t^2)$ , where, by the analysis of Section 7.4, we may assume that  $\sigma$  is very general in  $\text{Sym}^6 W_{\bar{3}} \oplus {}^3V_{\bar{10}}$ . Consider the strict transform  $\widetilde{X} \rightarrow \widetilde{G}$  of

$$(53) \quad f([U_3], t) \subset G \times \text{Gr}(3, U_3) \rightarrow G,$$

with projection  $\pi: \widetilde{X} \rightarrow \Delta$ . By (42), the hypersurface  $X_\sigma$  intersects transversely  $\mathfrak{g}(V)$  and  $\text{div}(\sigma)$  is identified with  $C := X_\sigma \setminus \mathfrak{g}(V)$ . Hence

$$\widetilde{X}_t := \pi^{-1}(t), \quad \begin{cases} X_{\sigma_{t^2}} & \text{if } t \neq 0, \\ \text{Bl}_{\mathfrak{g}(V)} X_{\sigma_0} \times Q & \text{if } t = 0, \end{cases}$$

where  $Q \rightarrow E$  is a bundle of 18-dimensional quadrics over  $\mathfrak{g}(V)$ , with smooth fibers over  $\mathfrak{g}(V) \setminus C$  and fibers of corank 1 over  $C$  (this follows from Lemma 7.5 and holds because we performed a degree-2 base change in (53)).

We identify  $K_\sigma$  with the closed subset of the Hilbert scheme of  $X_\sigma$  defined by

$$f([U_6] \subset \text{Gr}(6, V_{10}) \times \text{Gr}(3, U_6) \rightarrow X_\sigma g.$$

This defines a subscheme  $K \rightarrow \Delta^*$  of the relative Hilbert scheme  $\text{Hilb}(\widetilde{X}/\Delta)$ , with fiber  $K_{\sigma_0+t^2\sigma}$  at  $t$ , and we take its schematic closure  $\rho: \widetilde{K} \rightarrow \Delta$ .

**PROPOSITION 7.21.** — *There exists an irreducible component  $K_L^0$  of  $\widetilde{K}_0$  which is birationally isomorphic to  $S^{[2]}$ , where  $S$  is the degree-2 K3 surface of Theorem 7.20.*

*Proof.* — Let  $[U_6] \subset K_L \cap K_M$ . By Remark 7.9, the scheme-theoretic intersection  $\text{Gr}(3, U_6) \setminus \mathfrak{g}(V)$  is two reduced points  $p_1, p_2$ . Let  $\widetilde{\text{Gr}}(3, U_6) \rightarrow \widetilde{X}_0$  be the strict transform of  $\text{Gr}(3, U_6)$ , that is, the blow up of  $\text{Gr}(3, U_6)$  at  $p_1, p_2$ . We have  $\widetilde{\text{Gr}}(3, U_6) \setminus Q = fA_1, A_2g$ , where  $A_i$ , for  $i \in \{1, 2\}$ , is an 8-dimensional linear subspace of the fiber  $E_{p_i}$  of  $E$  over  $p_i$ , contained in the fiber  $Q_{p_i}$  of  $Q$  over  $p_i$ . Every subscheme of  $\widetilde{X}_0$  given by

$$(54) \quad \widetilde{\text{Gr}}(3, U_6) \times [R_1 \times R_2, \quad A_i \subset R_i \subset Q_{p_i}, \quad [R_i] \subset \text{Gr}(9, E_{p_i})$$

corresponds to a point of  $\widetilde{K}_0$ . Moreover, by Proposition 7.8, these subschemes are parametrized by an open subset of the fiber  $\text{Hilb}(\widetilde{X}/\Delta)_0$ , whose closure in  $\text{Hilb}(\widetilde{X}/\Delta)$  (equivalently, in  $\widetilde{K}$ ) is therefore an irreducible component of  $\widetilde{K}_0$ ; we denote it by  $K_L^0$ . Now  $Q_{p_i}$  is an 18-dimensional quadric, either smooth or of corank 1, which is smooth at each point of  $A_i$  (Lemma 7.5). It follows that there are exactly two 9-dimensional linear subspaces of  $Q_{p_i}$  containing  $A_i$  if  $Q_{p_i}$  is smooth (that is, if  $p_i \notin C$ ) and one such linear subspace if  $Q_{p_i}$  is singular (that is, if  $p_i \in C$ ).

By construction, an open dense subset  $K_L^{00}$  of  $K_L^0$  parametrizes subschemes as in (54), where  $[U_6] \subset K_L$  is such that  $\text{Gr}(3, U_6) \setminus \mathfrak{g}(V)$  is reduced (of length 2). The set of such  $[U_6]$  is exactly  $K_L \cap K_M$ . We have a forgetful map

$$(55) \quad \begin{array}{c} K_L^{00} \rightarrow K_L \cap K_M \\ \widetilde{\text{Gr}}(3, U_6) \times [R_1 \times R_2 \rightarrow [U_6]. \end{array}$$

Let  $\rho: S^{(2)} \rightarrow \mathbf{P}(W_3)^{(2)}$  be the map induced by the double cover  $S \rightarrow \mathbf{P}(W_3)$ . By definition of  $R_1, R_2$ , the map in (55) can be identified with the map

$$S^{(2)} \times_{\mathbf{P}^1} \rho^{-1}(2x) \times_{\mathbf{P}^1} x \rightarrow \mathbf{P}(W_3) \times_{\mathbf{P}^1} \rho^{-1}(2x) \times_{\mathbf{P}^1} x \rightarrow \mathbf{P}(W_3) \times_{\mathbf{P}^1} x \rightarrow \mathbf{P}(W_3) \times_{\mathbf{P}^1} g$$

obtained by restricting  $\rho$ . In particular,  $K_L^0$  is birationally isomorphic to  $S^{[2]}$  and the forgetful map  $K_L^0 \rightarrow K_L$  has degree 4.

**PROPOSITION 7.22.** — *The irreducible component  $K_L^0$  has multiplicity one in  $\widetilde{K}_0$ .*

*Proof.* — A point  $x$  of  $K_L^0$  (notation as in the proof of Proposition 7.21) parametrizes a scheme  $Z := \widetilde{\text{Gr}}(3, U_6) \times_{\mathbf{P}^1} R_1 \times_{\mathbf{P}^1} R_2$  as in (54), where the scheme-theoretic intersection  $\text{Gr}(3, U_6) \setminus \text{g}(\mathbf{V})$  is the union of two reduced points  $p_1 = [U_{3,1}]$  and  $p_2 = [U_{3,2}]$ , neither of which is contained in  $X_\sigma$ .

The scheme  $Z$  is locally a complete intersection in  $Y_0$ . Hence there is a well-defined normal bundle  $N_{Z/Y_0}$  and it suffices to prove  $H^1(Z, N_{Z/Y_0}) = 0$  (because  $K_L^0$  is an open neighborhood of  $x$  in the fiber  $\text{Hilb}_{\mathbf{P}^1}(\widetilde{X}/\Delta)_0$ ). In order to simplify notation, set  $X_0 := X_{\sigma_0}$  and  $\widetilde{X}_0 := \text{Bl}_{\text{g}(\mathbf{V})} X_0$ . We have

$$N_{Z/Y_0} \cong j_{\widetilde{\text{Gr}}(3, U_6)}^* N_{\widetilde{\text{Gr}}(3, U_6)/\widetilde{X}_0} \oplus N_{Z/Y_0} \cong j_{R_i}^* N_{R_i/Q_{p_i}}.$$

One easily checks  $H^1(R_i, N_{R_i/Q_{p_i}}(-1)) = 0$ . In order to prove  $H^1(Z, N_{Z/Y_0}) = 0$ , it therefore suffices to show

$$(56) \quad H^1(\widetilde{\text{Gr}}(3, U_6), N_{\widetilde{\text{Gr}}(3, U_6)/\widetilde{X}_0}) = 0.$$

Let  $\widetilde{\text{Gr}}(3, V_{10}) := \text{Bl}_{\text{g}(\mathbf{V})} \text{Gr}(3, V_{10})$ . We have the normal exact sequence

$$(57) \quad 0 \rightarrow N_{\widetilde{\text{Gr}}(3, U_6)/\widetilde{X}_0} \rightarrow N_{\widetilde{\text{Gr}}(3, U_6)/\widetilde{\text{Gr}}(3, V_{10})} \rightarrow \mathcal{O}_{\widetilde{\text{Gr}}(3, V_{10})}(\widetilde{X}_0) \otimes j_{\widetilde{\text{Gr}}(3, U_6)}^* \mathcal{O}_{\widetilde{\text{Gr}}(3, U_6)} \rightarrow 0.$$

We claim that

$$(58) \quad H^0(\widetilde{\text{Gr}}(3, U_6), \mathcal{O}_{\widetilde{\text{Gr}}(3, V_{10})}(\widetilde{X}_0) \otimes j_{\widetilde{\text{Gr}}(3, U_6)}^* \mathcal{O}_{\widetilde{\text{Gr}}(3, U_6)}) = 0.$$

In fact, the natural map  $\psi: \widetilde{\text{Gr}}(3, V_{10}) \rightarrow \text{Gr}(3, V_{10})$  is the blow up of the points  $p_1$  and  $p_2$ . Let  $A = A_1 + A_2$  be the exceptional divisor of  $\psi$  and let  $\mathcal{O}_{\text{Gr}}(1)$  be the Plücker line bundle on  $\text{Gr}(3, V_{10})$ . Since  $X_0$  is a divisor in  $j_{\mathcal{O}_{\text{Gr}}(1)}^* \mathcal{O}_{\text{Gr}}(1)$  with multiplicity 2 along  $\text{g}(\mathbf{V})$ , we have

$$(59) \quad \mathcal{O}_{\widetilde{\text{Gr}}(3, V_{10})}(\widetilde{X}_0) \otimes j_{\widetilde{\text{Gr}}(3, U_6)}^* \mathcal{O}_{\widetilde{\text{Gr}}(3, U_6)} \cong \mathcal{O}_{\widetilde{\text{Gr}}(3, U_6)}(\psi^* \mathcal{O}_{\text{Gr}}(1) - 2A).$$

Let  $x$  be a general point in  $\widetilde{\text{Gr}}(3, U_6)$  and set  $[U_3] := \psi(x) \in \text{Gr}(3, U_6)$ . We may assume that  $U_3$  is transverse to  $U_{3,1}$  and  $U_{3,2}$ , hence there exists a Segre embedding  $\Phi: \mathbf{P}^1 \times \mathbf{P}^2 \hookrightarrow \mathbf{P}(U_6)$  such that

$$\begin{aligned} \Phi(f(0, 1)g - \mathbf{P}^2) &= \mathbf{P}(U_{3,1}), & \Phi(f(1, 0)g - \mathbf{P}^2) &= \mathbf{P}(U_{3,2}), \\ \Phi(f(1, 1)g - \mathbf{P}^2) &= \mathbf{P}(U_3). \end{aligned}$$

Let  $\varphi: \mathbf{P}^1 \rightarrow \text{Gr}(3, U_6)$  be the map defined by  $\Phi$  and let  $\Gamma \subset \widetilde{\text{Gr}}(3, U_6)$  be the strict transform of  $\varphi(\mathbf{P}^1)$ . Then  $\Gamma \cdot \psi^* \mathcal{O}_{\text{Gr}}(1) = 3$  and  $\Gamma \cdot A = 2$ , hence  $\Gamma \cdot (\psi^* \mathcal{O}_{\text{Gr}}(1) - 2A) = 1$ . It follows that any section of the right side of (59) vanishes at general points of  $\widetilde{\text{Gr}}(3, U_6)$  hence is the zero section. This proves (58).

By (58) and (57), it suffices, in order to prove (56), to prove

$$H^1(\widetilde{\text{Gr}}(3, U_6), N_{\widetilde{\text{Gr}}(3, U_6)/\widetilde{\text{Gr}}(3, V_{10})}) = 0.$$

The differential of  $\psi$  defines an exact sequence

$$0 \rightarrow N_{\widetilde{\text{Gr}}(3, U_6)/\widetilde{\text{Gr}}(3, V_{10})} \xrightarrow{\psi} N_{\text{Gr}(3, U_6)/\text{Gr}(3, V_{10})} \xrightarrow{a} \mathcal{O}_{A_1}^{10} \oplus \mathcal{O}_{A_2}^{10} \rightarrow 0.$$

The map induced by  $a$  on global sections is surjective, because the subspaces of  $U_6$  corresponding to  $p_1, p_2$  are transverse. Since  $H^1(\text{Gr}(3, U_6), N_{\text{Gr}(3, U_6)/\text{Gr}(3, V_{10})}) = 0$ , the desired vanishing follows from the long exact sequence associated with this exact sequence.

*Proof of Theorem 7.20.* — By Propositions 7.21 and 7.22, and by (the proof of) [KLSV18, Th. (0.1)], we obtain, as in the proof of Theorem 6.14, after a suitable finite base change, a smooth family of polarized hyperkähler fourfolds with (smooth) central fiber birationally isomorphic to  $S^{[2]}$  with the polarization  $6L - 5\delta$ . It follows from Remark 3.6 that this central fiber is isomorphic to  $(M_S(0, L, 1), 6L - 5\delta)$ .

## 8. THE DIVISOR $D_{30}$

Let  $(S, L)$  be a general polarized K3 surface of degree 30. Unfortunately, little geometric information on  $S$  is available and we were not able to find a trivector on some 10-dimensional vector space  $V_{10}$  to relate  $S^{[2]}$  to Debarre-Voisin varieties, nor were we able to decide whether  $D_{30}$  is an HLS divisor. We will however construct on  $S^{[2]}$  a canonical rank 4-vector bundle with the same numerical invariants as the restriction of the tautological quotient bundle of  $\text{Gr}(6, V_{10})$  to a Debarre-Voisin variety.

**8.1. THE RANK-4 VECTOR BUNDLE  $\mathcal{O}_4$  OVER  $S^{[2]}$ .** — By Mukai's work ([Muk16]), there is a simple and rigid rank-2 vector bundle  $F$  on  $S$  with  $c_1(F) = L$  and Euler characteristic  $\chi(S, F) = 10$ . Moreover,  $F$  is globally generated and the vector space  $W_{10} := H^0(S, F)$  has dimension 10.

With the notation of Section 4.1, we let  $T_F$  be the tautological rank-4 vector bundle on  $S^{[2]}$  associated with  $F$ . We have  $c_1(T_F) = L - 2\delta$  and  $H^0(S^{[2]}, T_F) = W_{10}$ .

Consider now the tautological rank-6 vector bundle  $T_{\text{Sym}^2 F}$  constructed on  $S^{[2]}$  from the rank-3 vector bundle  $\text{Sym}^2 F$  over  $S$ .

**LEMMA 8.1.** — *The natural evaluation map*

$$\text{ev}^+ : \text{Sym}^2 T_F \rightarrow T_{\text{Sym}^2 F}$$

*is surjective. Its kernel  $\mathcal{O}_4$  is a rank-4 vector bundle over  $S^{[2]}$  with  $c_1(\mathcal{O}_4) = 2L - 7\delta$ .*

*Proof.* — Consider as in Section 4.1 the double cover  $p : \hat{S} \rightarrow S \rightarrow S^{[2]}$  defined by the blow up  $\hat{S} \rightarrow S$  of  $S \rightarrow S$  along its diagonal. Let  $q_1$  be the first projection to  $S$ , so that  $T_F = p^*(q_1^* F)$ . Tensor the canonical surjection  $p^* T_F \rightarrow q_1^* F$  by the vector bundle  $q_1^* F$  to obtain the exact sequence

$$(p^* T_F) \otimes q_1^* F \rightarrow q_1^*(F \otimes F) \rightarrow 0.$$

Its pushforward by the finite morphism  $p$  gives with the projection formula a surjection

$$\text{ev}: T_F \rightarrow T_F \quad \text{!!} \quad T_{F \times F}.$$

The map  $\text{ev}^+$  being the invariant part of  $\text{ev}$ , it is also surjective. Its kernel  $\mathcal{O}_4$  is therefore a vector bundle of rank 4 and we have  $c_1(\text{Sym}^2 T_F) = 5c_1(T_F) = 5L - 10\delta$  and  $c_1(T_{\text{Sym}^2 F}) = 3L - 3\delta$ , so  $c_1(\mathcal{O}_4) = 2L - 7\delta$ .

**REMARK 8.2.** — If we replace in this construction  $F$  by the Mukai bundle  $E_2$  over a K3-surface of degree 18, the antiinvariant part  $\text{ev}^- : {}^2T_{E_2} \rightarrow T_{E_2}$  of  $\text{ev}$  is the surjection in sequence (22). So, in the degree-18 case,  $\mathcal{O}_4$  was defined as the kernel of  $\text{ev}^-$ .

**LEMMA 8.3.** — *The vector space  $H^0(S^{[2]}, \mathcal{O}_4)$  has dimension at least 10 and is canonically isomorphic to the kernel*

$$V_{10} := \text{Ker}(\text{Sym}^2 W_{10} \rightarrow H^0(S, \text{Sym}^2 F)).$$

We expect this map to be onto, so that  $V_{10}$  would have dimension 10.

*Proof.* — By [Dan07, Th. 1] or [Kru14, Th. 6.6], the canonical maps

$$(60) \quad \begin{aligned} H^0(S, F) &\rightarrow H^0(S^{[2]}, T_F) \\ H^0(S, \text{Sym}^2 F) &\rightarrow H^0(S^{[2]}, T_{\text{Sym}^2 F}) \end{aligned}$$

$$(61) \quad H^0(S, F) \rightarrow H^0(S, F) \rightarrow H^0(S^{[2]}, T_F \rightarrow T_F)$$

are isomorphisms. By definition of  $\mathcal{O}_4$ , we have an exact sequence

$$0 \rightarrow H^0(S^{[2]}, \mathcal{O}_4) \rightarrow H^0(S^{[2]}, \text{Sym}^2 T_F) \rightarrow H^0(S^{[2]}, T_{\text{Sym}^2 F}).$$

Since (61) is bijective, its middle space is isomorphic to  $\text{Sym}^2 H^0(S, F) = \text{Sym}^2 W_{10}$ ; since (60) is bijective, the rightmost space is isomorphic to  $H^0(S, \text{Sym}^2 F)$ . We therefore conclude that  $H^0(S^{[2]}, \mathcal{O}_4)$  is isomorphic to  $V_{10}$ .

We will show that  $H^1(S, F \otimes F) = H^2(S, F \otimes F) = 0$  on a specific K3 surface  $S$  of degree 30 introduced by Mukai in [Muk16, §6], hence on a general K3 surface. This surface has an elliptic fibration  $S \rightarrow \mathbf{P}^1$  with general fiber  $A_1$  and Mukai shows that  $F$  fits in an exact sequence

$$(62) \quad 0 \rightarrow \mathcal{O}_S(A_1) \rightarrow \mathcal{O}_S(A_1) \rightarrow F \rightarrow \mathcal{O}_Z(5z) \rightarrow 0,$$

where  $Z \subset S$  is a smooth rational curve and  $z$  is the class of a point on  $Z$ . Tensoring (62) by  $\mathcal{O}_S(A_1)$ , we get  $H^2(S, F(A_1)) = 0$ , and tensoring it by  $F$ , we get  $H^2(S, F \otimes F) = 0$ .

Since  $F$  is globally generated, we have  $H^1(Z, F \otimes \mathcal{O}_Z(5z)) = 0$  and, tensoring (62) by  $F$ , we get a surjection

$$(63) \quad H^1(S, F(A_1)) \rightarrow H^1(S, F \otimes F).$$

Mukai showed that on this particular surface, one has  $H^1(S, F) = H^2(S, F) = 0$ , hence

$$(64) \quad H^1(S, F(A_1)) \rightarrow H^1(S, F \otimes F) \rightarrow H^2(S, F \otimes F) \rightarrow H^0(S, F(A_1 \otimes H)) \rightarrow 0,$$



where  $\mathcal{O}_S(H) := \mathcal{O}_S(2F + L)$  is the polarization. Moreover, we have  $Z_{\text{lin}}(H) = 2A_1$ ,  $A_1 \cdot H = 8$ , and  $H^2 = 30$ , and the sequence (62) gives an exact sequence

$$0 \rightarrow \mathcal{O}_S(2A_1 - H) \rightarrow \mathcal{O}_S(2A_1 + H) \rightarrow F(A_1 + H) \rightarrow \mathcal{O}_Z(z) \rightarrow 0.$$

This implies  $H^0(S, F(A_1 + H)) = 0$ , hence  $H^1(S, F(A_1)) = 0$  by (64). Finally, the surjection (63) implies  $H^1(S, F - F) = 0$ .

Going back to a general K3 surface  $S$ , where the vanishings  $H^1(S, F - F) = H^2(S, F - F) = 0$  still hold, we get

$$h^0(S, \text{Sym}^2 F) = \chi(S, \text{Sym}^2 F) = 45$$

and, by definition of  $V_{10}$ ,

$$\dim(V_{10}) > \dim(\text{Sym}^2 W_{10}) \quad h^0(S, \text{Sym}^2 F) = 10.$$

This finishes the proof of the lemma.

From the previous two lemmas, we obtain the following result, where we use, as in Remark 6.1, the package Schubert2 of Macaulay2 ([GS]) to compute the numerical invariants of the vector bundle  $\mathcal{O}_4$  on  $S^{[2]}$  (the code can be found in [Han]).

**PROPOSITION 8.4.** — *Let  $(S, L)$  be a general polarized K3 surface of degree 30. The vector bundle  $\mathcal{O}_4$  induces a rational map  $S^{[2]} \rightarrow \text{Gr}(6, V_{10})$  which corresponds to the polarization given in the last column of Table 1. Moreover, the vector bundle  $\mathcal{O}_4$  has the same Segre numbers as the rank-4 tautological quotient bundle on Debarre-Voisin varieties  $K_\sigma \subset \text{Gr}(6, 10)$ .*

**8.2. GEOMETRIC INTERPRETATION.** — Let  $X$  be the image in  $\mathbf{P}(W_{10})$  of the scroll  $\mathbf{P}(F - )$  by the projection from  $S \subset \mathbf{P}(W_{10})$  to  $\mathbf{P}(W_{10})$ .

We have  $V_{10} = H^0(\mathbf{P}(W_{10}), \mathcal{I}_X(2))$ , where  $\mathcal{I}_X$  is the ideal sheaf of  $X$  in  $\mathbf{P}(W_{10})$ . We want to describe, for general points  $x, y \in S$ , the 6-dimensional vector space  $S_{6, \tilde{f}_x, yg}$  defined by the exact sequence

$$0 \rightarrow S_{6, \tilde{f}_x, yg} \rightarrow V_{10} \rightarrow \mathcal{O}_{4, \tilde{f}_x, yg} \rightarrow 0.$$

**PROPOSITION 8.5.** — *The vector space  $S_{6, \tilde{f}_x, yg}$  is the space of quadratic forms vanishing on  $X$  and on the projective subspace  $\mathbf{P}_3 = \mathbf{P}(F_x - F_y)$  of  $\mathbf{P}(W_{10})$ .*

*Proof.* — The fiber over  $\tilde{f}_x, yg$  of the evaluation map defined in Lemma 8.1 gives an exact sequence

$$0 \rightarrow S_{6, \tilde{f}_x, yg} \rightarrow V_{10} \rightarrow \text{Sym}^2(F_x - F_y) \rightarrow \text{Sym}^2 F_x - \text{Sym}^2 F_y \rightarrow 0,$$

hence  $S_{6, \tilde{f}_x, yg}$  consists of elements of  $V_{10}$  that also vanish on  $\mathbf{P}(F_x - F_y)$ .

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